

# PRISM and AVNIR-2 Status - Calibration and Validation -

- Overview: Initial Calibration Results as of Oct. 23, 2006
- Radiometric Calibration
  - ✓ PRISM Relative Calibration: Stripe, CCD gap
  - ✓ AVNIR-2 Absolute Calibration: Cross Cal with MODIS
- Geometric Calibration Results
  - ✓ Relative Calibration: Band-to-band registration, CCD alignments
  - ✓ Absolute Calibration: Sensor/Pointing alignments
- Calibration Results as of March 29, 2007
- Validation of PRISM DSM
  - ✓ Algorithm, Software Development and Validation
- Conclusion

**Takeo Tadono**

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Japan Aerospace Exploration Agency (JAXA)**

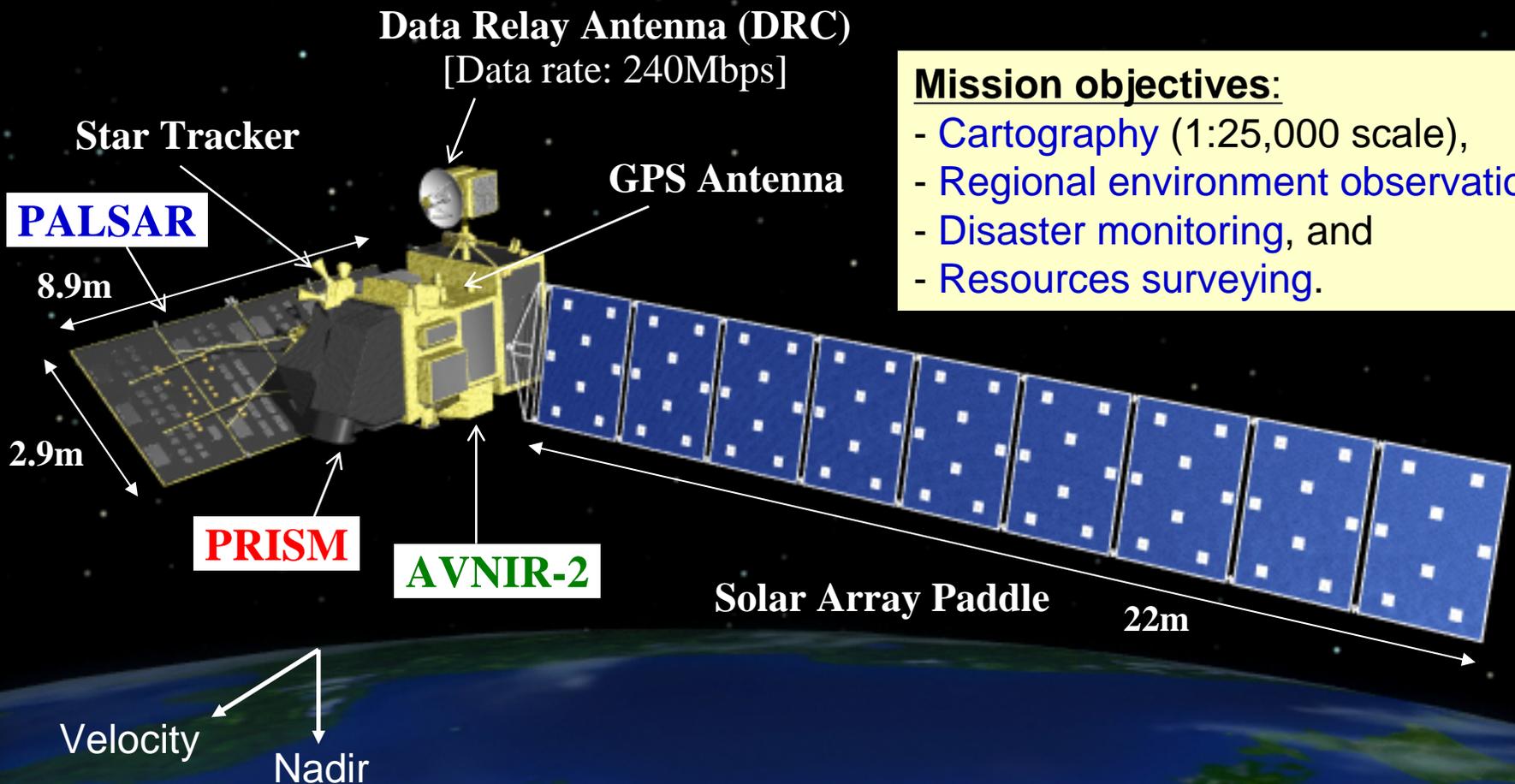
# ***ALOS "Daichi"***

*(Advanced Land Observing Satellite)*

Jan. 24, 2006: Launch by H-IIA #8 from TKSC  
June 11, 2007: 1.4 year (503 days) after launch

## **Mission objectives:**

- Cartography (1:25,000 scale),
- Regional environment observation,
- Disaster monitoring, and
- Resources surveying.



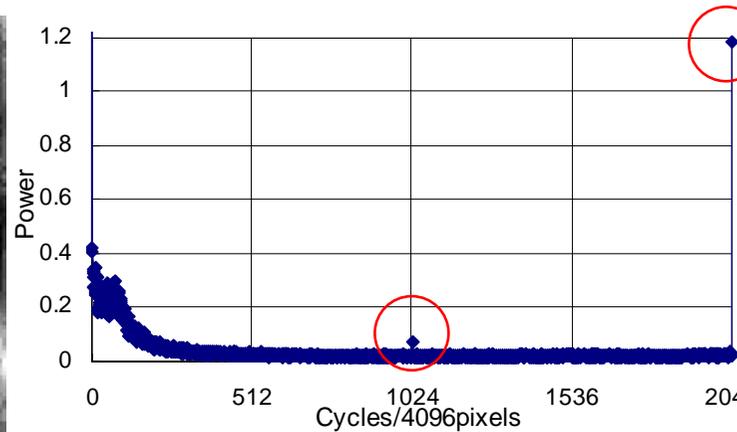
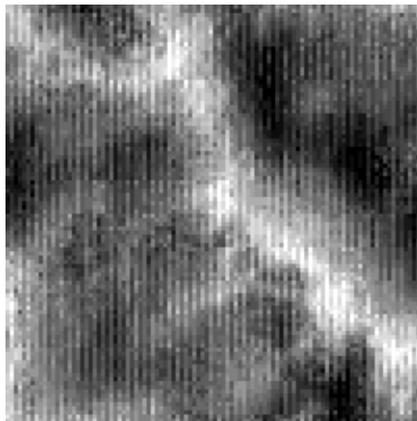
**PRISM** : Panchromatic Remote-sensing Instrument for Stereo Mapping  
**AVNIR-2**: Advanced Visible and Near Infrared Radiometer type 2  
**PALSAR**: Phased Array type L-band Synthetic Aperture Radar

## Results of Initial Cal (as of Oct. 23, 2006)

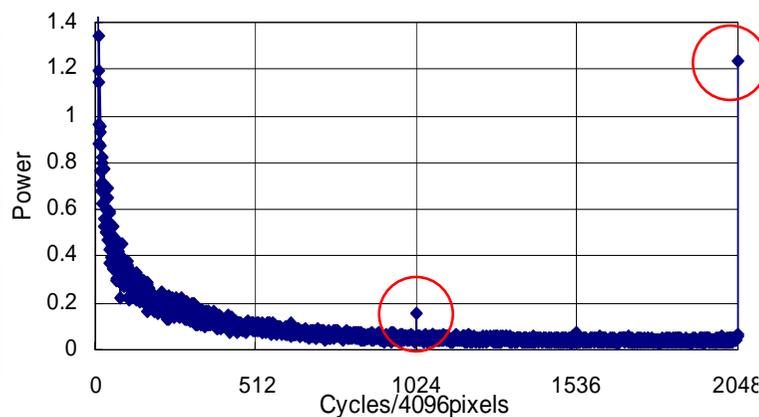
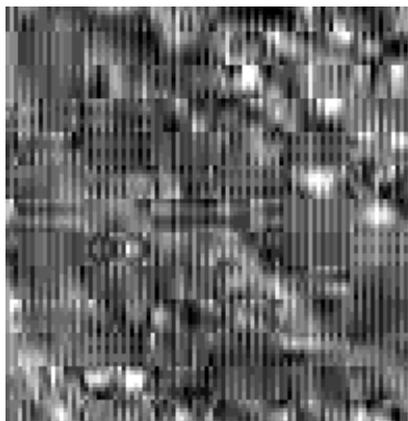
- ✓ Geometry: PRISM 6m, AVNIR-2 14m(1  $\sigma$ ), if 1GCP is available within a scene.  
Sensor (Pointing) alignments of PRISM is still evaluating
- ✓ Radiometry: Almost sufficient  
Except for AVNIR-2 Band 4, and stripe noise of PRISM

Standard Product	Target Accuracy	Results as of Oct. 23, 2006															
<b>PRISM 1B2</b>	<p>Radiometry</p> <p>Relative Accuracy 5% (1 <math>\sigma</math>)</p> <p>Absolute Accuracy 10% (1 <math>\sigma</math>)</p> <p>Geometry</p> <p>Absolute Accuracy (without GCP) 6.0m (3 <math>\sigma</math>)</p> <p>for Nadir-looking radiometer with the Precise Pointing Geolocation Determination System (PPDS)</p>	<p>Radiometry</p> <p>Relative Accuracy less than 1.2% (3DN)</p> <p>→ <b>Post processing is considering</b></p> <p>Absolute Accuracy less than 6.2%</p> <p>Geometry</p> <p>Absolute Accuracy → <b>Sensor (Pointing) alignment</b></p> <table border="1"> <thead> <tr> <th>Error in RMS</th> <th>Pixel (X)</th> <th>Line (Y)</th> </tr> </thead> <tbody> <tr> <td>Forward</td> <td>13m</td> <td>64m</td> </tr> <tr> <td>Nadir</td> <td><b>17m</b></td> <td><b>34m</b></td> </tr> <tr> <td>Backward</td> <td>32m</td> <td>32m</td> </tr> </tbody> </table> <p>Relative Accuracy (with 1GCP/scene, 1 <math>\sigma</math>)</p> <table border="1"> <tbody> <tr> <td>3 radiometers</td> <td>4m</td> <td>6m</td> </tr> </tbody> </table>	Error in RMS	Pixel (X)	Line (Y)	Forward	13m	64m	Nadir	<b>17m</b>	<b>34m</b>	Backward	32m	32m	3 radiometers	4m	6m
Error in RMS	Pixel (X)	Line (Y)															
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<b>AVNIR-2 1B2</b>	<p>Radiometry</p> <p>Relative Accuracy 5% (1 <math>\sigma</math>)</p> <p>Absolute Accuracy 10% (1 <math>\sigma</math>)</p> <p>Geometry</p> <p>Absolute Accuracy without GCP 283.7m (3 <math>\sigma</math>)</p> <p>Relative Accuracy with GCP 7.7m (3 <math>\sigma</math>)</p> <p>at 0 degree pointing angle</p>	<p>Radiometry</p> <p>Relative Accuracy less than 0.4% (1DN)</p> <p>Absolute Accuracy 6.2% (B1-3) , 15.8% (B4)</p> <p>Geometry (-41.5 to +41.5 deg.. pointing)</p> <p>Absolute Accuracy → <b>Sensor alignment updated on Dec.</b></p> <table border="1"> <thead> <tr> <th>RMS</th> <th>Pixel (X)</th> <th>Line (Y)</th> </tr> </thead> <tbody> <tr> <td></td> <td><b>520m</b></td> <td><b>370m</b></td> </tr> </tbody> </table> <p>Relative Accuracy (1 <math>\sigma</math>) 14m 6m</p>	RMS	Pixel (X)	Line (Y)		<b>520m</b>	<b>370m</b>									
RMS	Pixel (X)	Line (Y)															
	<b>520m</b>	<b>370m</b>															

# Radio Cal – PRISM Relative Accuracy



Evaluation of stripe noise by FFT (1B1).  
Sahara 060427-B/CCD#5, right: power spectral.



