



ALOS

K&C Initiative  
*An international science collaboration led by JAXA*

# Ortho-rectification and calibration of multi-temporal ALOS PALSAR SCANSAR data for mapping wetland dynamics

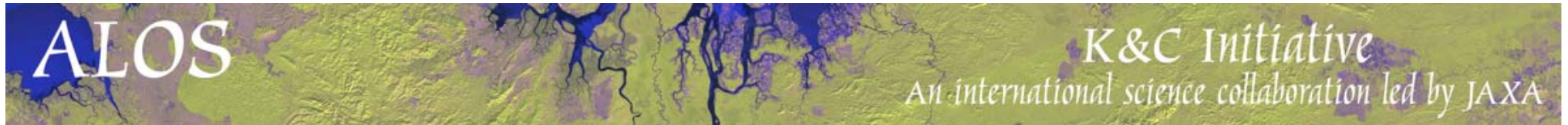
**Bruce Chapman<sup>1</sup>, Laura Hess<sup>2</sup>, and Kyle McDonald<sup>1</sup>**

**1 Jet Propulsion Laboratory, California Institute of Technology**

**2 University of California, Santa Barbara**



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Government sponsorship acknowledged



## ALOS PALSAR Kyoto and Carbon Initiative and NASA Measures

- ALOS, which launched in 2006, carries an L-band SAR.
  - ↓ Operates with different modes: SCANSAR modes, fine beam modes (plus experimental Polarimetric mode)
- The ALOS Kyoto and Carbon Initiative (**ALOS KC**) is a science program lead by JAXA to develop global products related to carbon cycle science
  - ↓ Wetland Theme
  - ↓ Forest theme
  - ↓ Desert/Water theme
  - ↓ Mosaic theme
  - ↓ **Global acquisition strategy**
- The **NASA MEASURES** program has funded a task lead by Kyle McDonald to develop inundated wetlands products from ALOS PALSAR and other instruments

## **ALOS SCANSAR and FBD data for mapping inundated wetlands**

- ☐ **The dual polarization FBD mode will be used to determine forest structure**
  - ↓ **One coverage**
  - ↓ **Non-vegetated, Herbaceous, Shrub, Woodland, Forest**
- ☐ **The SCANSAR mode data will be used to monitor inundation state**
  - ↓ **Inundated, not inundated**
  - ↓ **Coverage every 46 days**
  - ↓ **Focused on large wetland regions (Amazon basin, etc)**
- ☐ **Currently developing products for N/S America**

## **From acquisition to products**

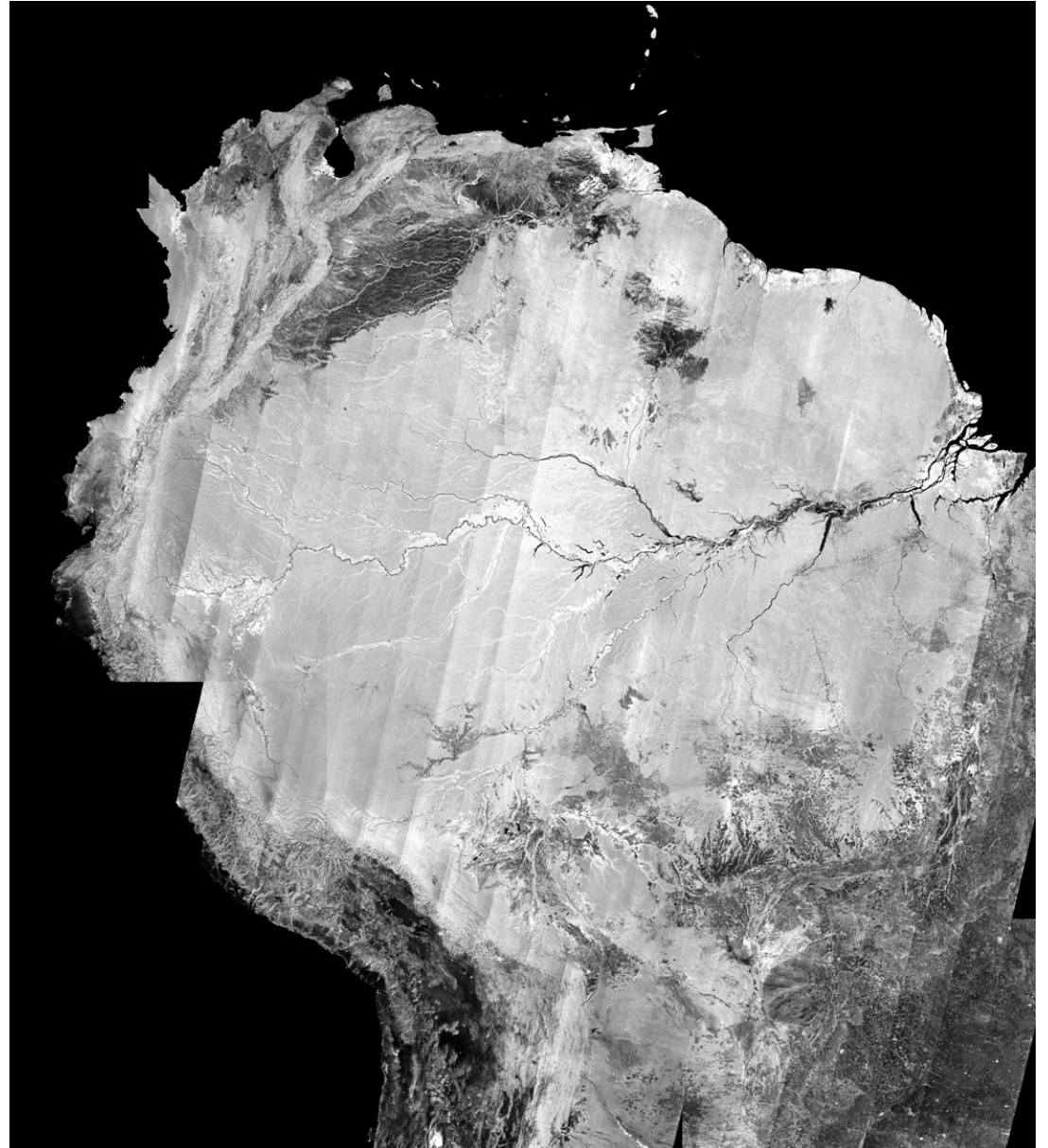
- ☐ **ALOS acquires data**
- ☐ **JAXA EORC produces special slant range image strips**
  - ↓ Thousands of km long, rather than image frames typically created
  - ↓ Reduced resolution FBD data (~50m)
- ☐ **Next, orthorectify the data using the SRTM DEM, and apply radiometric corrections, if necessary**
  - ↓ Including radiometric terrain correction
- ☐ **Using these mosaic products plus other ancillary information, produce the inundation products**
- ☐ **Comparison and synthesis with products developed from different sensors.**
- ☐ **Distribute products**



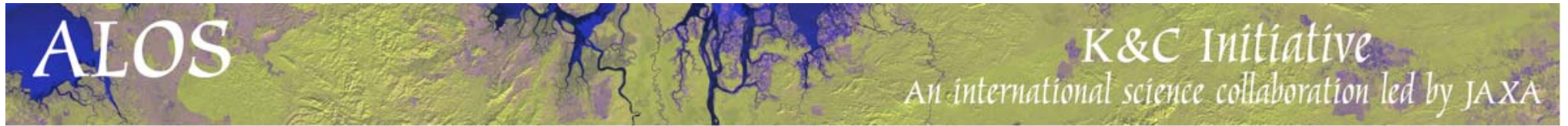
## SCANSAR mosaic 2007

3 arcsecond postings  
(same as SRTM)

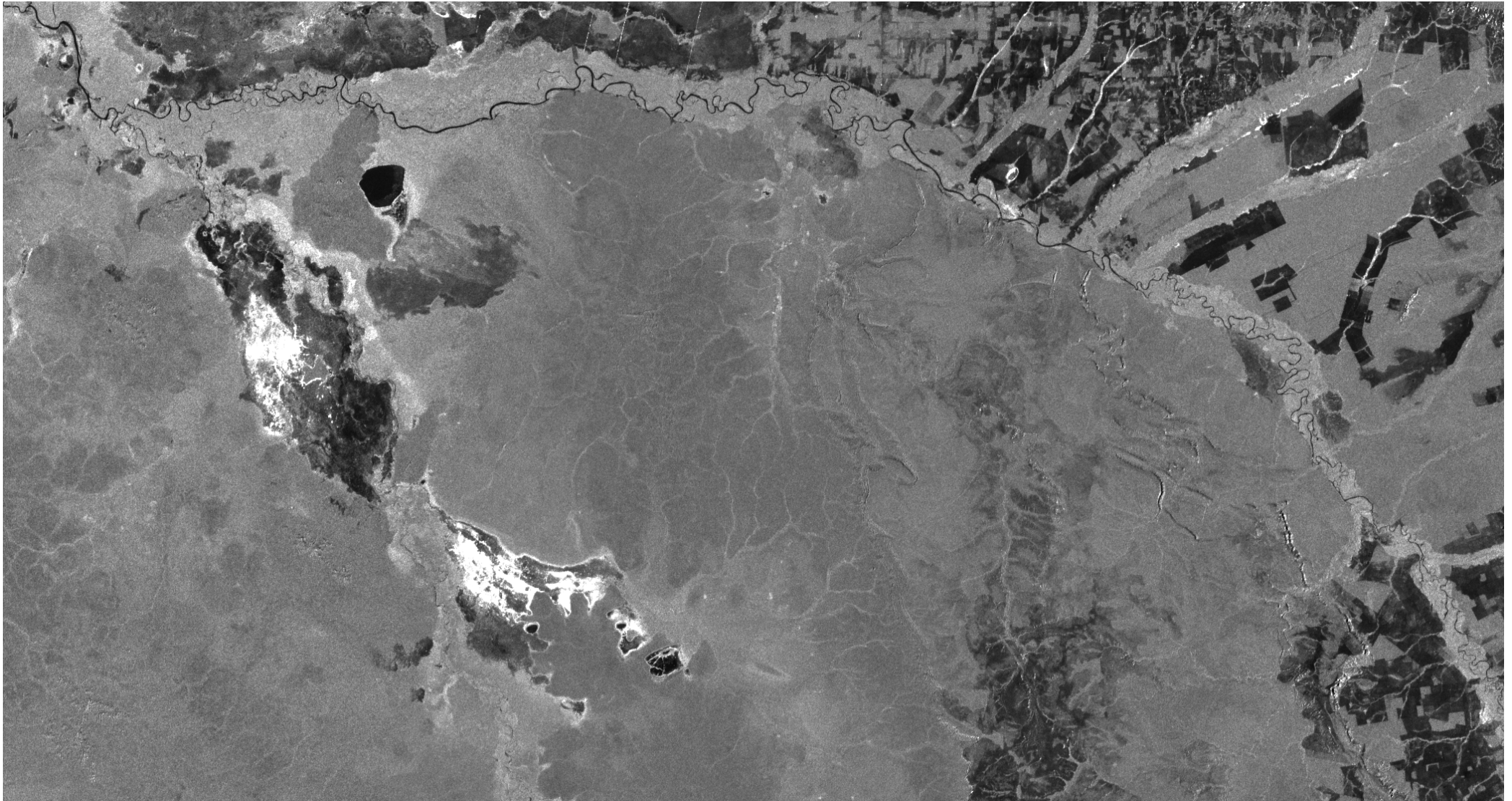
Typically each pixel here is an  
average of 10 SCANSAR image  
pixels