Evaluation of stripe noise by FFT (1B1).  
Ely 060813-B/CCD#4, right: power spectral.

This is related to image quality *i.e.*,  
1) Stripe noises are appeared sometime, and  
2) Block noises due to JPEG compression are also appeared

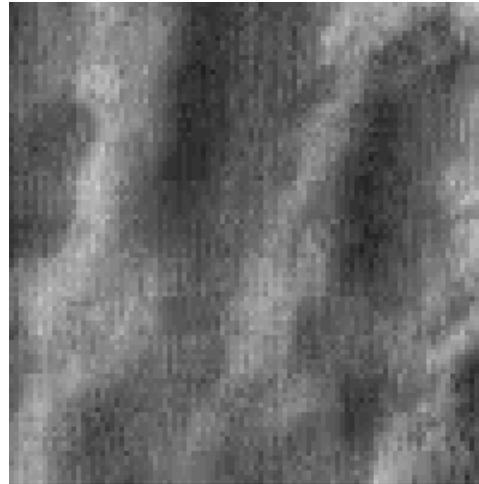
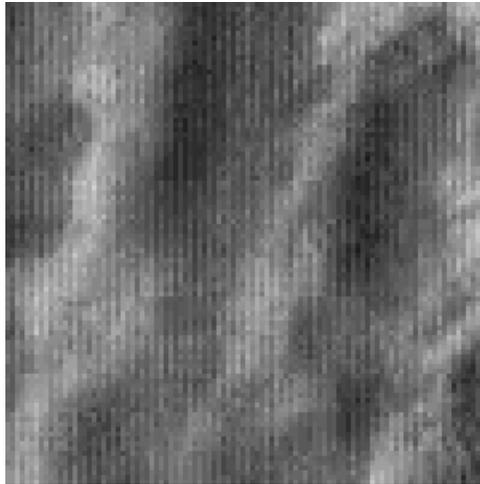
1) Stripes noises depends on  
✓ Stability of “Optical Black” (OB), which is used in radiometric sensor model as the reference, but one OB in each 22 seconds

✓ Characteristics of stripes

- DN average between odd/even detectors
- FFT: 4096 pixels / 4992 pixels are used

✓ Large powers are appeared at 2048 and 1024 cycles  
= Correlations on 1/2 and 1/4 samples  
→ 1/2 sample shows stripe noise  
✓ Implement a filter to EOC system on March 2007

# Radio Cal – PRISM Stripe Noise Correction



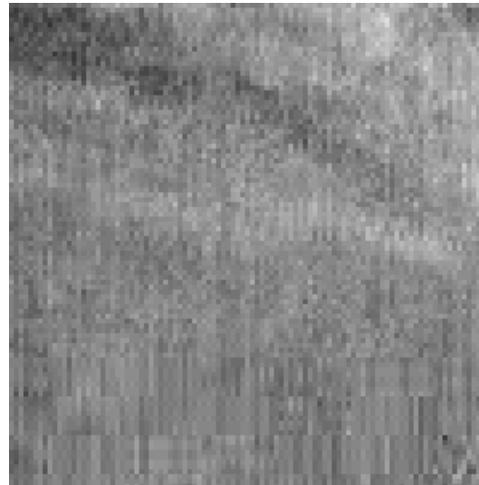
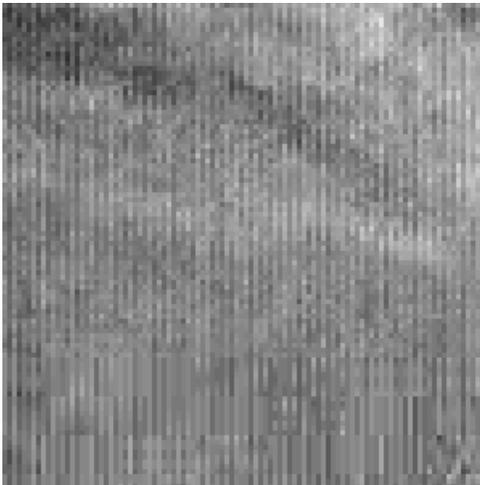
- ✓ Power spectral are calculated for each line by FFT
- ✓ Input 0 at 1/2 sample
- ✓ Calculate Inverse FFT (iFFT)
  - Implement to EOC processing system by March 2007

## Example of applying iFFT filter

100x100 (DN scale : 120-160)

IMG-05-ALPSMB013623255-O1B1\_\_B

Sahara, left: before correction; right: after correction



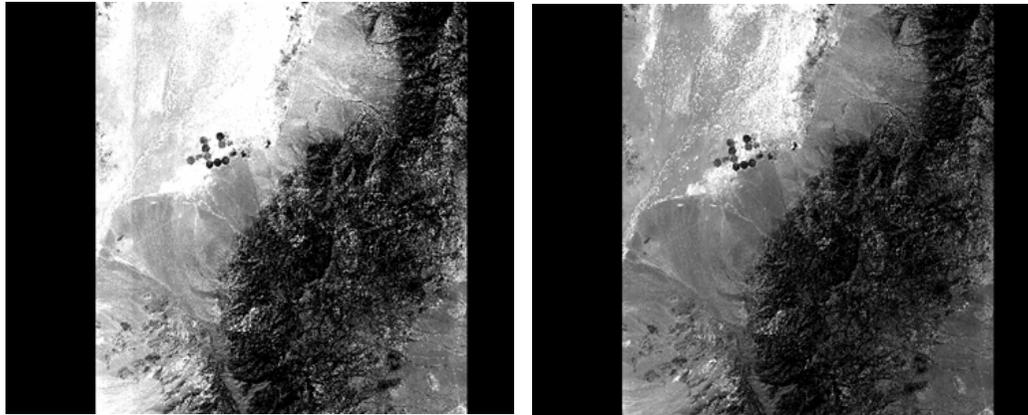
## Example of applying iFFT filter

100x100 (DN scale : 100-140)

IMG-04-ALPSMB029422885-O1B1\_\_B

Ely, left: before correction; right: after correction

## Radio Cal – PRISM Sensitivity Variation between CCDs (CCD Gaps)



Correction of sensitivity variation between CCDs.

1B1, ALPSMN029422830-O1B1, left: before correction, right: after correction

There are radiometric variations between CCDs in L1B2

- Radiometric correction is not sufficient
- Relative sensitivity variation between CCD

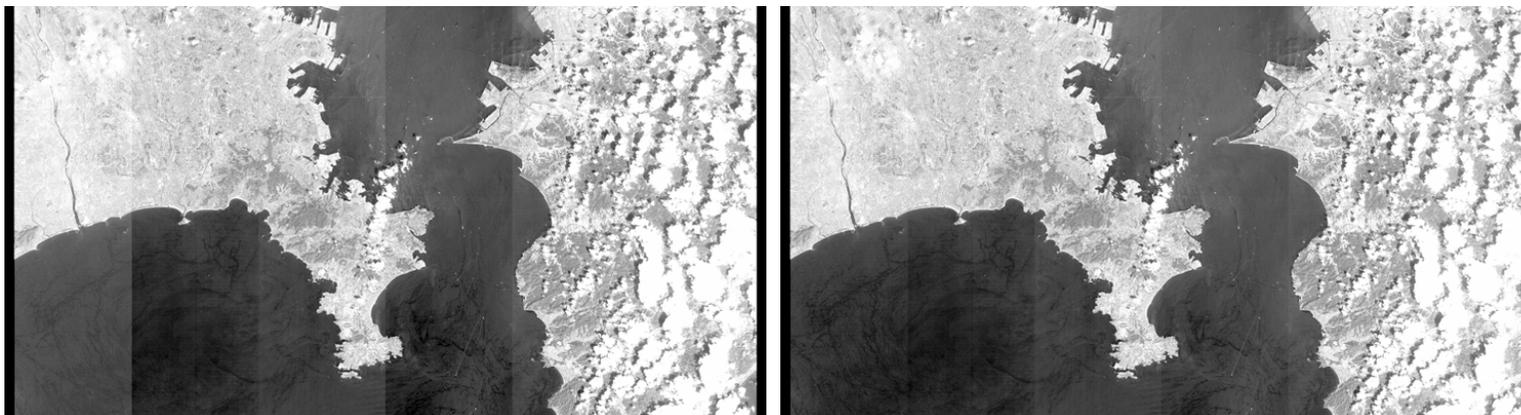
- ✓ Characteristic evaluation using overlap pixel (32 pixels)
- ✓ Corrected values estimation for each CCD, each gain and all radiometers.

- Separate to stripe noises correction

→ Implement to EOC processing system by March 2007

➤ Correct stripe noises

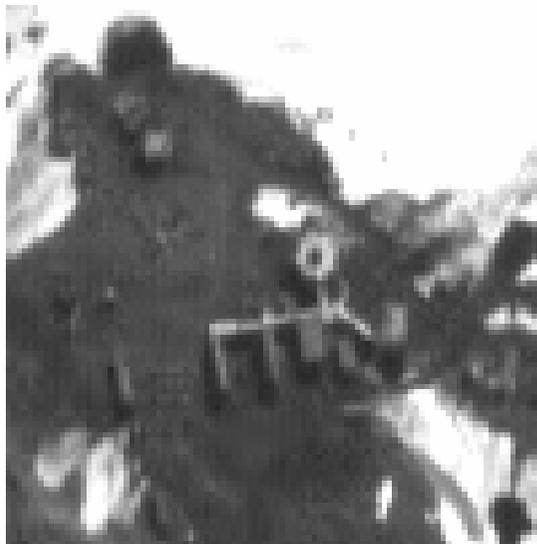
➤ Some target remain stripe noises



Correction of sensitivity variation between CCDs.

1B1, ALPSMW031652890-O1B1\_\_\_W, left: before correction, right: after correction

## Radio Cal – PRISM Sensitivity Variation between CCDs (CCD Gaps)



Before CCD gap correction



After CCD gap correction  
processed on March 2007



After CCD gap and stripe noise  
correction (averaged filter)

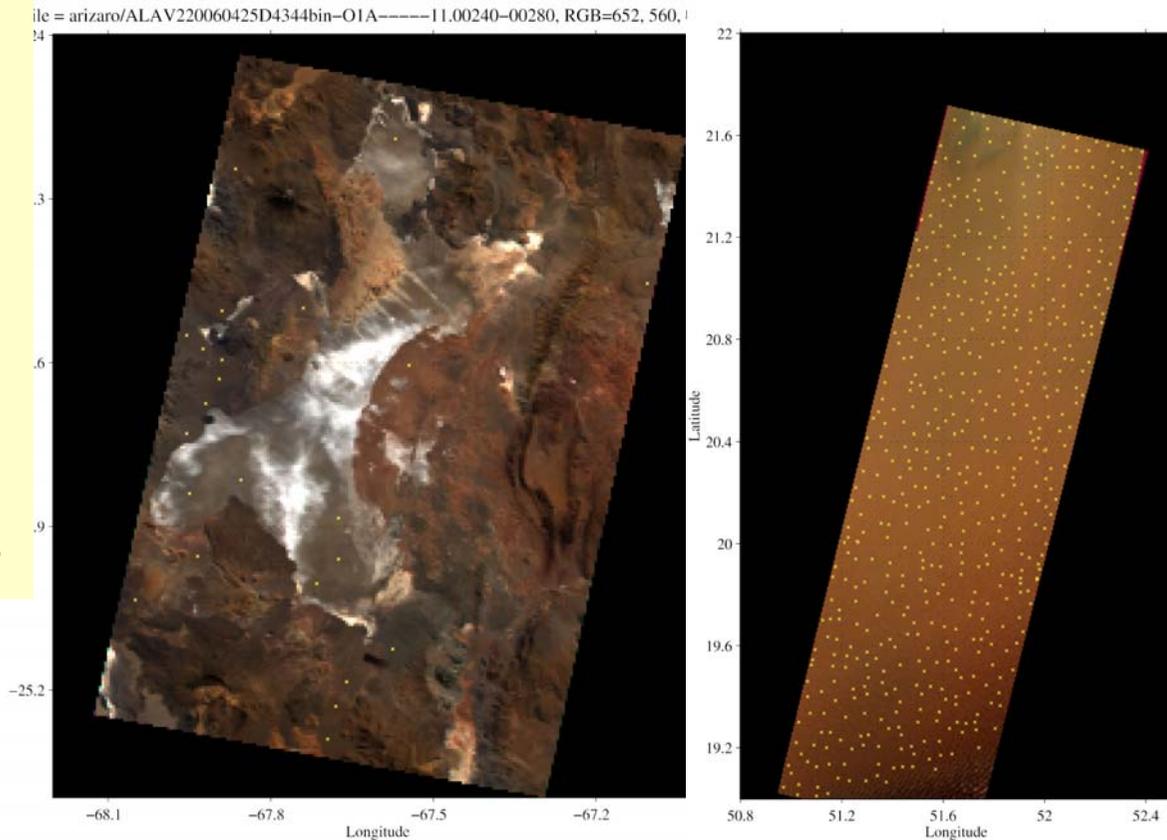
2007/1/1 Showa base, Antarctica  
IMG-03-ALPSMB049915065