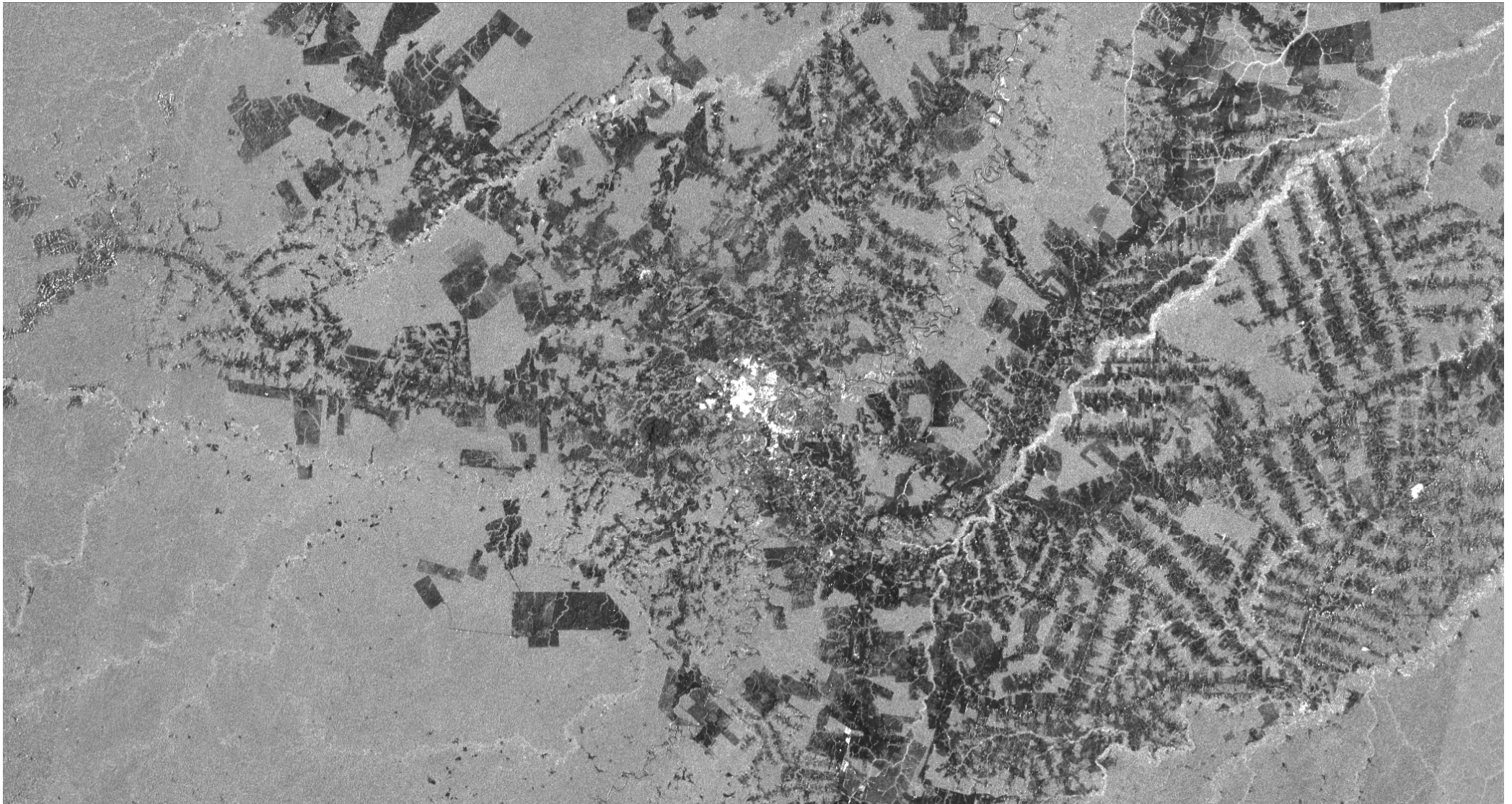


## Noel Kempff National Park



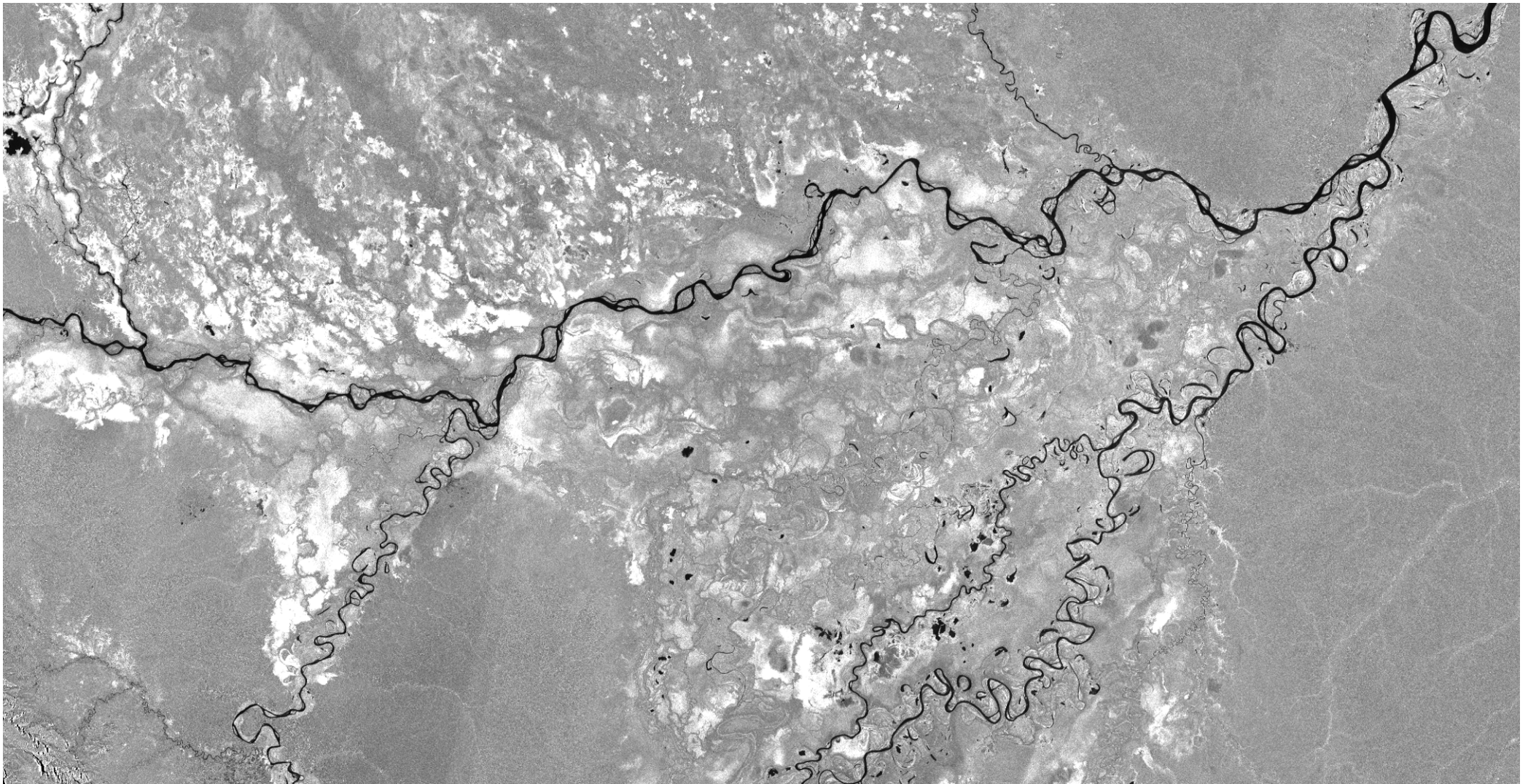


## Rio Branco





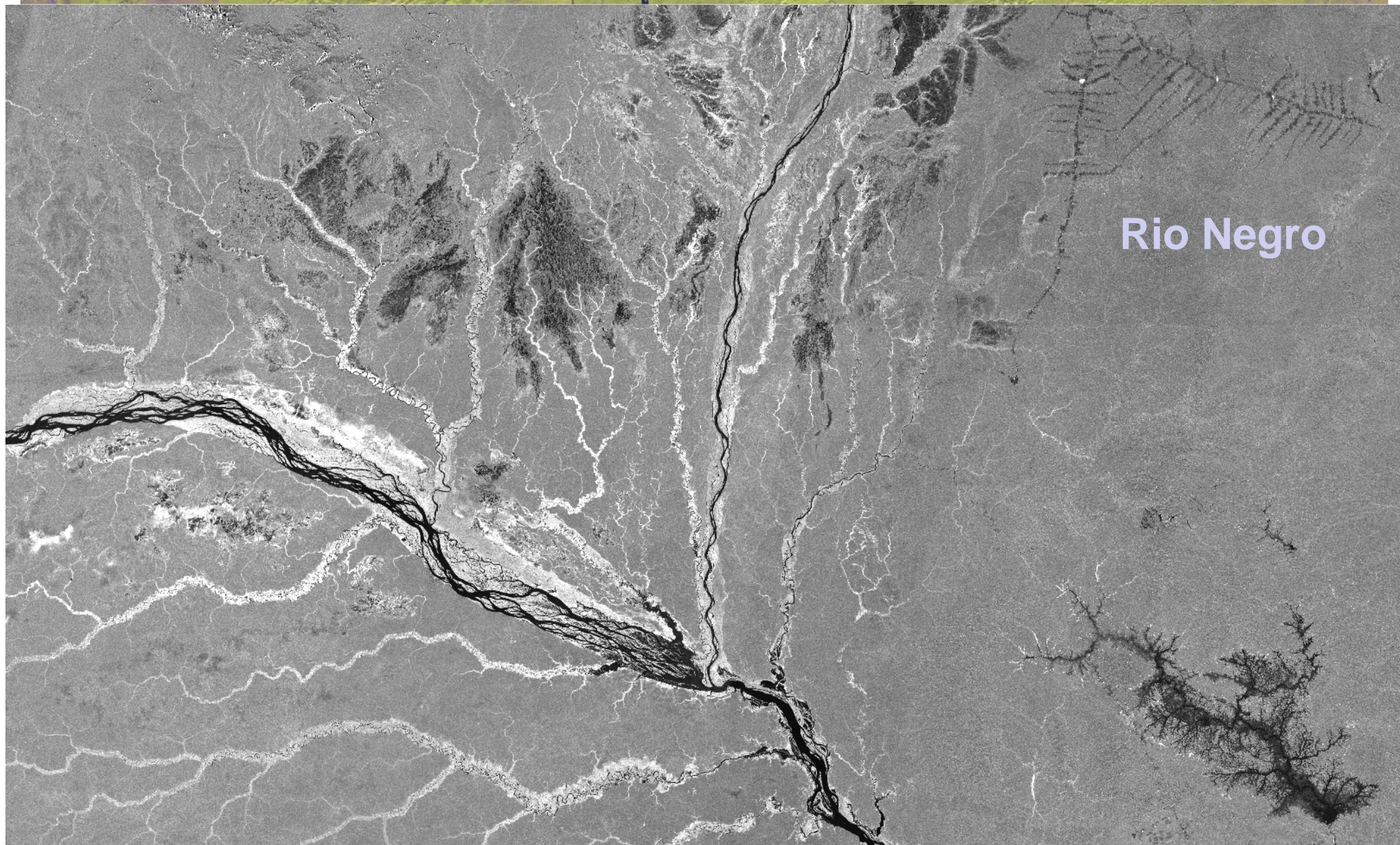
## Palm Swamps





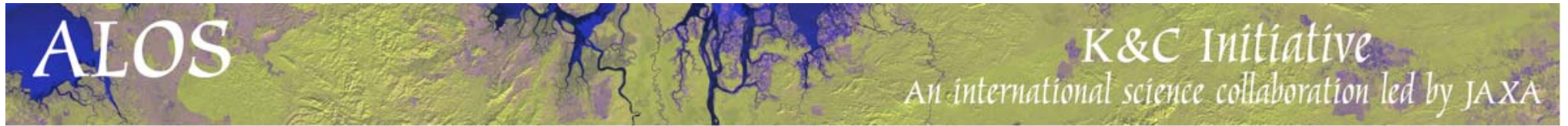