- ✓ Normally stripe noises can be corrected by CCD gap correction
- ✓ The overlap area between CCDs (32 pixels) are covered by bright (or dark) pixels, correct parameters can not be calculated
- ✓ The stripe noises may be amplified if use INCORRECTED parameter
- ✓ The stripe noises correction filter are also difficult
- ✓ But, many images are covered by clouds

> Other methods or threshold should be considered

Cross calibration with MODIS onboard TERRA/AQUA satellites over homogeneous targets

- ✓ Number of evaluation scene can be increase
- ✓ Number of evaluation point can be increase
- ✓ MODIS are calibrating well
- ✓ Comparison of surface radiance/ reflectance at TOA over stable surfaces
- ✓ Aqua/Terra MODIS 500m resolution
- ✓ AVNIR-2: 500m average, variation <3%

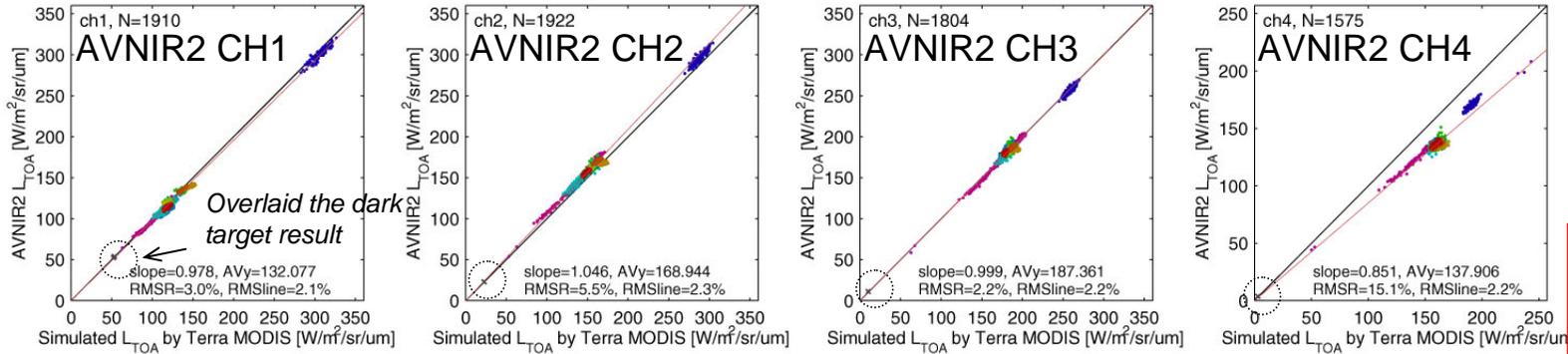


06/04/25 Arizaro, Argentine.

06/05/23 Rab Khali desert.

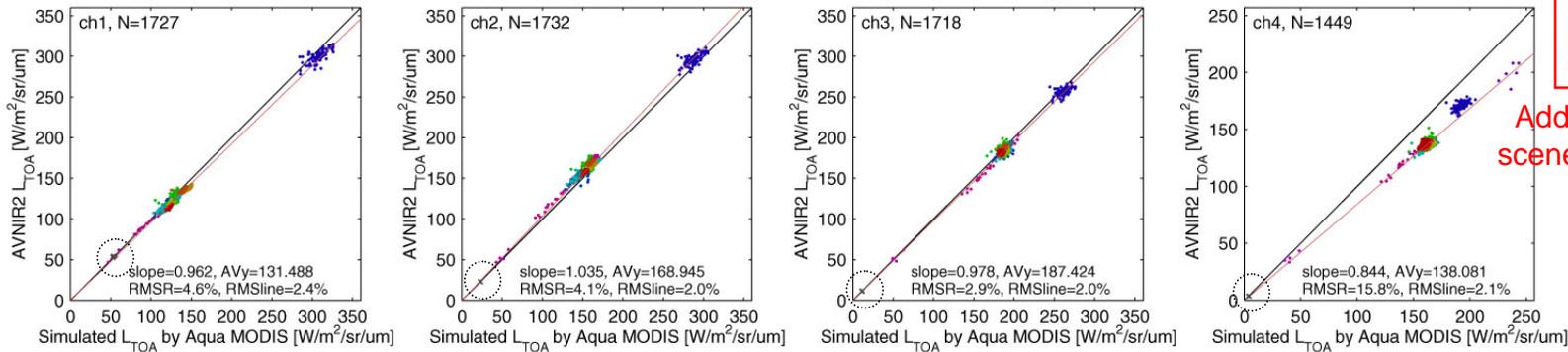
Yellow dots shows evaluation points (variation<3%).

## X axis: TERRA/MODIS



- Sahara 20060319
- Arizaro 20060425
- Wsands 20060524
- Uyuni 20060821
- RaKhali 20060501
- RaKhali 20060521
- RaKhali 20060523
- RaKhali 20060703
- RaKhali 20060720
- RaKhali 20060727
- RaKhali 20060902
- RaKhali 20060904
- RaKhali 20060913
- RaKhali 20060918
- RaKhali 20060919

## X axis: AQUA/MODIS



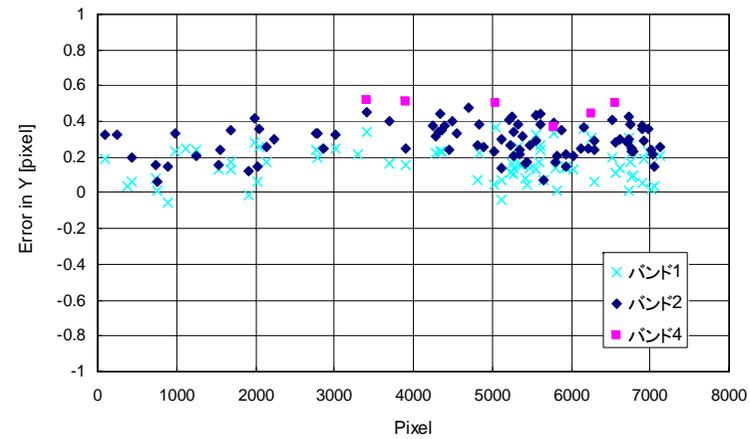
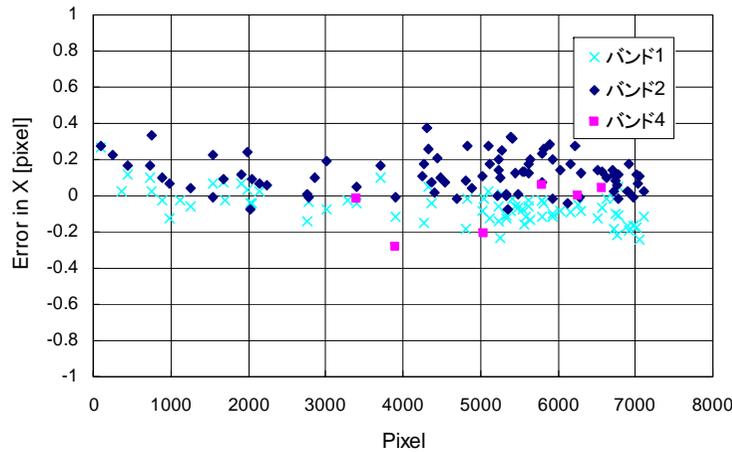
Additional evaluation scenes after Oct. 23, 06

AVNIR-2 Band	TERRA/MODIS			AQUA/MODIS		
	Number	Slope	RMSR	Number	Slope	RMSR
1	1910	0.978	2.2 %	1727	0.962	3.8 %
2	1922	1.046	4.6 %	1732	1.035	3.5 %
3	1804	0.999	0.1 %	1718	0.978	2.2 %
4	1575	0.851	14.9 %	1449	0.844	15.6 %

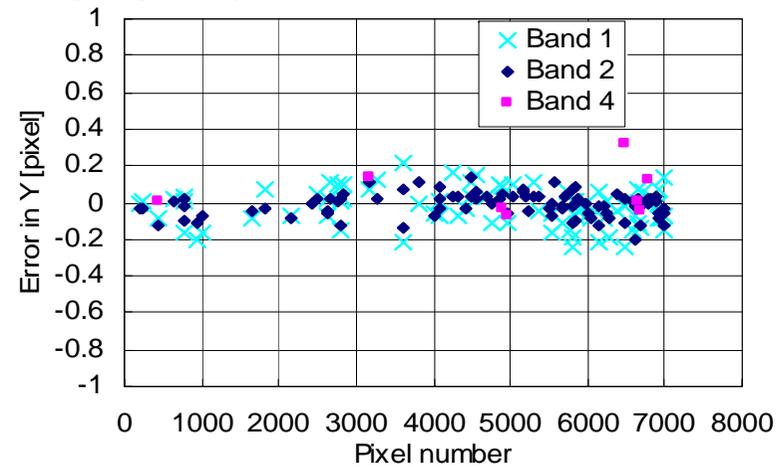
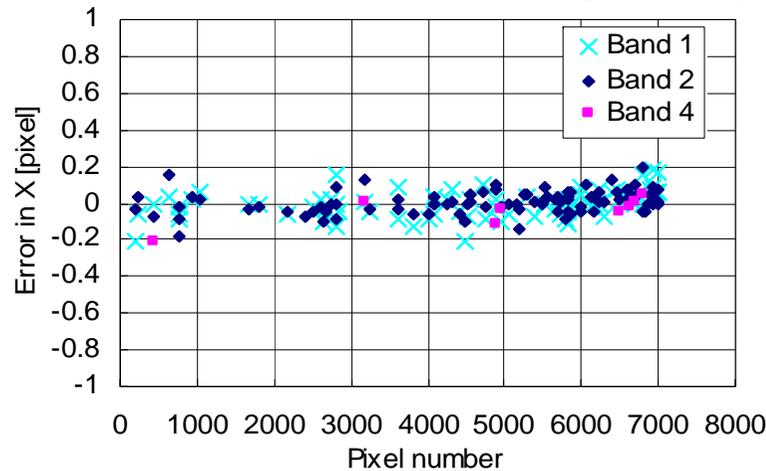
\* Number: number of evaluation points; Slope: radiance ratio of AVNIR-2/MODIS; Ave: average of AVNIR-2's radiance (W/m<sup>2</sup>/sr/micro-m); and RMSR: root mean square of residual.