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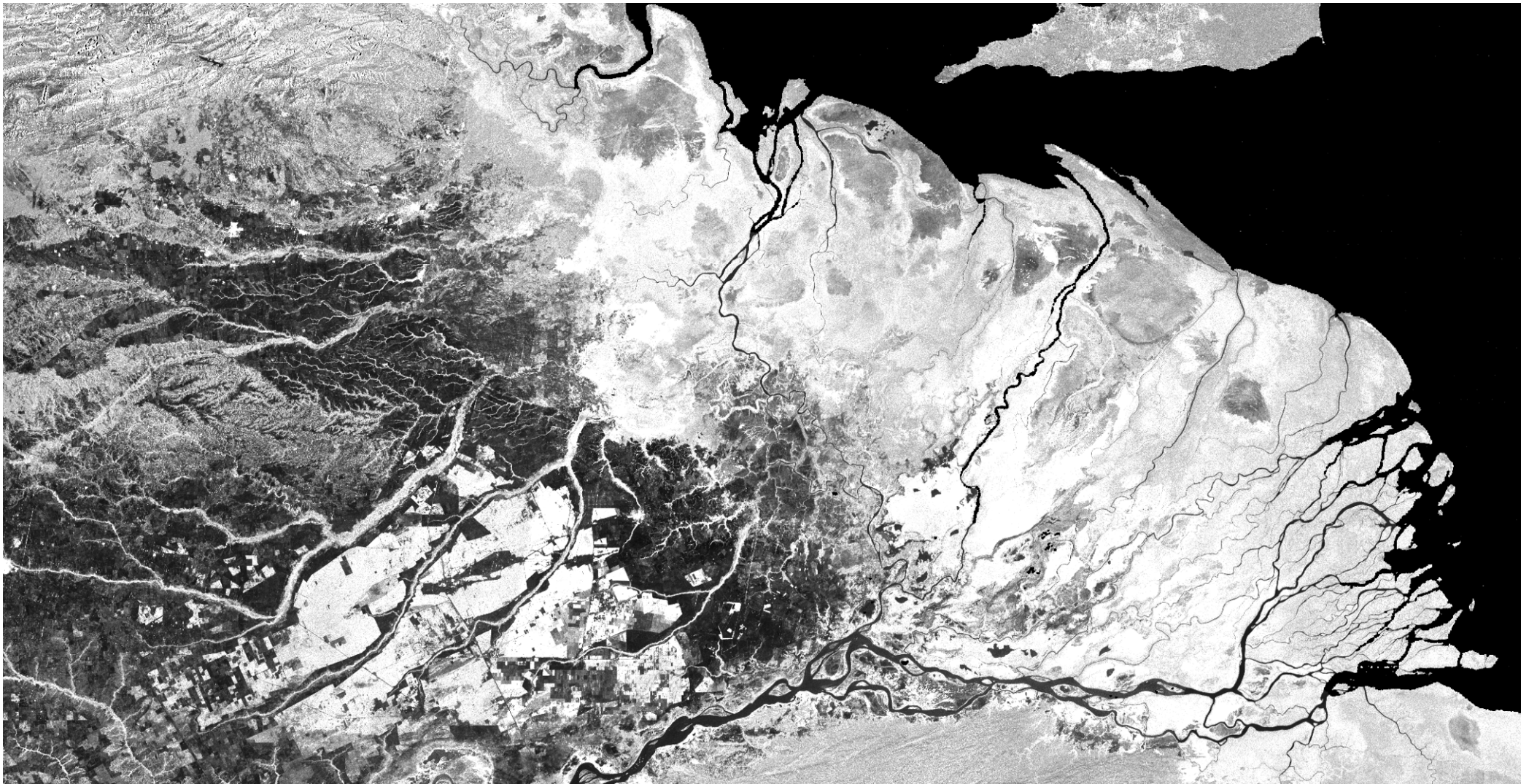


Rio Negro





## Orinoco

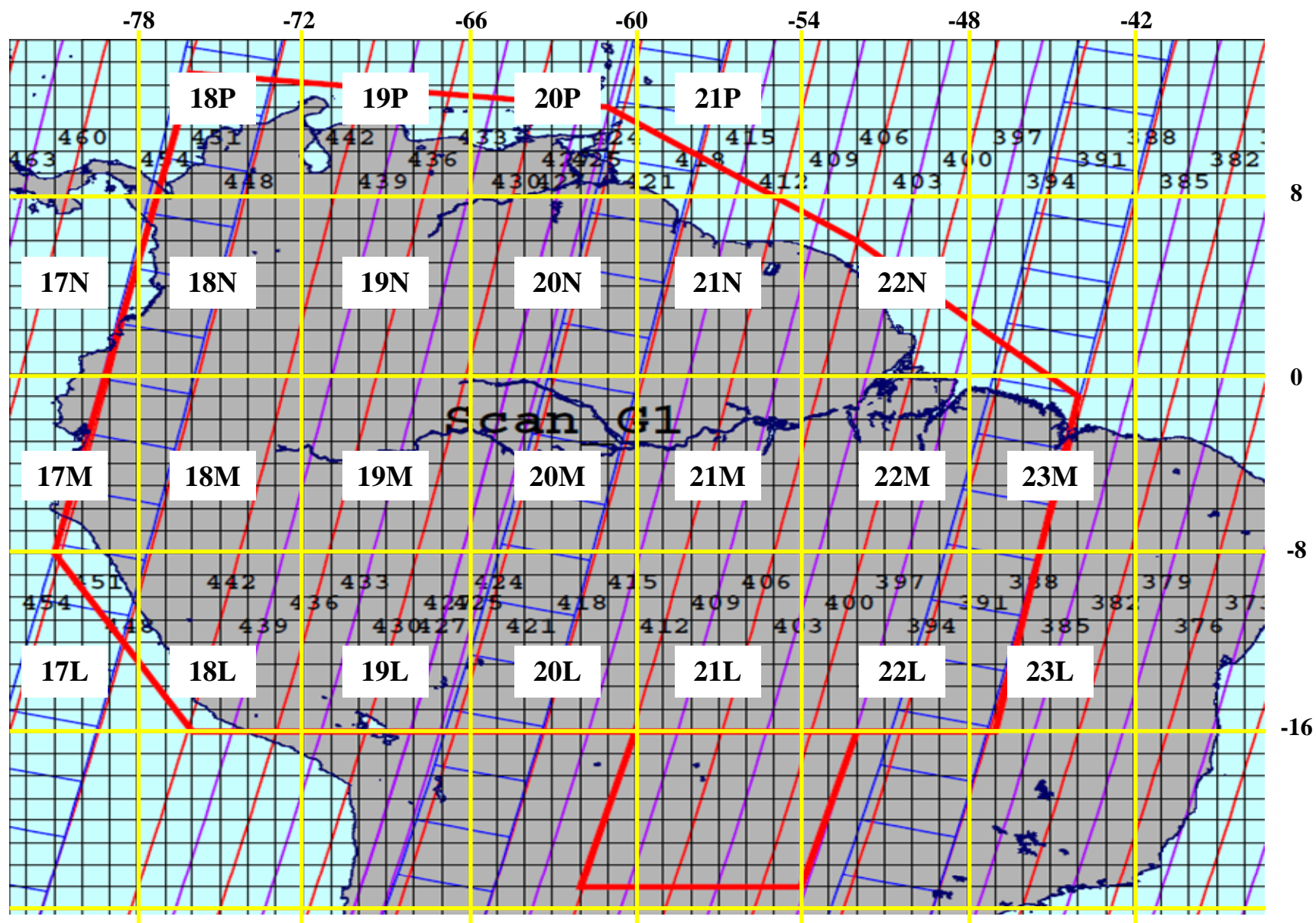




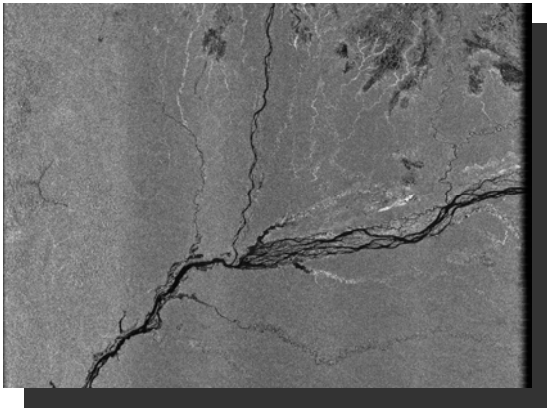
## Geometric corrections:

The ScanSAR data, processed by the EORC through the ALOS K&C initiative, and orthorectified by the software package from Gamma Remote Sensing, are not accurately orthorectified using orbit data alone:

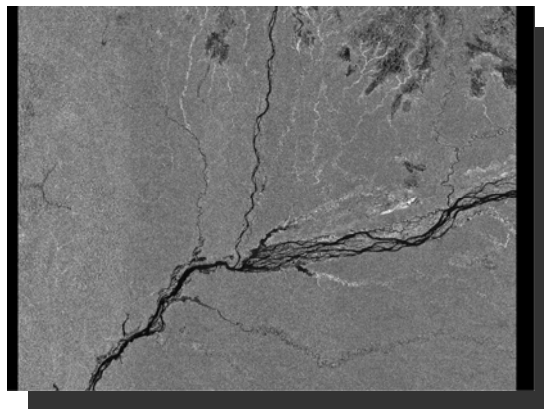
- A matching and fitting algorithm included with the Gamma software can be used to correct the orbit-based geocoding parameters
- The dynamics of the wetlands can make this challenging
  - ↓ Landscape features such as rivers and wetlands are changing with water level
- Pixel-level geolocation accuracy is critical for monitoring wetland dynamics



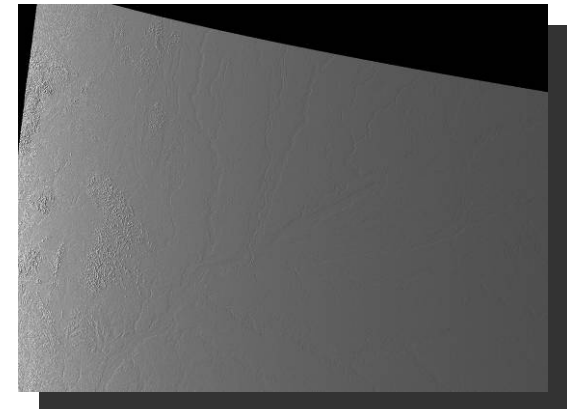
## Calibration and matching



Original slant  
range image



Trimmed and  
calibrated



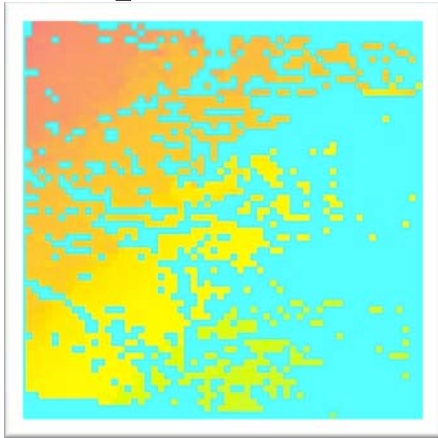
Simulated SAR image  
based on SRTM



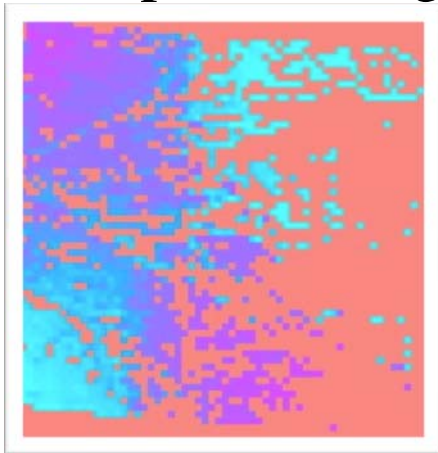
## Varying Range and Azimuth Offsets

### One Example

0 to 23 pixels (azimuth)



-2 to +2 pixels (range)



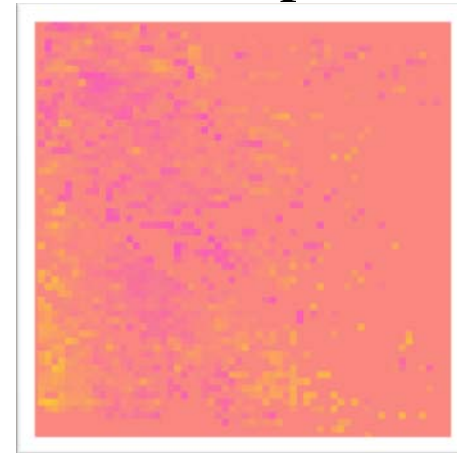
Before geocoding refinement



-1 to +1 pixels (azimuth)



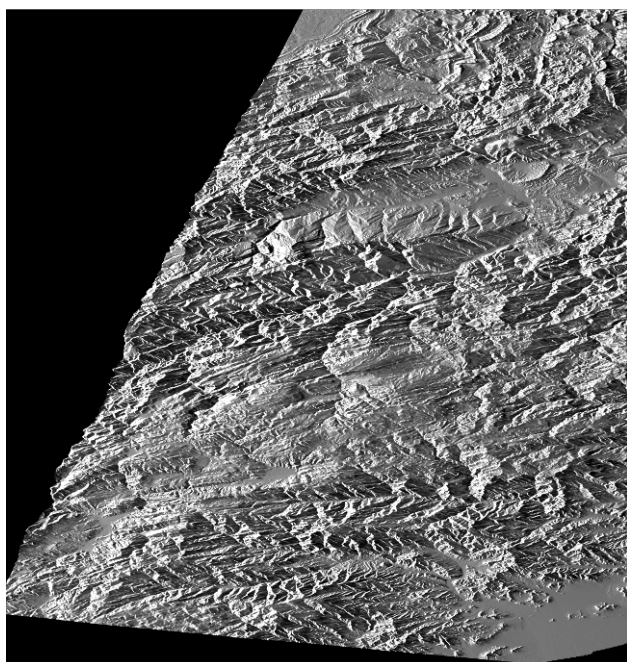
-1 to +1 pixels (range)