The half of error of band 4 can be described by atmospheric condition >Under evaluation

**EORC** Earth Observation Research Center



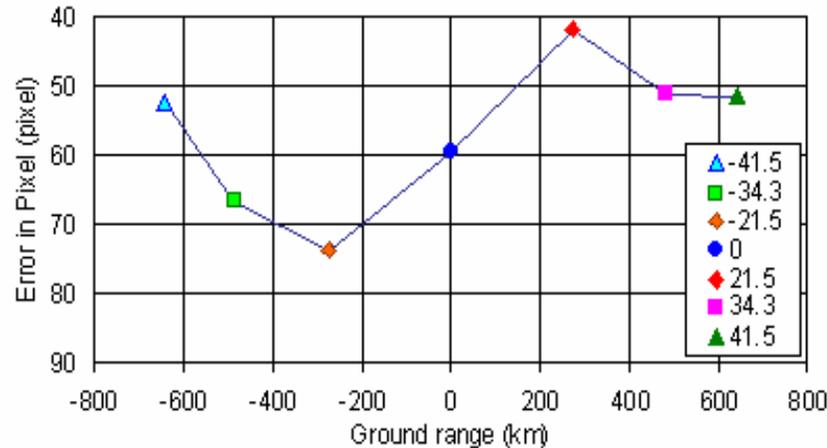
Evaluation of AVNIR-2 band-to-band registration (0deg. pointing angle, Sky blue: Band1, Blue: Band2, Pink: Band4).



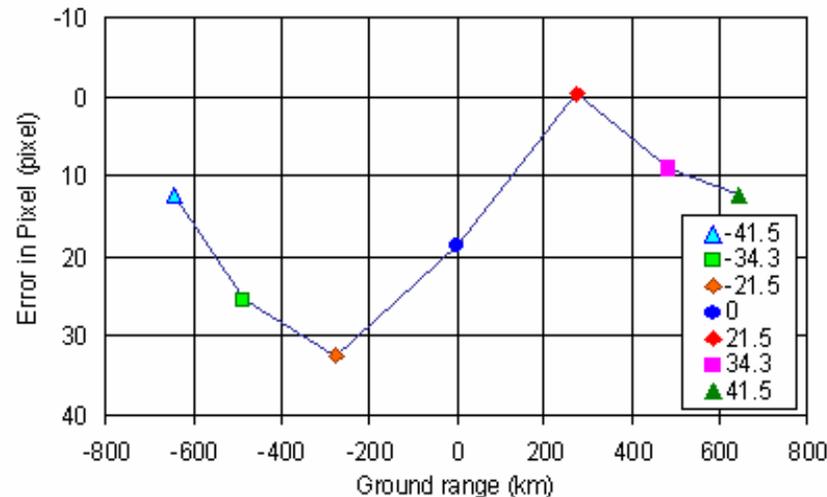
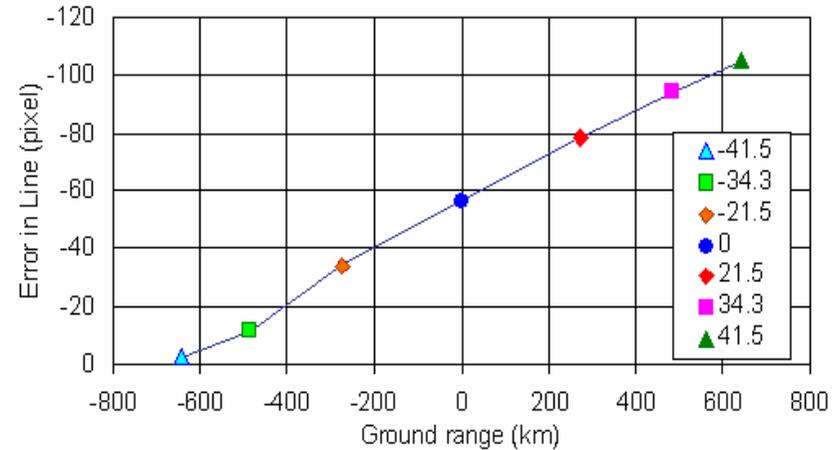
After correction of the registration (as of Sep. 26).

## AVNIR-2 Band-to-Band registration estimation and correction:

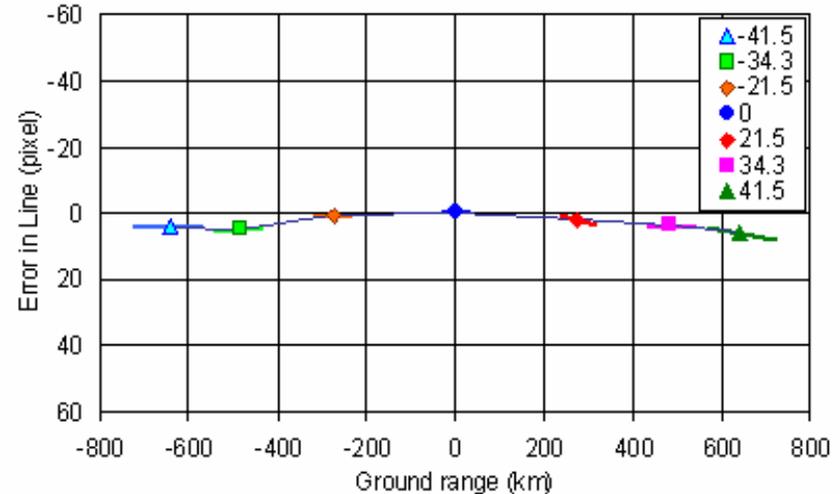
- ✓ 19 scenes, various pointing angles (-41.5 to +41.5deg.), Band 3 is base image
- ✓ Automatic image matching technique (least square matching)
- ✓ Parameters were modified in geometric sensor model



Geometric error trend analysis before correction of sensor alignment (as of Dec 2006).



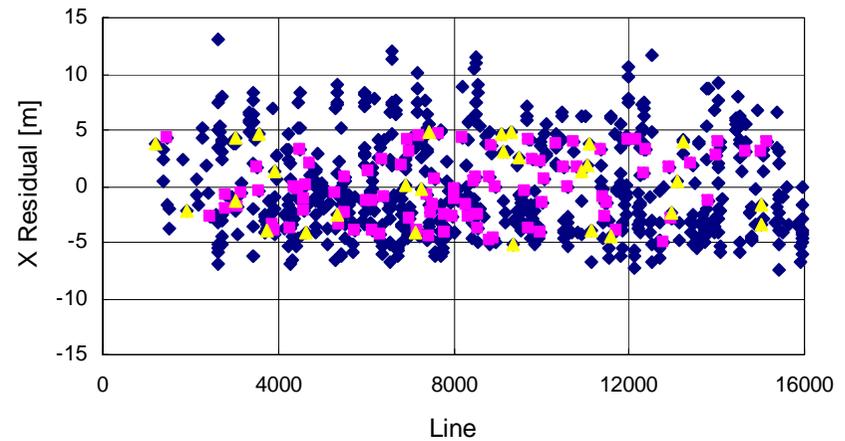
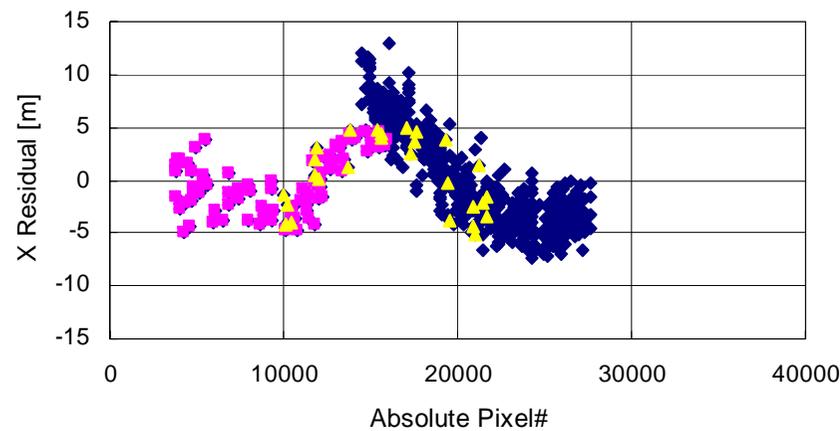
After correction of sensor alignment (as of Dec 2006).



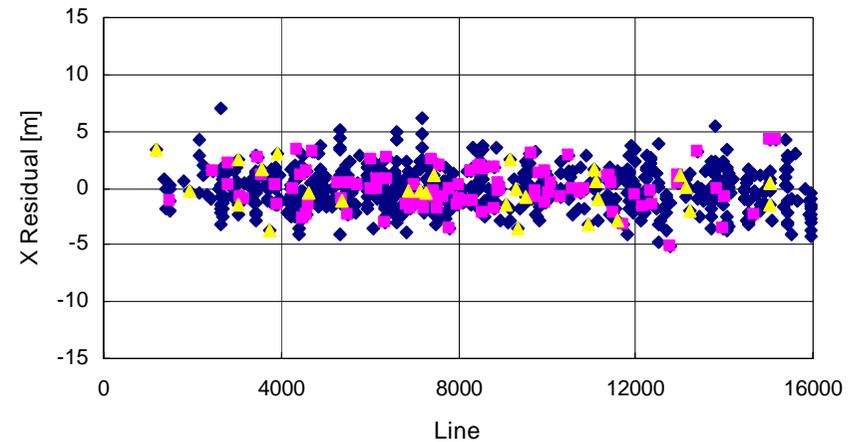
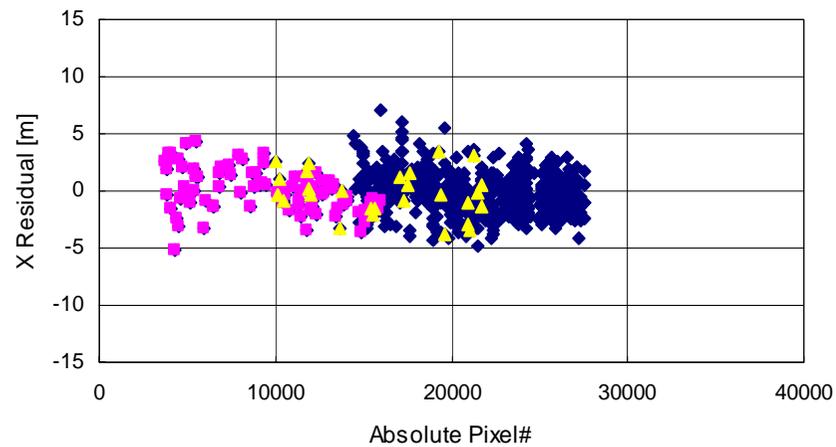
## AVNIR-2 sensor alignments estimation (Dec. 7, 2006):

- ✓ External orientation technique using GCPs for various pointing angles
- ✓ Error trends were analyzed and parameters were updated

## Geo Cal – PRISM relative CCD Alignment (2)



CCD alignments evaluation of PRISM Backward radiometer (Pink: CCD#1-3, Yellow: CCD#3-5, Blue: CCD#4-6).



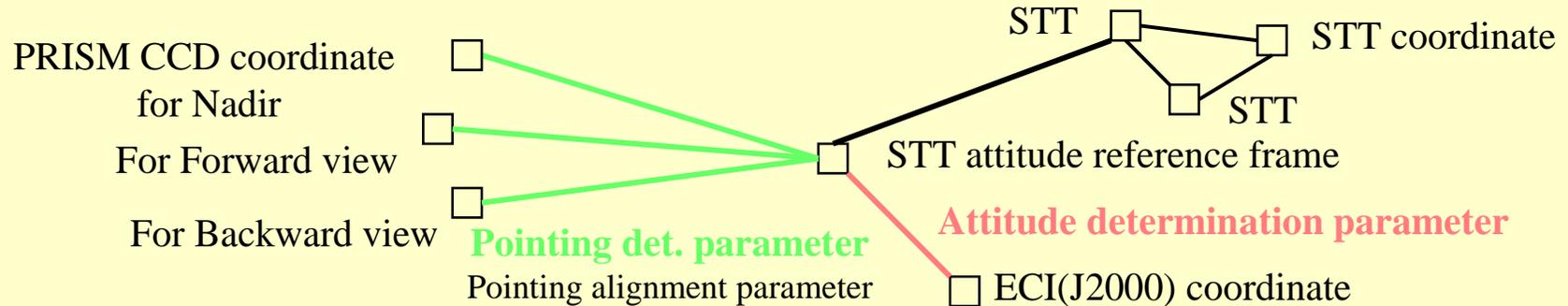
After correction of relative CCD alignments (orientation residual in X direction).