After geocoding refinement



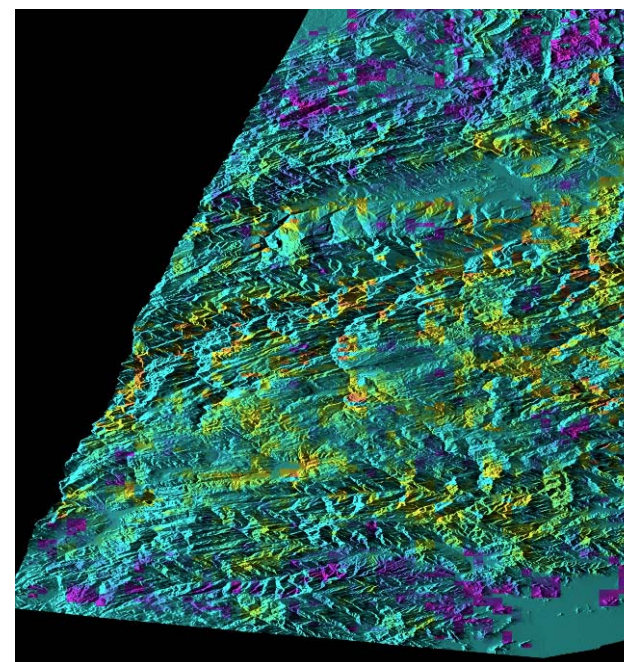
## Range and Azimuth Offsets



**Simulated SAR image from DEM**



**ALOS SCANSAR image**

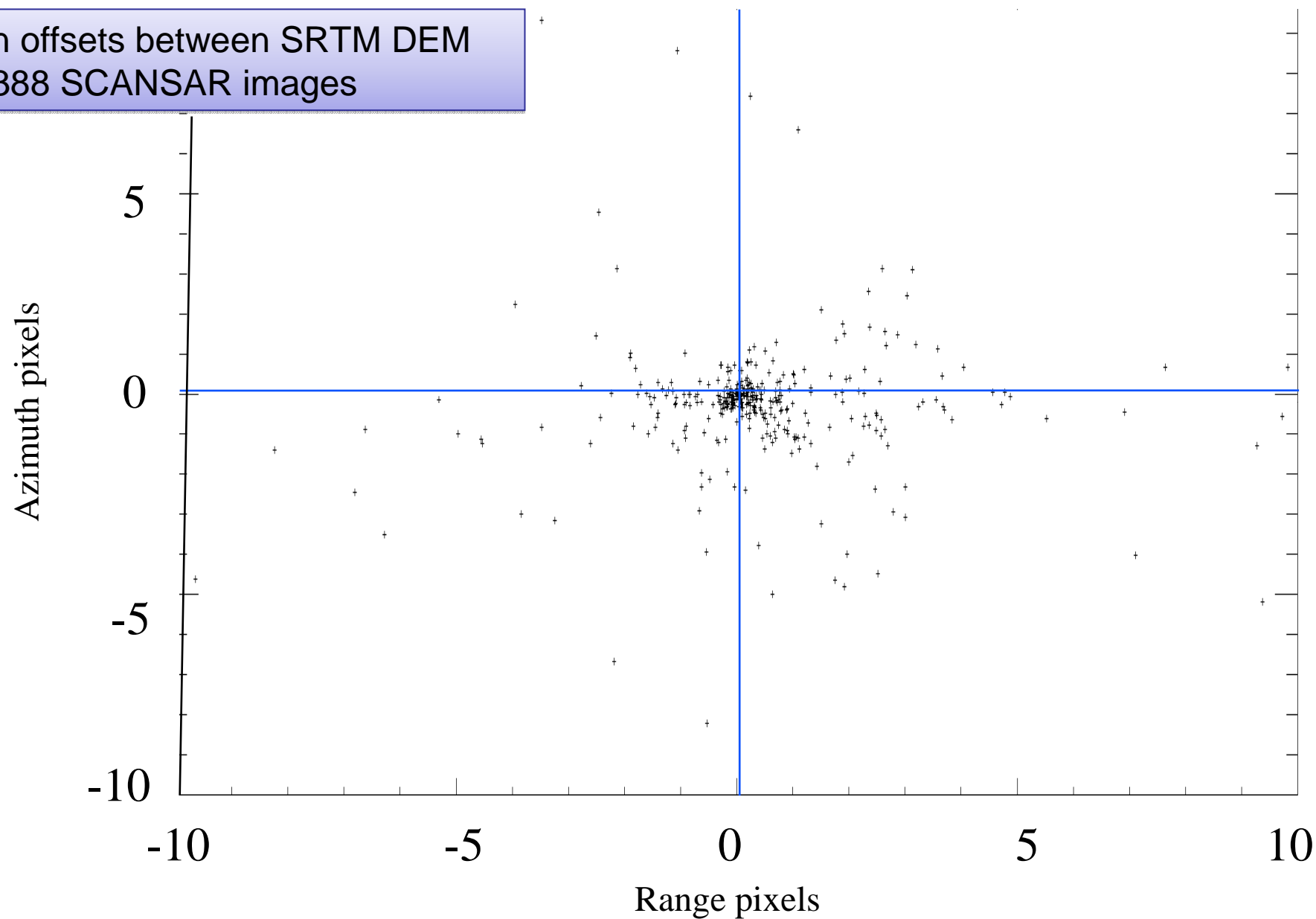


**Range offsets over simulated  
SAR image (0-1 pixel)**

# ALOS

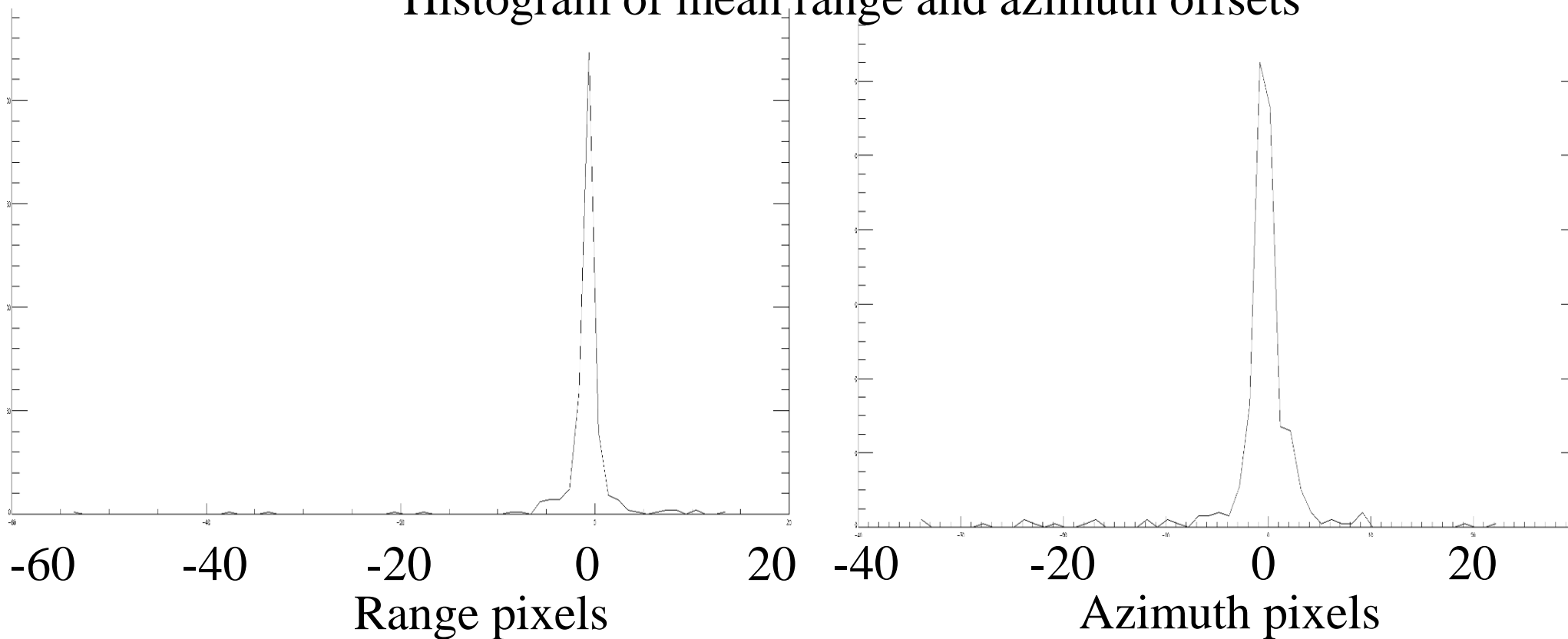
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Mean offsets between SRTM DEM  
and 388 SCANSAR images





## Histogram of mean range and azimuth offsets

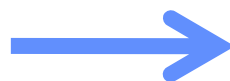


Mean offsets (actual offsets vary across image):

**Range: average offset =  $-0.22 \text{ pixels} \pm 4.8 \text{ pixels}$**

**Azimuth: average offset =  $-0.54 \text{ pixels} \pm 4.4 \text{ pixels}$**

**Most outliers ( $>10$  pixels) are from one path (RSP424)**  
 **$(0.34 \pm 2.2, \text{ and } -0.22 \pm 1.7 \text{ when within } \pm 10)$**



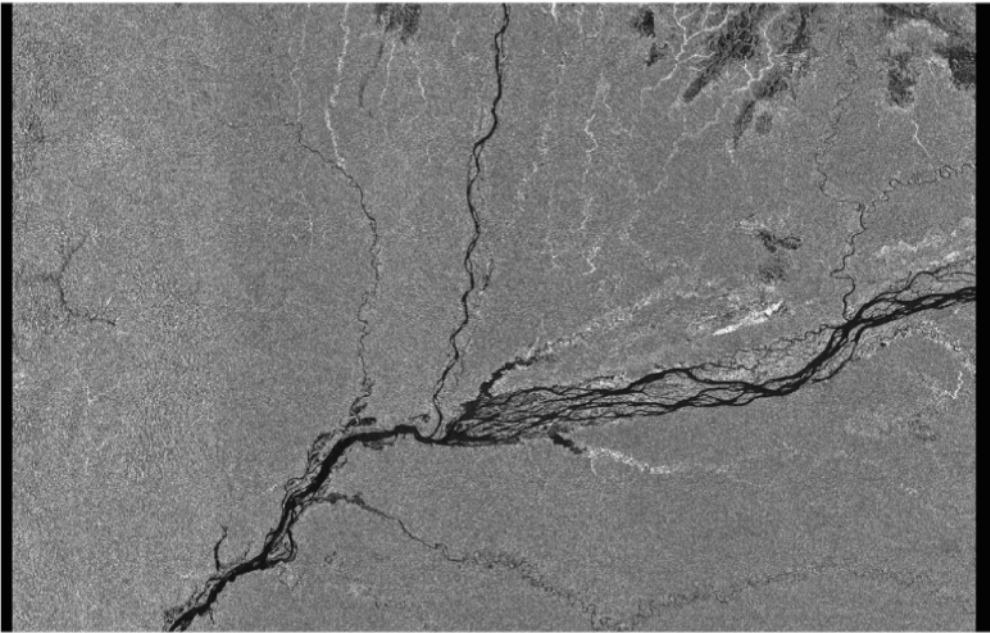
Range spacing is 37.5 meters

Azimuth spacing is 70 meters

**Range =  $-8.3 \text{ m} \pm 180 \text{ m}$  ( $12.8 \text{ m} \pm 82.5 \text{ m}$ )**

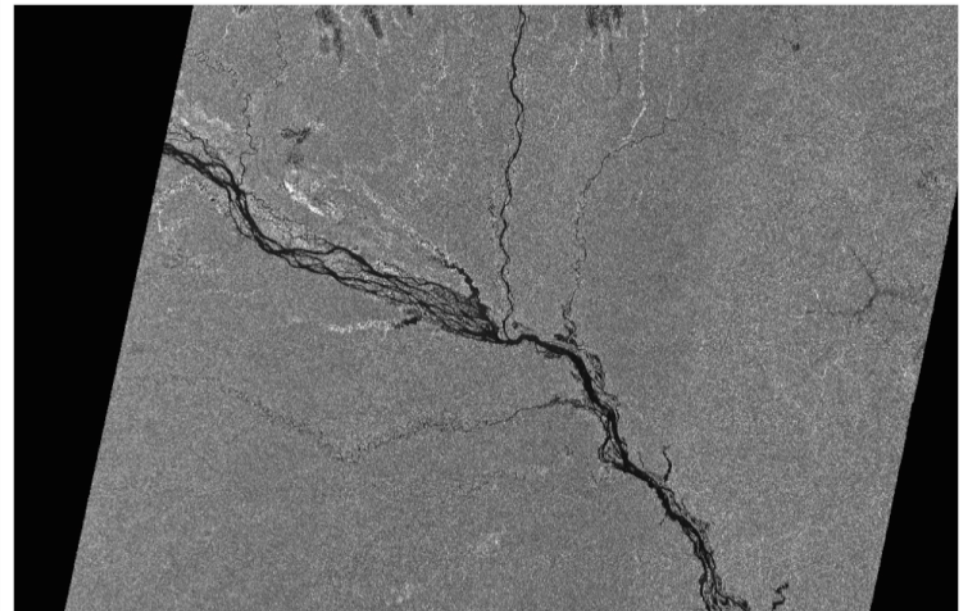
**Azimuth =  $-37.8 \text{ m} \pm 308 \text{ m}$  ( $-15.4 \text{ m} \pm 119 \text{ m}$ )**