### PRISM CCD alignments estimation (Ver. 2 released on Feb 28, 2007):

- ✓ External orientation technique: Yaw axis fixed > 1GCP can be corrected
- ✓ Almost of residuals of orientation are within +/-5m after correction
- ✓ Some CCDs have not been evaluated

# Geo Cal – PRISM Alignment Parameter (AP)

## ALOS AOCS system and coordination: Pointing Management



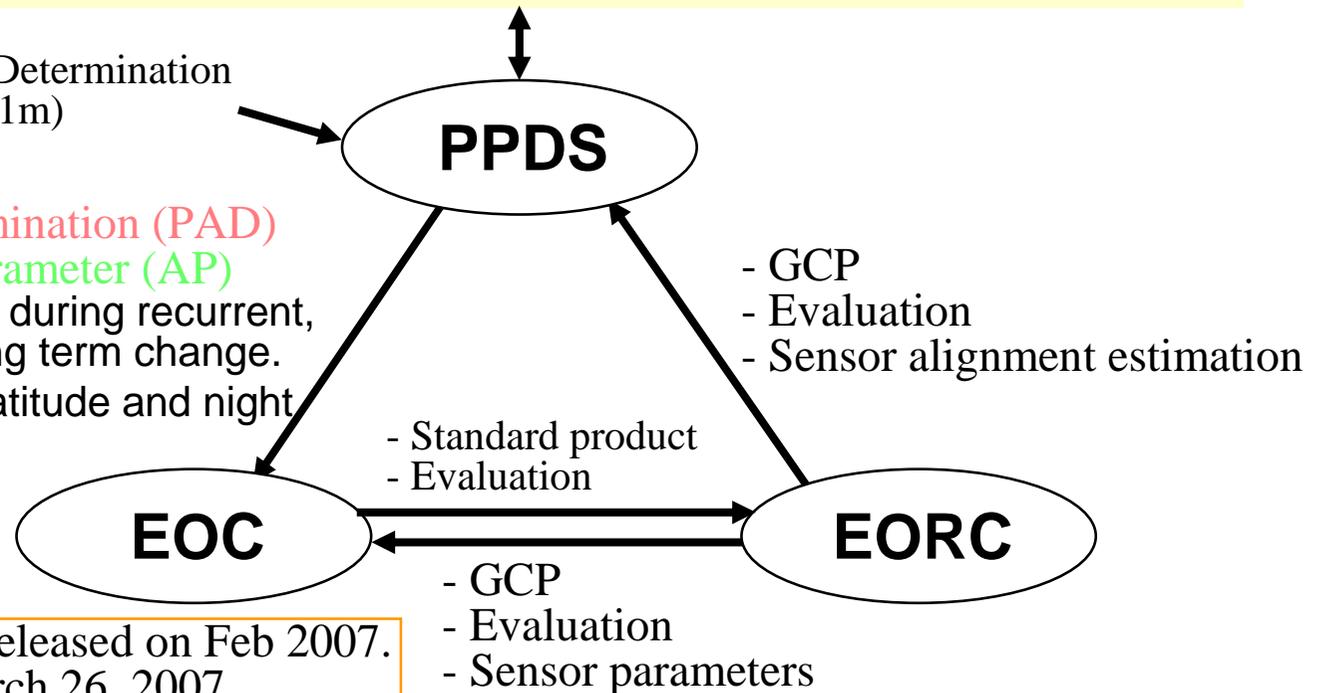
- Precise Orbit Determination from TACC (<1m)

- **Precise Attitude Determination (PAD)**

- **Pointing Alignment Parameter (AP)**

Evaluation of variation during recurrent, seasonal change, and long term change.

> It is better to use high latitude and night time GCPs



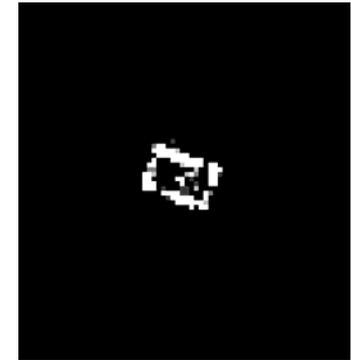
#5, #6 Pointing APs were released on Feb 2007.  
#7 AP was released on March 26, 2007.  
#8 AP was released on April 23, 2007.  
#9 AP will update on E/June or B/July, 2007.

- Nighttime GCP collection

- Preliminary experiment: PRISM G1, AVNIR-2 G4

- ✓ RSP391 2007/01/31 -1.2 Morioka, Aomori
    - ✓ RSP410 2007/02/01 -1.2 Hiroshima, Kure
    - ✓ RSP383 2007/02/02 -1.2 Kushiro, Kitami
    - ✓ RSP402 2007/02/03 -1.2 Yokkaichi

2/3 PRSIM Yokkaichi  
Artificial feature can  
be found, but the  
exact location could  
not be identified yet.



- Simultaneous experiment: PRISM G2-3, AVNIR-2 G4

- ✓ Use power lump for construction as target
    - ✓ 07/2/5: Cloudy, but one brighter target could be find
    - ✓ 07/2/22: Cloudy, but find brighter targets in Tokyo in AVNIR-2
    - ✓ 07/3/23: Cloudy; 07/3/11, 4/9: Canceled
    - ✓ 07/4/26: Cloudy, brighter targets in Tokyo and Yokohama. GPS measured on Jun. 6, 07
    - ✓ 07/6/11: Experiment around northern part of Saitama

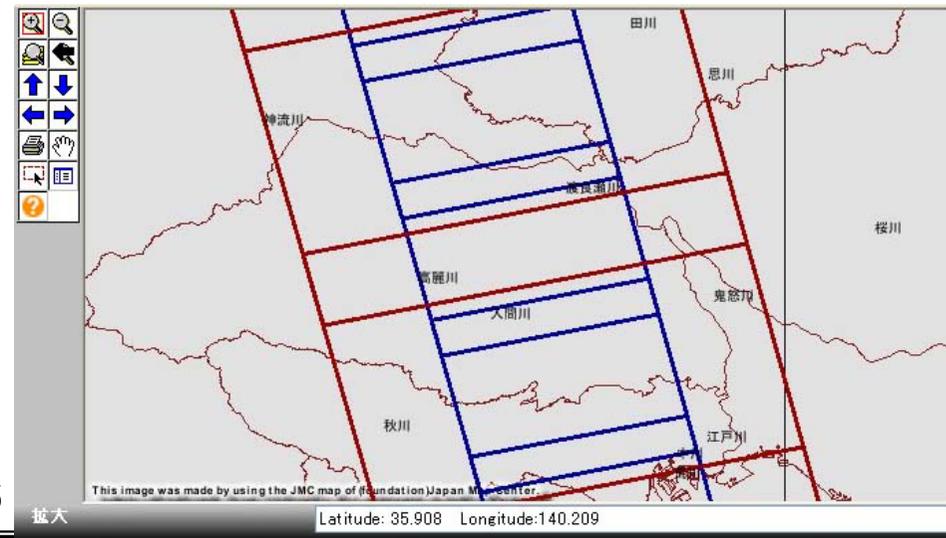
- High latitude GCP collection: Antarctica

- ✓ Collaboration with GSI



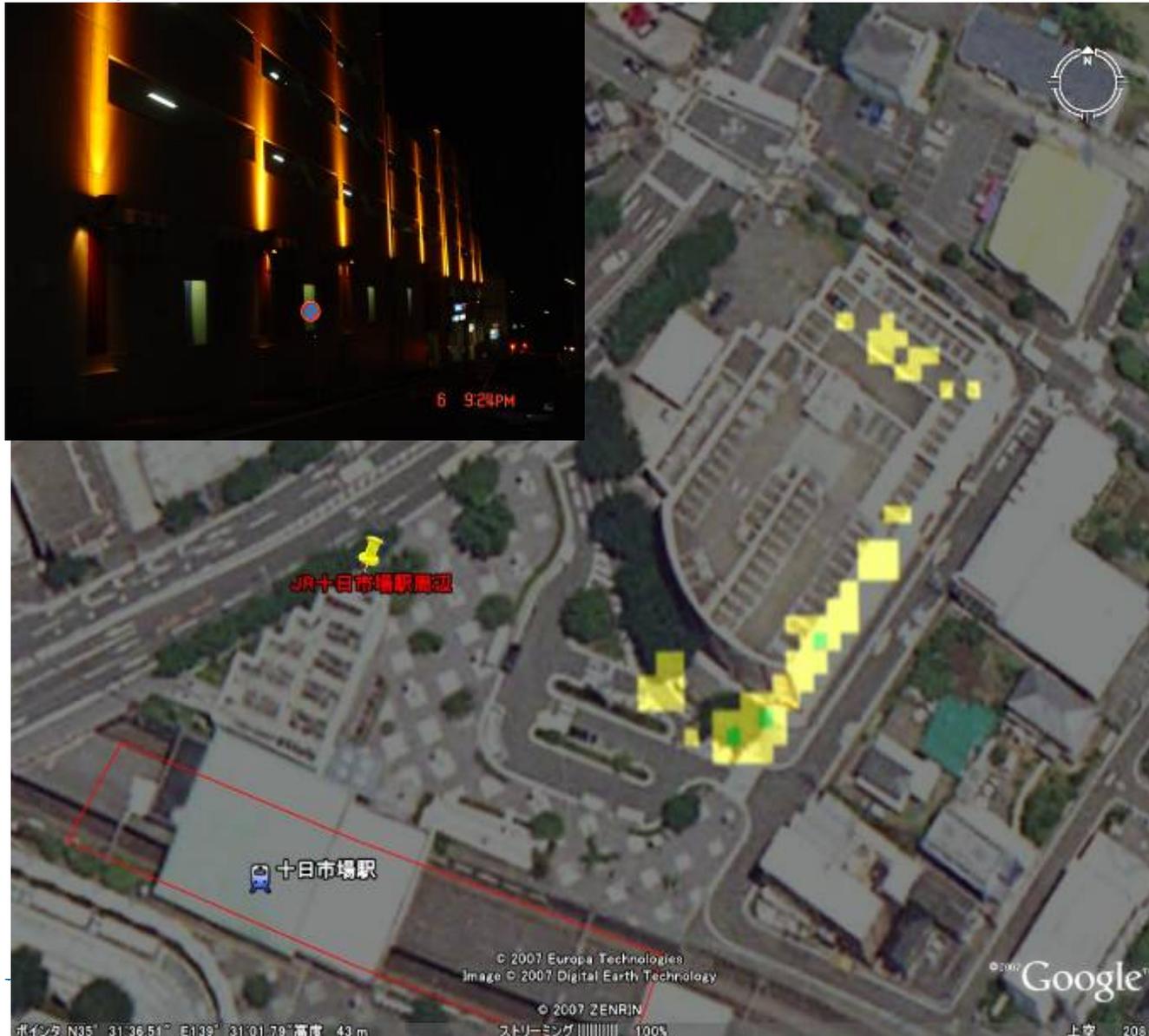
Power lump for  
construction to use as  
Nighttime GCP

3/11 RSP396



## Geo Cal – PRISM Alignment Parameter (AP)

- Nighttime GCP collection: Tokyo and Yokohama measured on June 6, 2007



PRISM image acquired in ascending node on April 26 2007 were overlaid to Google-Earth. PRISM image were colored by yellow and green that corresponds less than 200 DN. We have been measured there location by VRS-GPS.

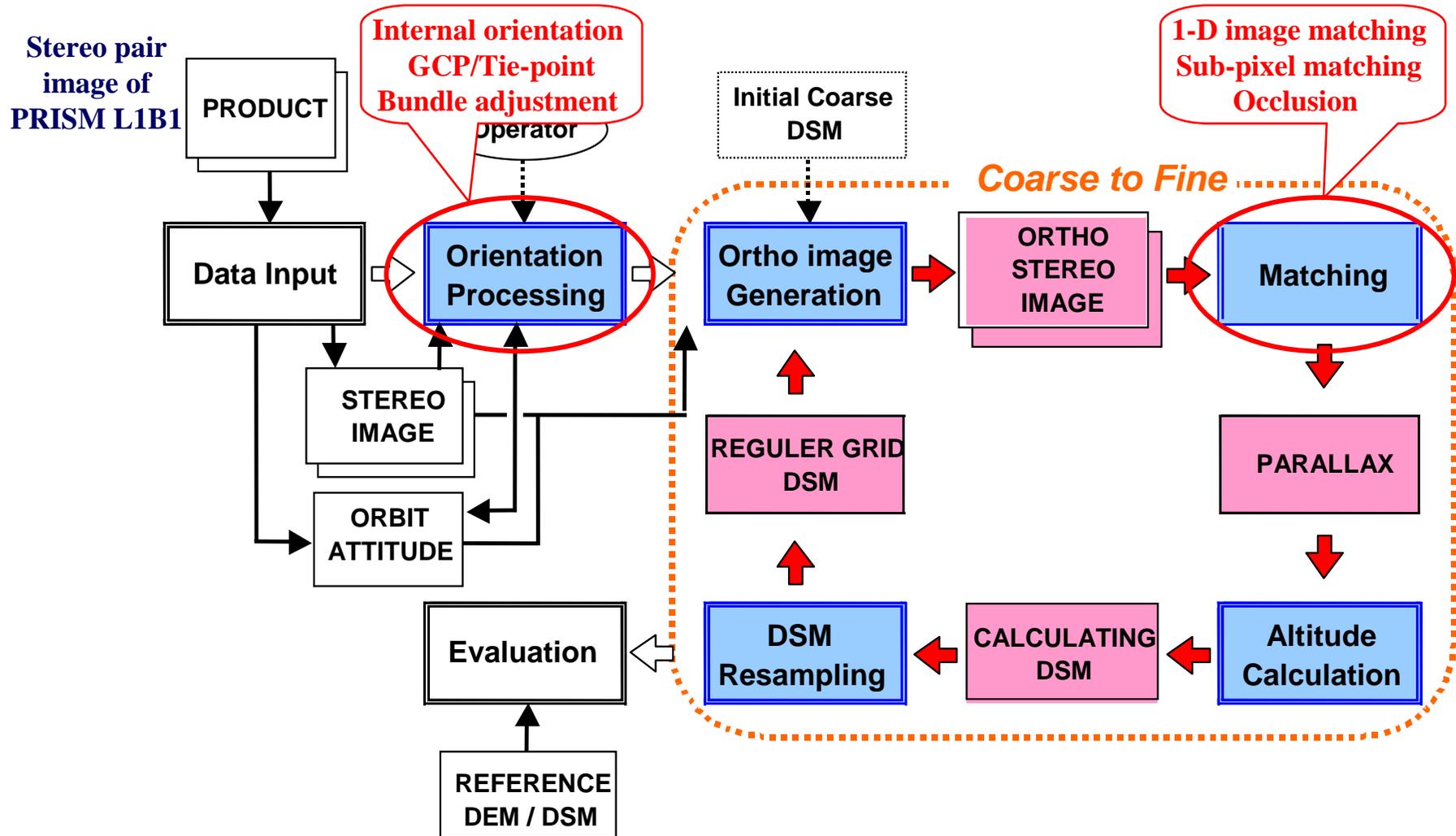
## Result of PRISM/AVNIR-2 Cal (as of Mar. 29, 2007)

Standard Product	Target Accuracy	Results as of Mar. 29, 2007												
<b>PRISM 1B2</b>	Radiometry Relative Accuracy 5% ( $1\sigma$ ) Absolute Accuracy 10% ( $1\sigma$ )  Geometry Absolute Accuracy (without GCP) 2.0m ( $1\sigma$ )  for Nadir-looking radiometer with the Precise Pointing Geolocation Determination System (PPDS)	Radiometry (RMS) Relative Accuracy less than 0.4% (1DN) → <b>Depends on target, post processing</b>  Absolute Accuracy less than 4.6%  Geometry Absolute Accuracy → <b>Pointing alignment evaluation</b>  <table> <tr> <td></td> <td>Pixel (X)</td> <td>Line (Y) (RMS)</td> </tr> <tr> <td>Forward</td> <td>11m</td> <td>21m</td> </tr> <tr> <td>Nadir</td> <td><b>8m</b></td> <td><b>9m</b></td> </tr> <tr> <td>Backward</td> <td>10m</td> <td>20m</td> </tr> </table> Relative Accuracy ( $1\sigma$ ) 3 radiometers 4m 3m		Pixel (X)	Line (Y) (RMS)	Forward	11m	21m	Nadir	<b>8m</b>	<b>9m</b>	Backward	10m	20m
	Pixel (X)	Line (Y) (RMS)												
Forward	11m	21m												
Nadir	<b>8m</b>	<b>9m</b>												
Backward	10m	20m												
<b>AVNIR-2 1B2</b>	Radiometry Relative Accuracy 5% ( $1\sigma$ ) Absolute Accuracy 10% ( $1\sigma$ )  Geometry Absolute Accuracy without GCP 94.6m ( $1\sigma$ ) Relative Accuracy with GCP 2.6m ( $1\sigma$ ) at 0 degree pointing angle	Radiometry (RMS) Relative Accuracy less than 0.4% (1DN) Absolute Accuracy 3.8% (B1), 4.6% (B2), 2.2%(B3), 15.6% (B4)  Geometry (-41.5 to +41.5 deg.. pointing)  <table> <tr> <td></td> <td>Pixel (X)</td> <td>Line (Y)</td> </tr> <tr> <td>Absolute Accuracy (RMS)</td> <td><b>106m</b></td> <td><b>19m</b></td> </tr> <tr> <td>Relative Accuracy (<math>1\sigma</math>)</td> <td>4m</td> <td>4m</td> </tr> </table>		Pixel (X)	Line (Y)	Absolute Accuracy (RMS)	<b>106m</b>	<b>19m</b>	Relative Accuracy ( $1\sigma$ )	4m	4m			
	Pixel (X)	Line (Y)												
Absolute Accuracy (RMS)	<b>106m</b>	<b>19m</b>												
Relative Accuracy ( $1\sigma$ )	4m	4m												

\* Latest ALOS calibration result can be find at

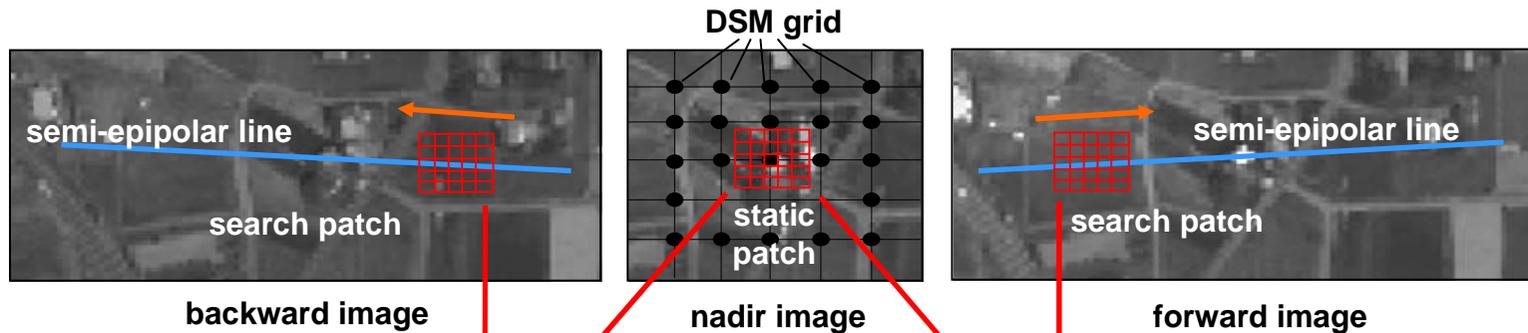
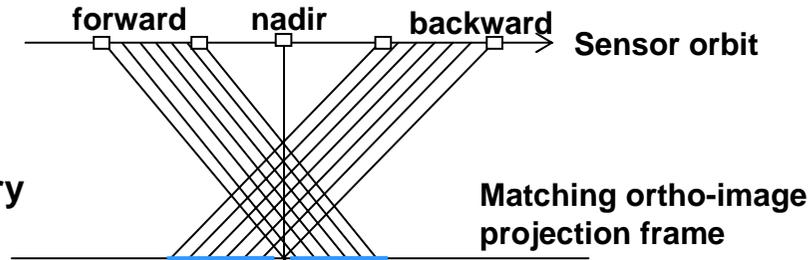
[http://www.eorc.jaxa.jp/hatoyama/satellite/data\\_tekyo\\_setsumei/alos\\_hyouka\\_e.html](http://www.eorc.jaxa.jp/hatoyama/satellite/data_tekyo_setsumei/alos_hyouka_e.html) in English

[http://www.eorc.jaxa.jp/hatoyama/satellite/data\\_tekyo\\_setsumei/alos\\_hyouka.html](http://www.eorc.jaxa.jp/hatoyama/satellite/data_tekyo_setsumei/alos_hyouka.html) in Japanese



Processing flowchart of PRISM DSM generating software.

Two epipolar lines are calculated for each DSM grid based on the epipolar geometry



$$\rho = \frac{1}{N} \sum_{i=1}^N (x_i - \bar{x})(y_i - \bar{y})}{\sigma_x \sigma_y}$$

nadir-backward correlation

$$\rho = \frac{1}{N} \sum_{i=1}^N (x_i - \bar{x})(y_i - \bar{y})}{\sigma_x \sigma_y}$$

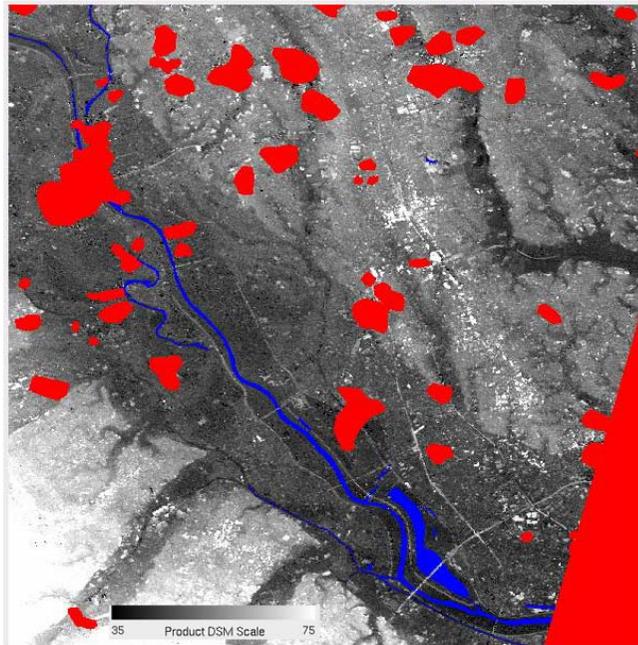
nadir-forward correlation

sum

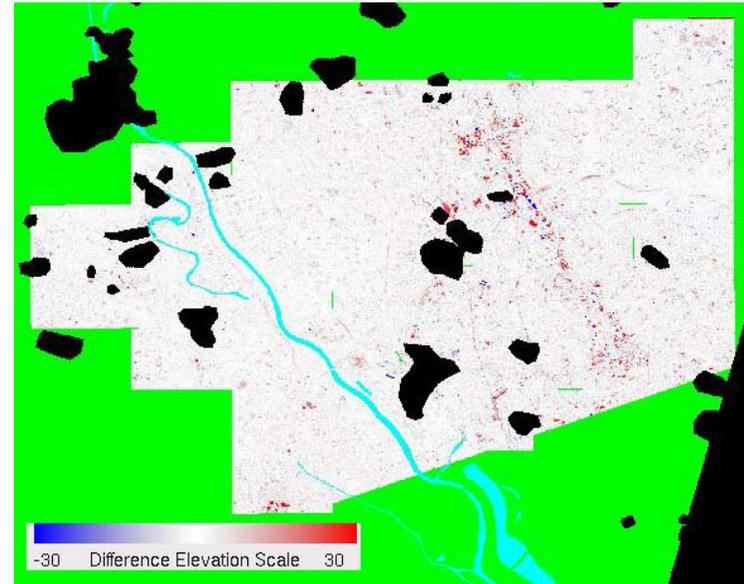
total correlation

Sub-pixel parallaxes are calculated using Parabola fitting with neighbor correlations

# Validation – PRISM/DSM Test Generation



Example of generated DSM by PRISM Triplet (OB1).