## Slant range data - versus – orthorectified to SRTM



Slant range image

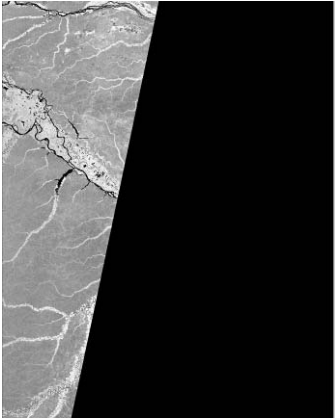
Geocoded image



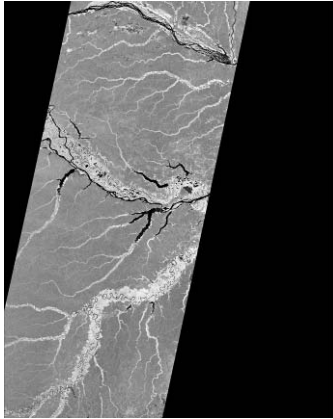
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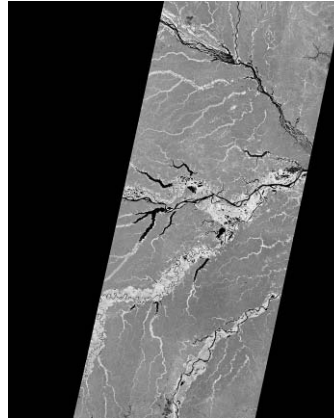
RSP 415



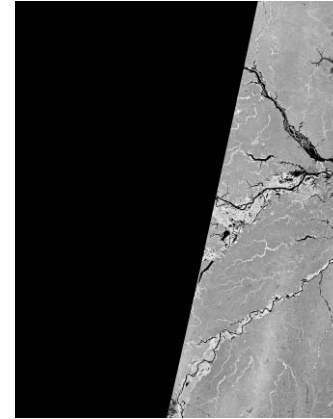
RSP 418



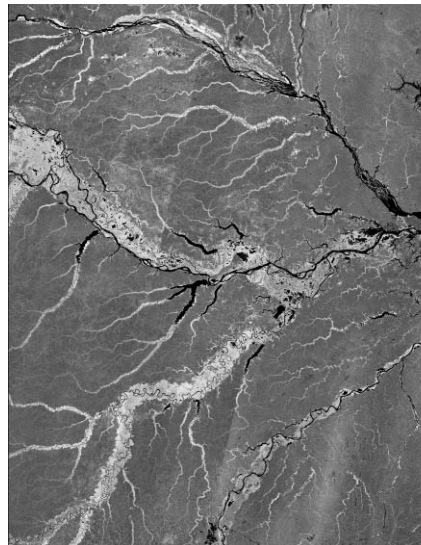
RSP 421



RSP 424



RSP 427



Mosaicking eliminates  
overlapping coverage

UTM tile 20M



## Radiometric corrections:

The ScanSAR data has three common radiometric problems:

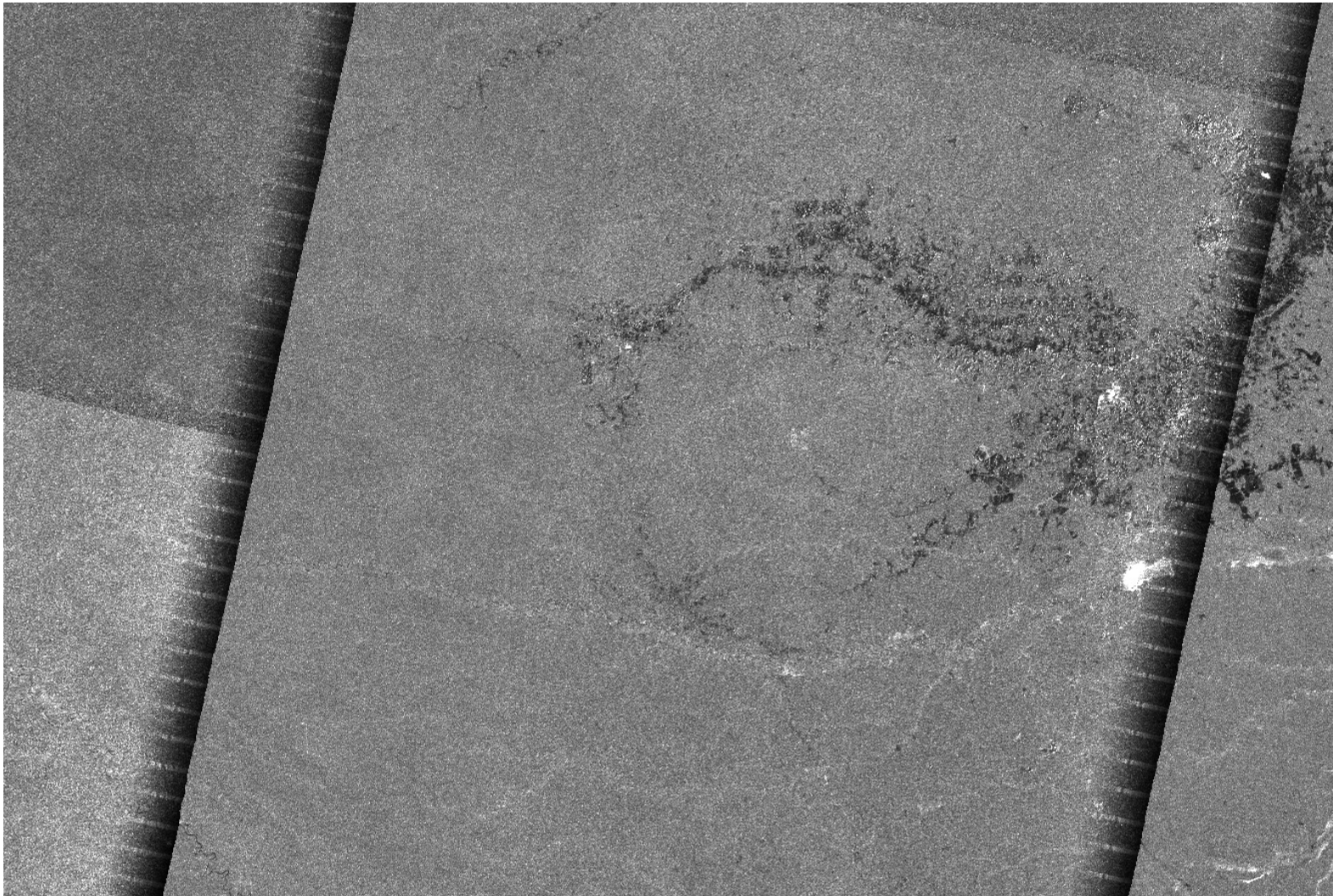
- Artifacts in the near and far range
  - ↓ trim
- along track banding
  - ↓ Empirical correction required
- strip to strip brightness variations
  - ↓ Calibration error or real change?



ALOS

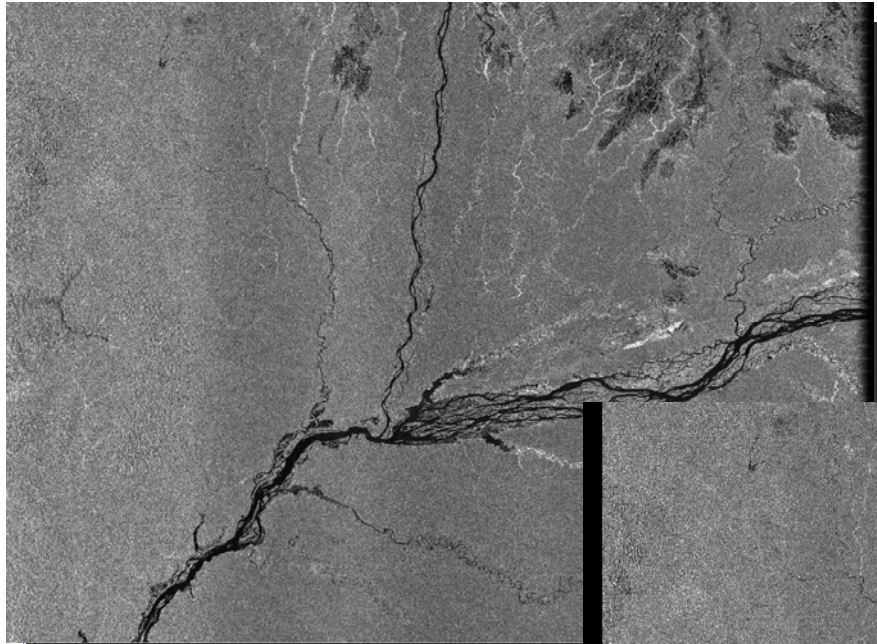
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## Far range artifacts in SCANSAR data