Height differences between Lidar/DSM – PRISM/DSM.

as of March 8, 2007



Reference Lidar/DSM by GSI.

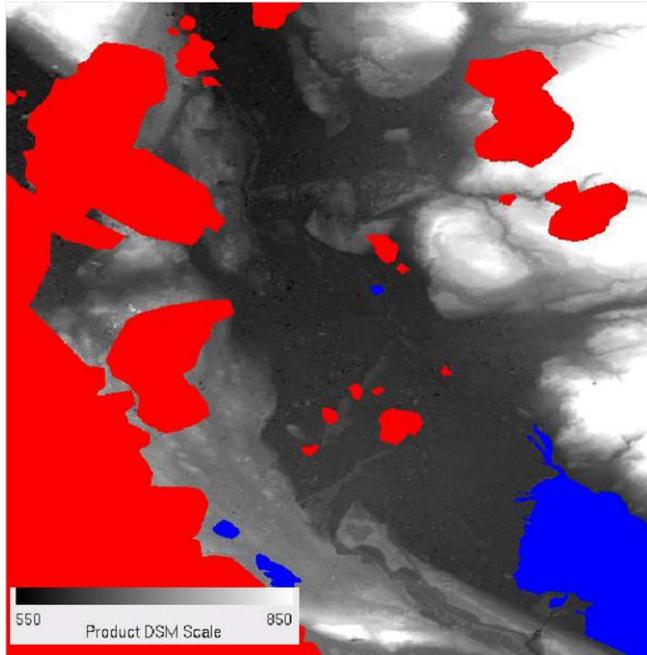
Test generation of PRISM/DSM using stereo pair image acquired in Saitama Pref. Japan on April 30 (“Cal/Val Dataset”).

- ✓ Corrected CCD alignment images
- ✓ Compared the results with Lidar/DSM by GSI
- ✓ Averaged error= **0.84m**, STDEV= **4.76m** /1258857

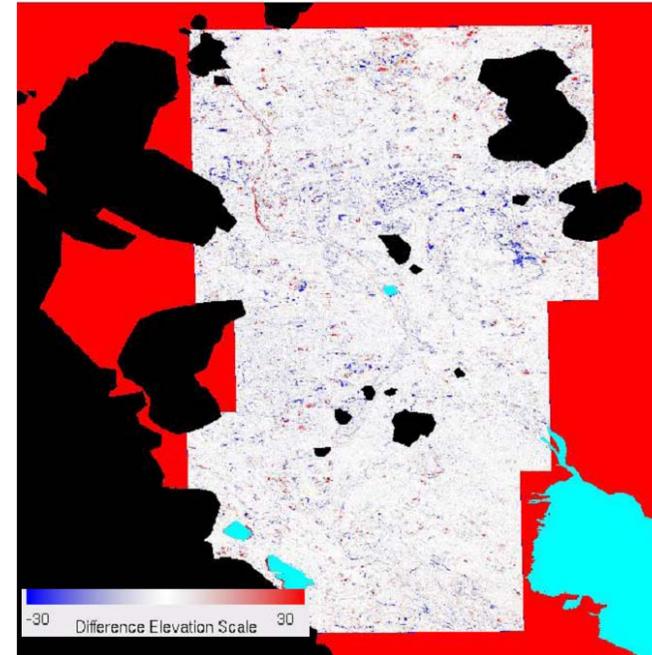


- ✓ Large errors were identified due to buildings
- ✓ Filtering and tuning of matching processing

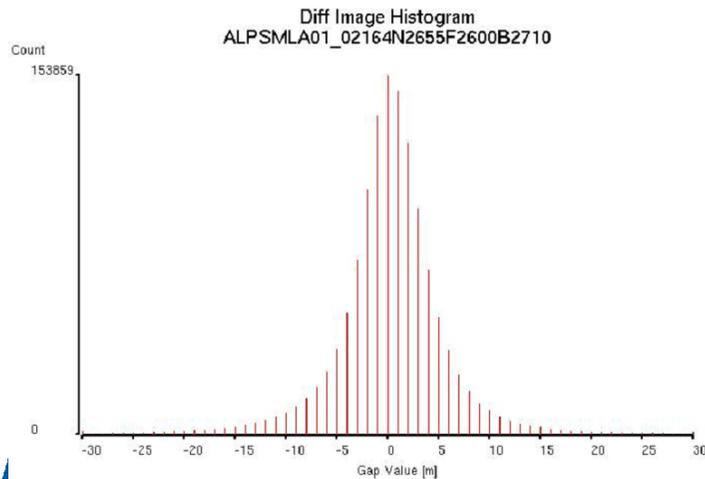
# Validation – PRISM/DSM Test Generation



Example of generated DSM by PRISM Triplet (OB1).



Height differences between PRISM/DSM – Photogrametry/DSM.  
as of March 8, 2007



Histogram of height difference.

Test generation of PRISM/DSM using stereo pair image acquired in Thun, Switzerland on June 20, 2006 (next “Cal/Val Dataset”).

- ✓ Compared the results with DSM by aerial photo
- ✓ Averaged error= **-0.64m**, STDEV= **5.73m** / 1292184



- ✓ Differences of observed year and date
- ✓ Large errors were identified due to edges of forest area
- ✓ Filtering and tuning of matching processing

# Validation – PRISM/DSM Test Generation

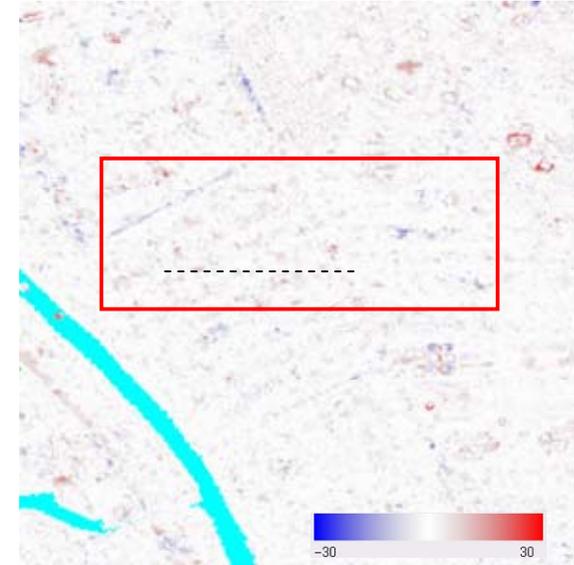
## Paddy area in Saitama



PRISM-DSM



LiDAR-DSM



Difference (PRISM-LiDAR)

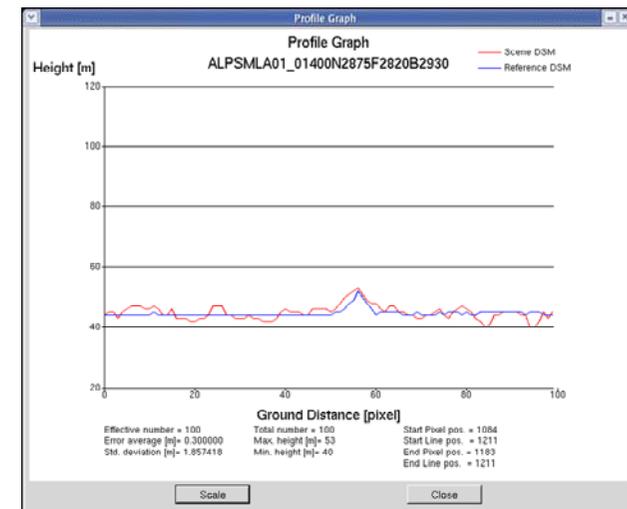


PRISM-Image(Nadir)

Area No. 1  
Category Paddy

### DSM Error Stats (Red Rectangular Area)

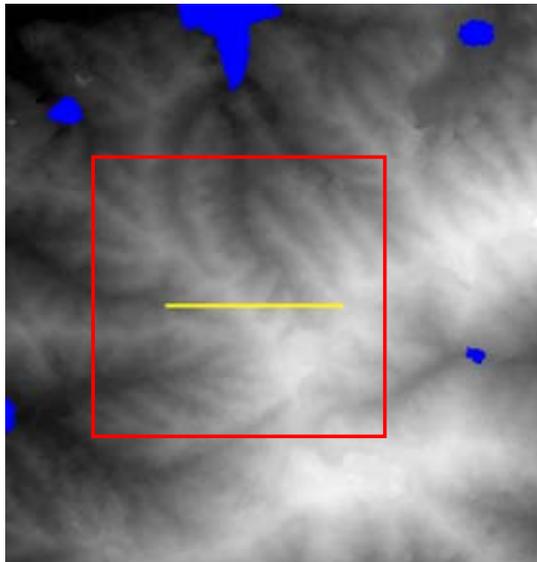
Points	17892
Bias[m]	0.48
SD[m]	2.73
RMS[m]	2.77
Max[m]	22
Min[m]	-16



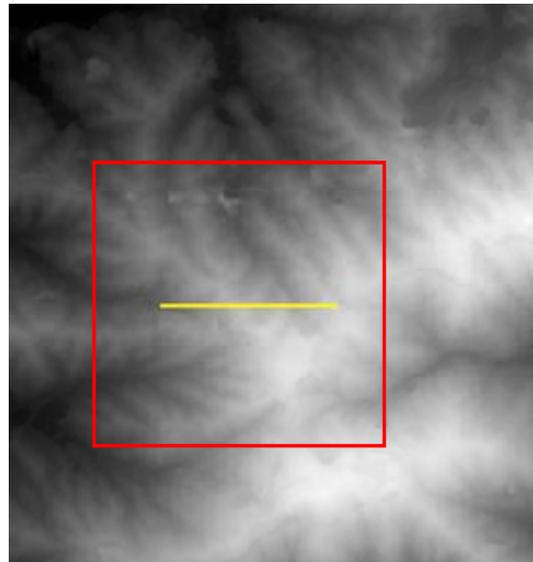
DSM profile (Yellow Line)

# Validation – PRISM/DSM Test Generation

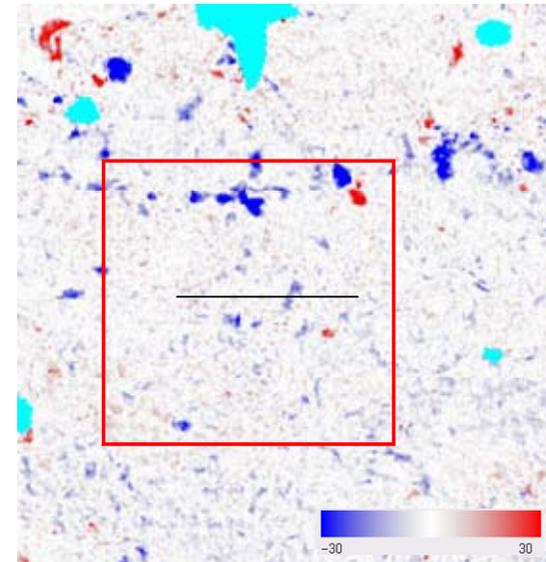
## Dense trees area in steep terrain in Okazaki