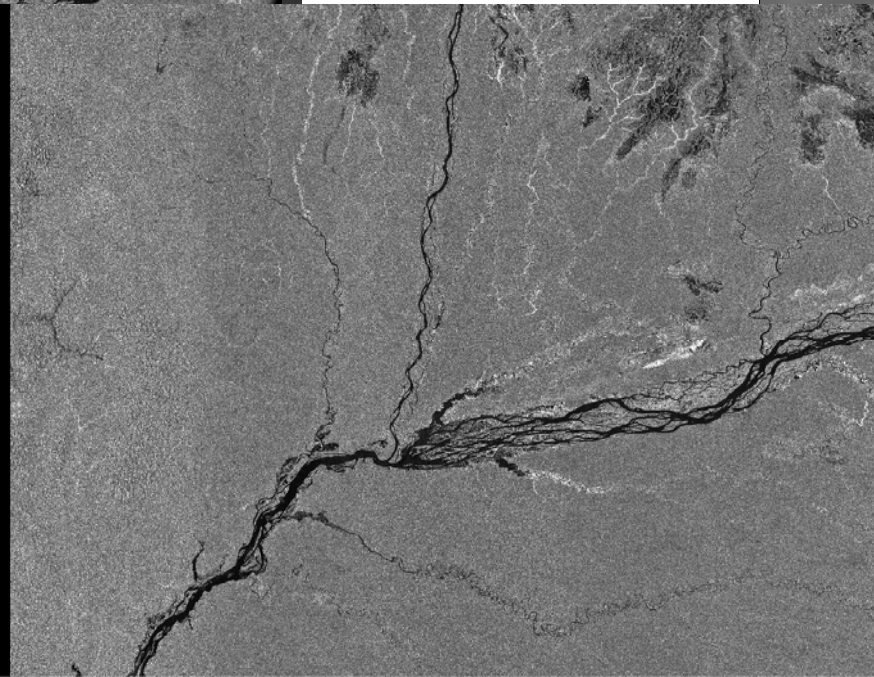


## Not calibrated and trimmed versus calibrated and trimmed



↑ Original slant range image

↓ Calibrated and  
trimmed

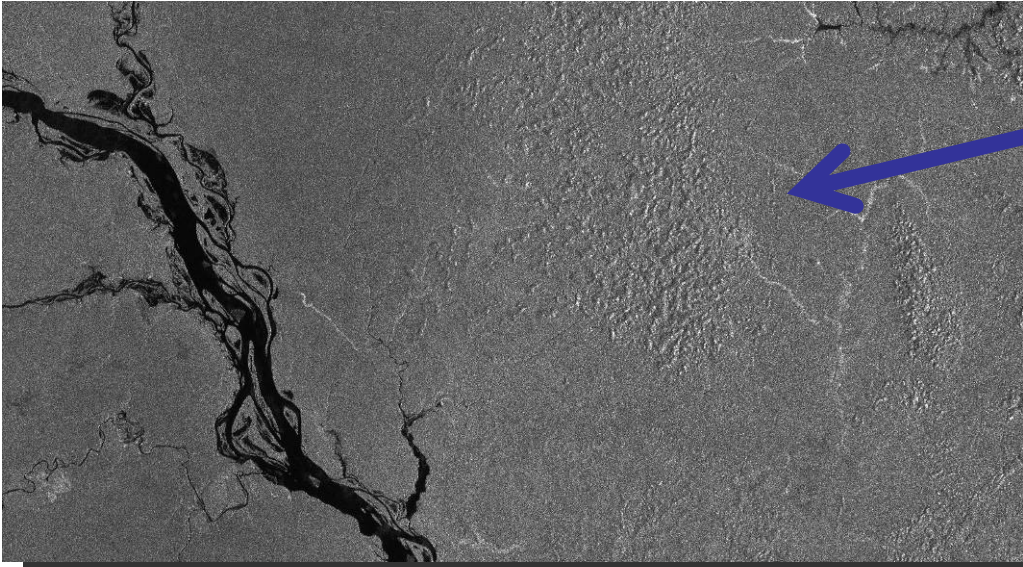


↑ Radiometric  
correction applied  
to slant range image



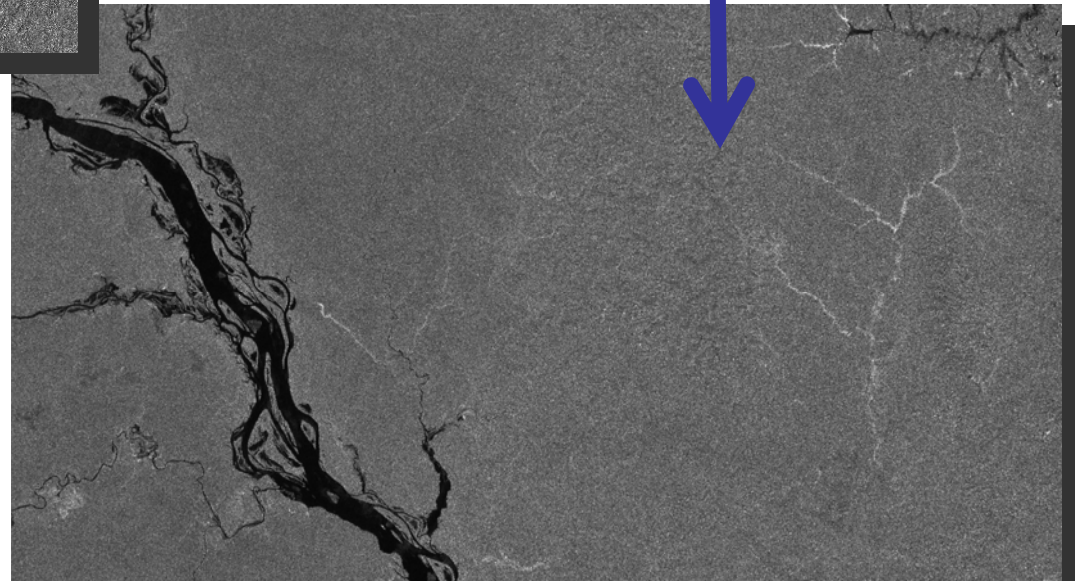


## Terrain correction to radiometry versus no Terrain correction



Terrain effects due to slope

Terrain effects reduced



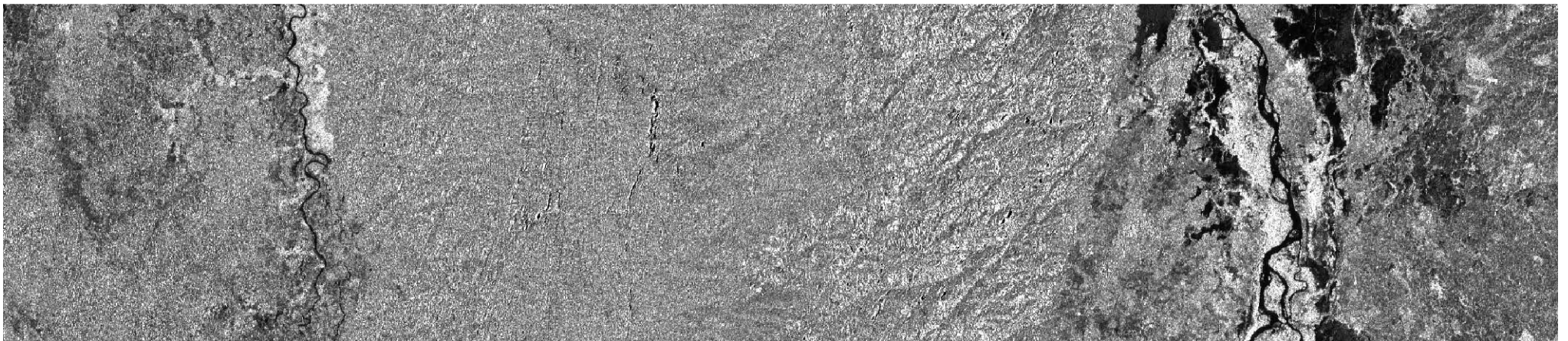
- ✓ Terrain effects can cause confusion during classification
- ✓ Correction requires accurate geolocation