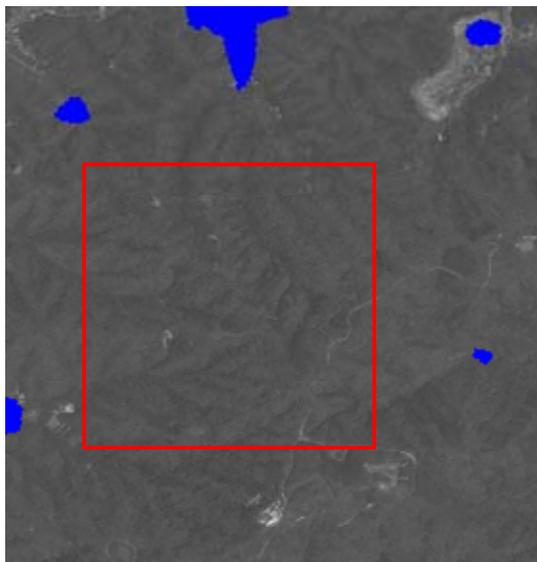
PRISM-DSM



AP-DSM



Difference (PRISM-AP)

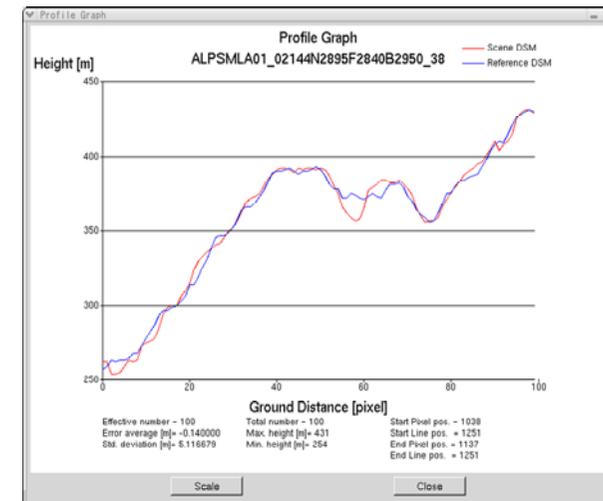


PRISM-Image(Nadir)

Area No. 11  
Category dense trees

### DSM Error Stats (Red Rectangular Area)

Points	31243
Bias[m]	-0.92
SD[m]	6.32
RMS[m]	6.38
Max[m]	48
Min[m]	-72



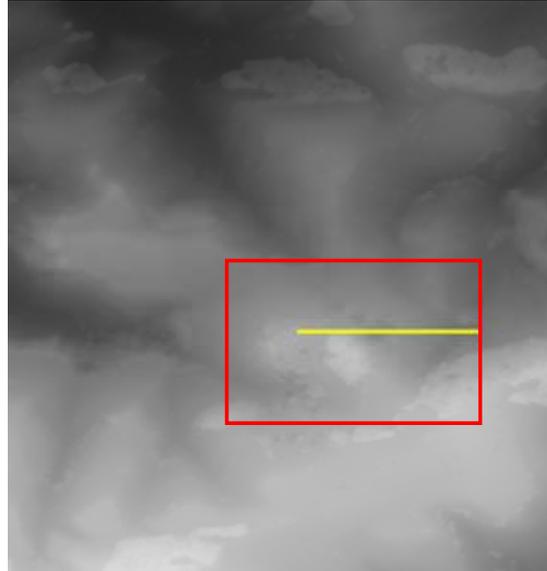
DSM profile (Yellow Line)

# Validation – PRISM/DSM Test Generation

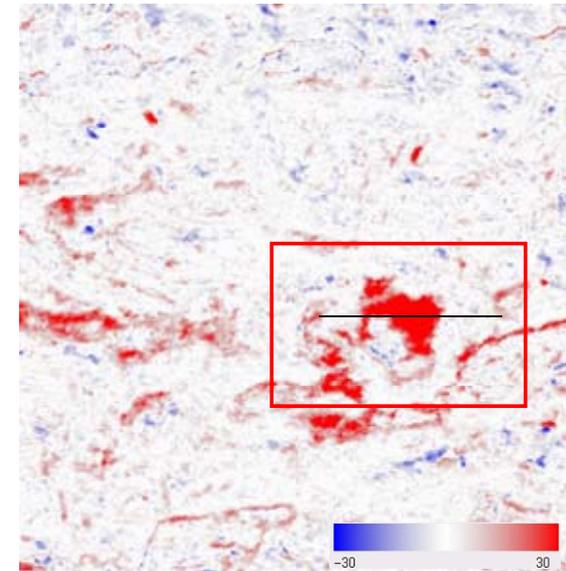
Dense tree area in SW, Thun



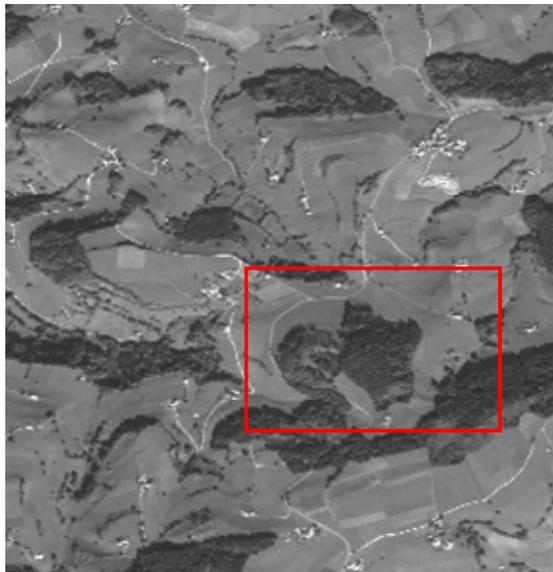
PRISM-DSM



AP-DSM



Difference (PRISM-AP)

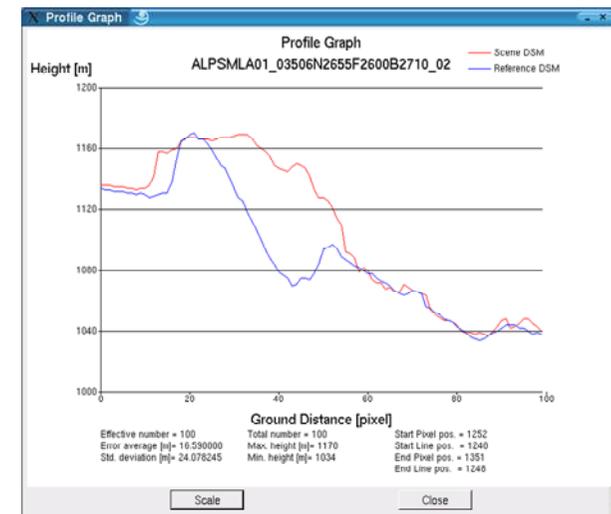


PRISM-Image(Nadir)

Area No. 22  
Category dense trees

DSM Error Stats (Red Rectangular Area)

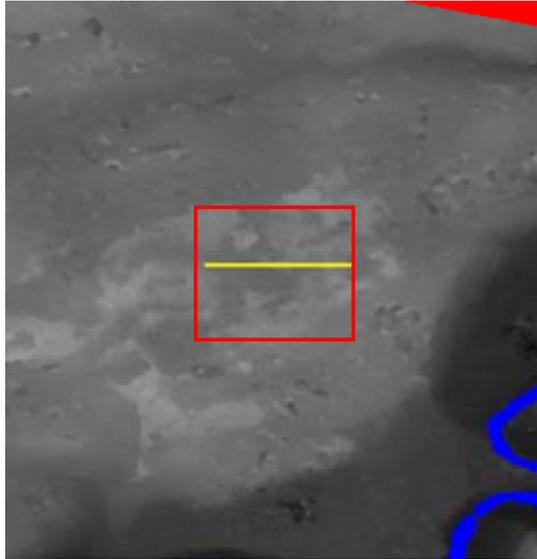
Points	6930
Bias[m]	9.65
SD[m]	14.80
RMS[m]	17.67
Max[m]	90
Min[m]	-19



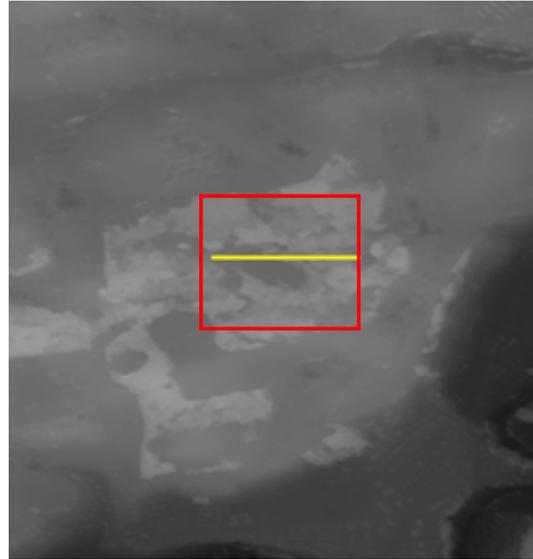
DSM profile (Yellow Line)

# Validation – PRISM/DSM Test Generation

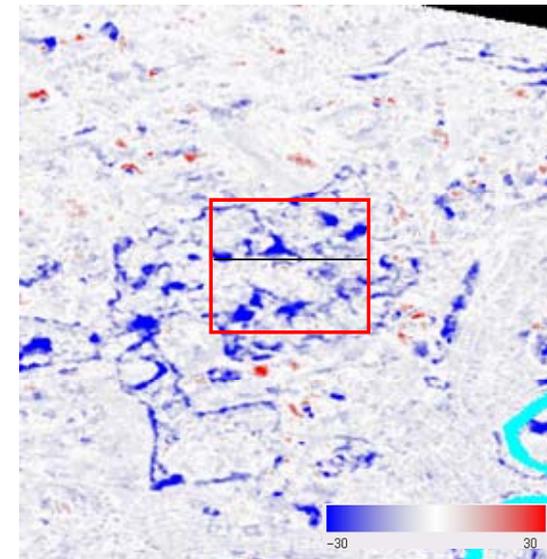
## Sparse tree area in Bern, Thun



PRISM-DSM



AP-DSM



Difference (PRISM-AP)

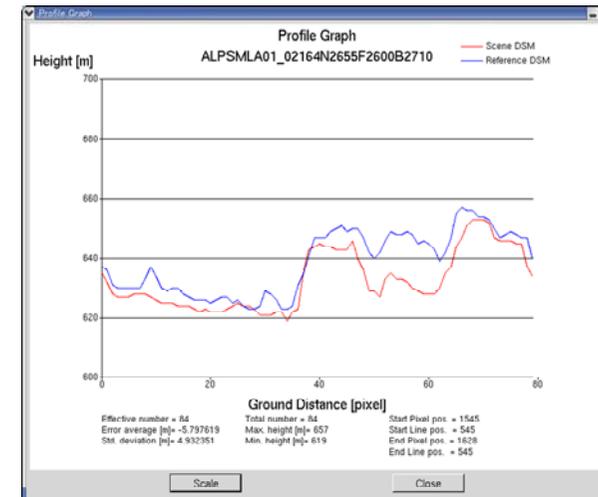


PRISM-Image(Nadir)

Area No. 10  
Category sparse trees

### DSM Error Stats (Red Rectangular Area)

Points	6132
Bias[m]	-8.71
SD[m]	8.45
RMS[m]	12.13
Max[m]	17
Min[m]	-48



DSM profile (Yellow Line)

## Conclusion

I introduced the results of Cal/Val of ALOS optical sensors, in particular,

- 1) Summary of calibration results as of March 2007,
  - Geometric calibrations of AVNIR-2 and PRISM,
  - Radiometric calibration of AVNIR-2 / correction of PRISM, and
- 2) PRISM/DSM validation.

As a proposal to contribute K&C, validation of PRISM/DSM over homogeneous forest areas to estimate tree height.

For more information related to research, application and science,

- **EORC/ALOS** : New images, data acquisition plan and technical documents  
<http://www.eorc.jaxa.jp/ALOS/index.htm>

For data search and order,

- **EOC/ALOS User Interface Gateway (AUIG)**  
<https://auig.eoc.jaxa.jp/auigs/en/top/index.html>
- **RESTEC/CROSS** : Data search, data order for Asian Node  
<https://cross.restec.or.jp/cross/CfcLogin.do?locale=en>