Before terrain correction

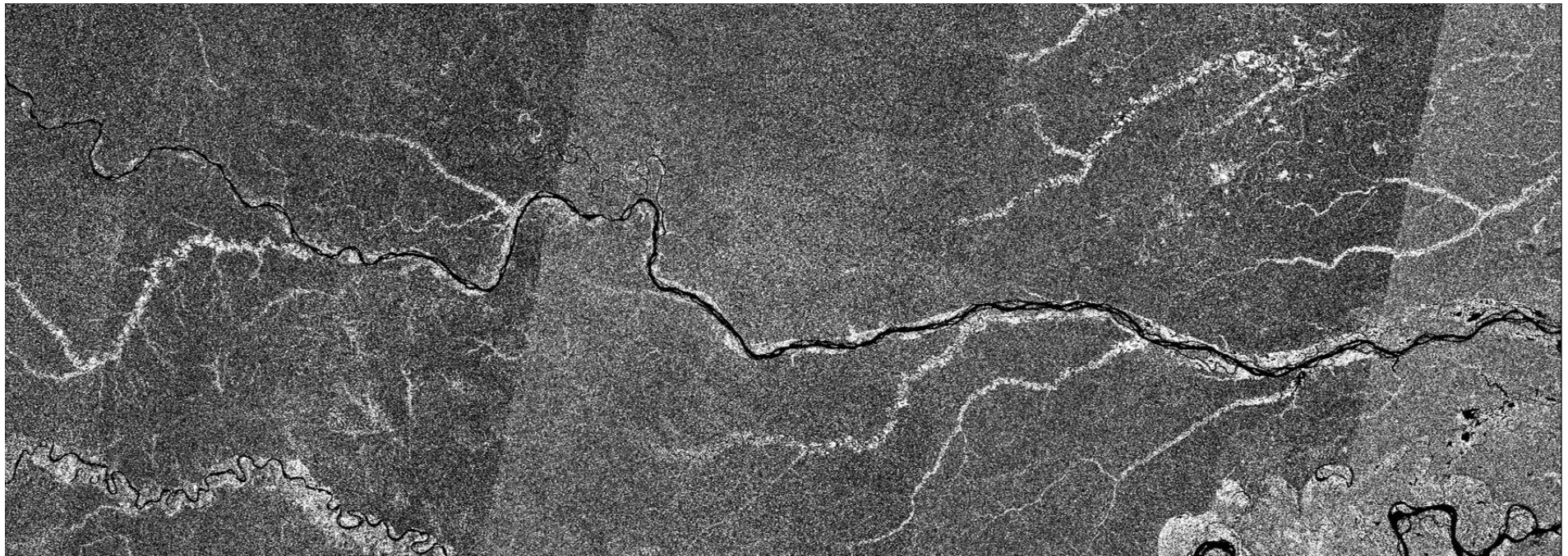


After terrain correction





**Absolute Calibration Errors (or banding  
caused by environmental changes to  
signal)**



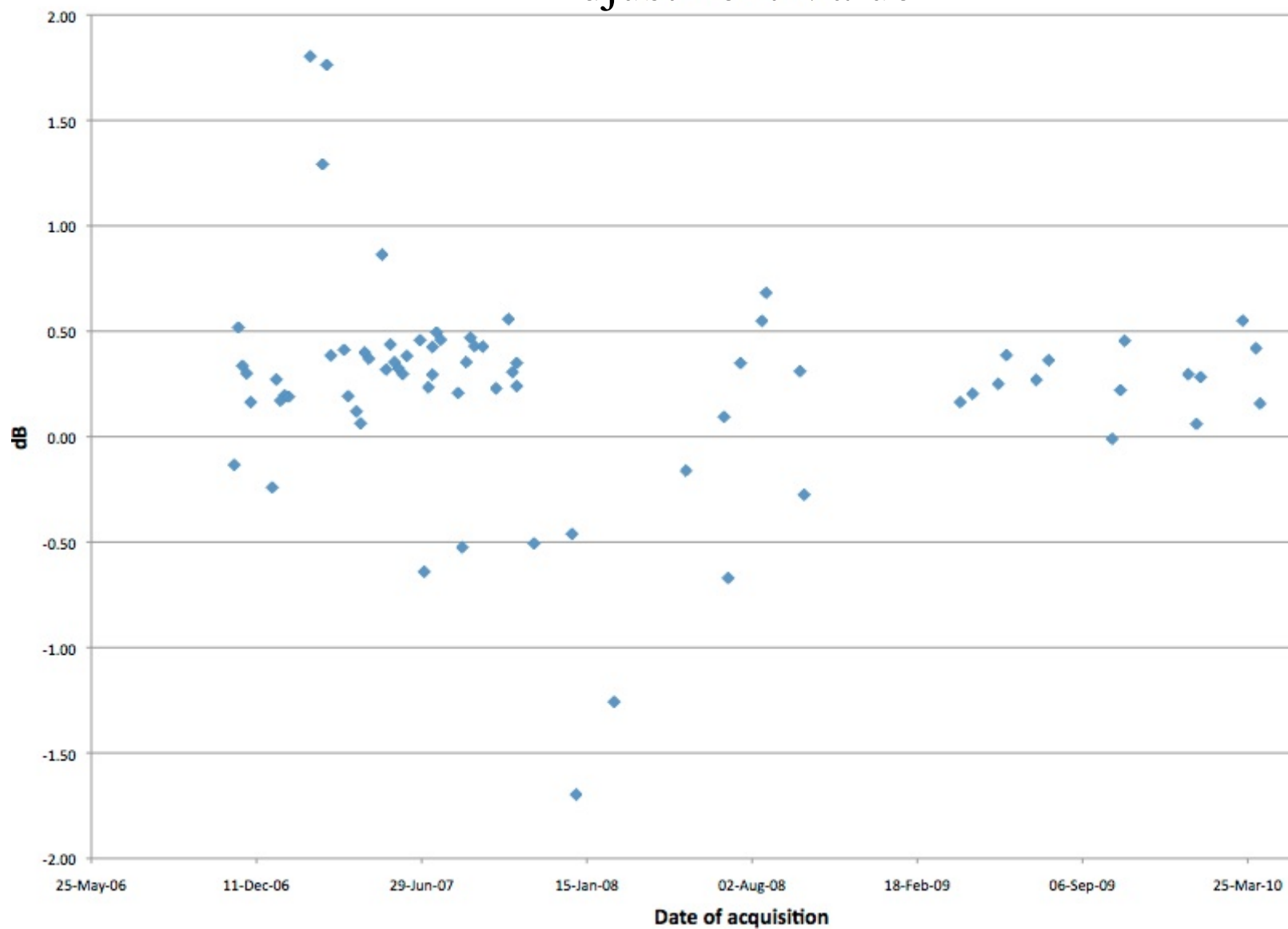


## Estimation of absolute calibration “adjustment”

- Calculate average of all images in UTM tile (typically more than 70 image swaths)
  - ↓ Assumes on average the calibration of the ALOS data is accurate.
  - ↓ Image strips clearly in error can be excluded from average
- Calculate the standard deviation of terrain corrected backscatter for each pixel
- Calculate the ratio of the standard deviation to the average for each pixel
- If the ratio of the standard deviation to the average is less than 0.3 (not open water or sometimes inundated vegetation), calculate the mean difference between each image and the average image.
  - ↓ Usually millions of pixels satisfy this criteria
- This is the adjustment value to be used to ‘calibrate’ each image
- Some of these adjustments may be due to changing physical signature of terrain due to moisture changes or other effects.

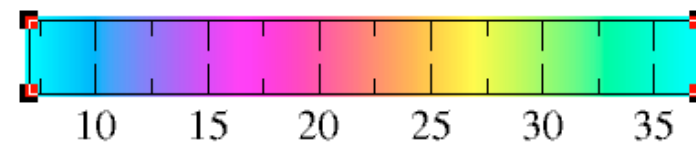


## Adjustment Value





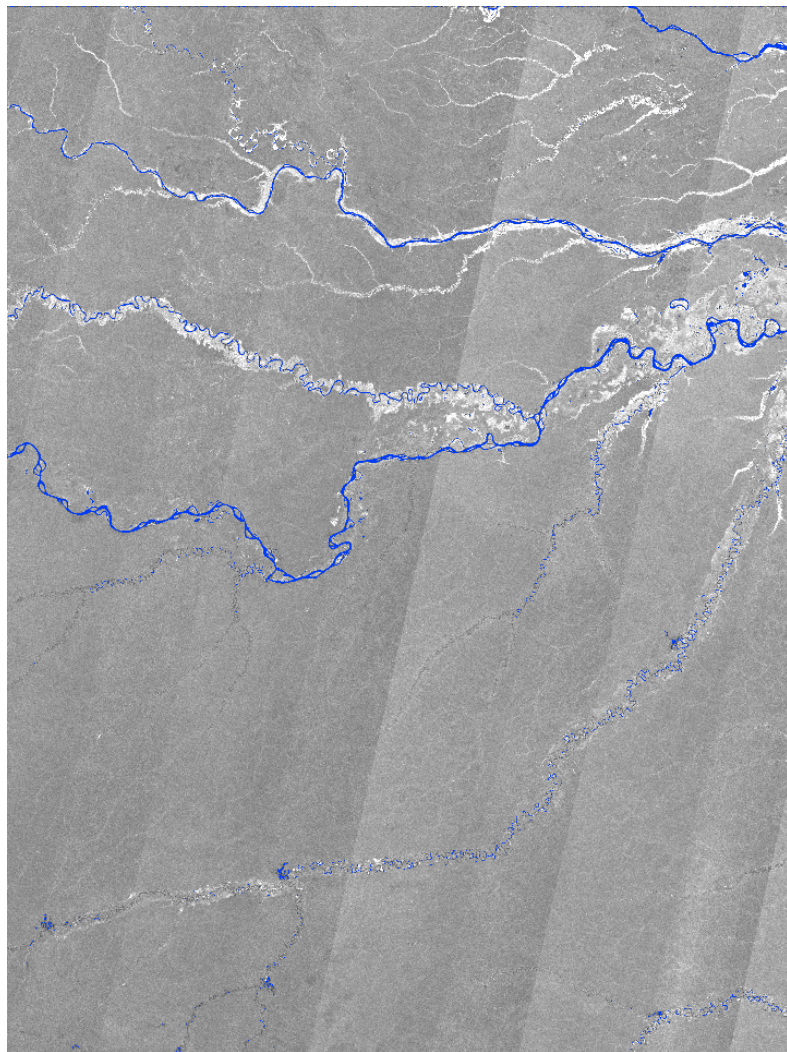
Each pixel is imaged variable number of times depending on image geometry and timing of each satellite pass



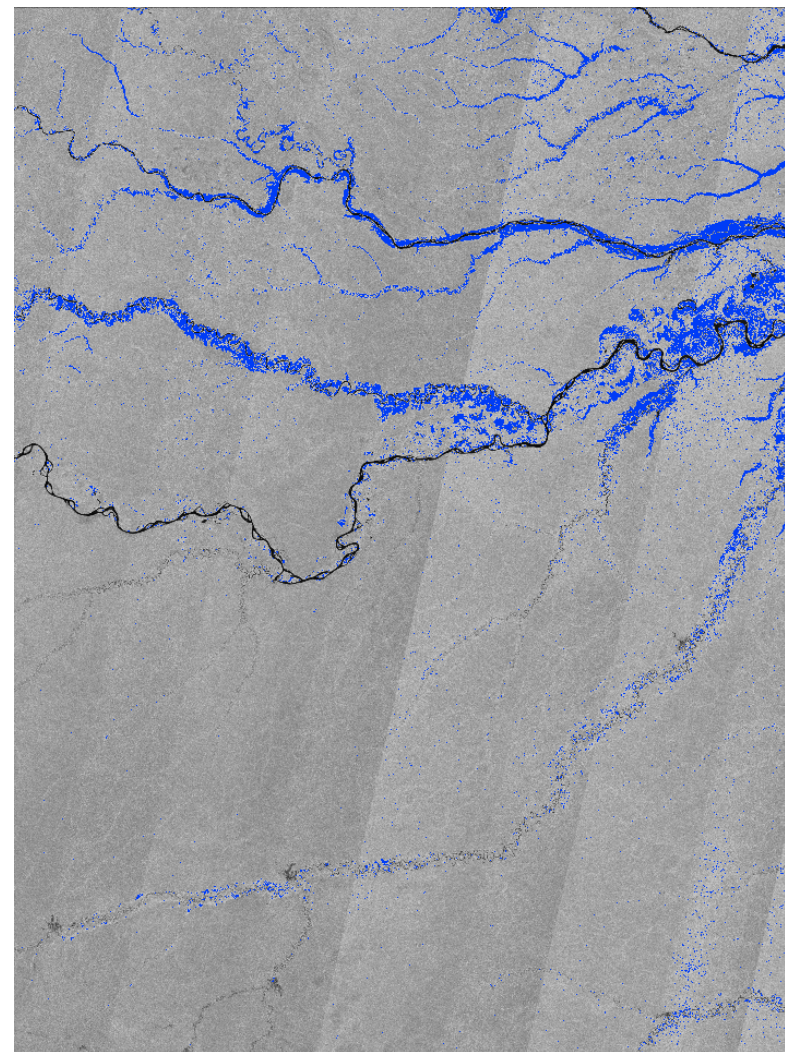
Number of times each pixel was imaged



**Simple thresholds for identifying open water and inundated vegetation**



Open water → less than -10dB

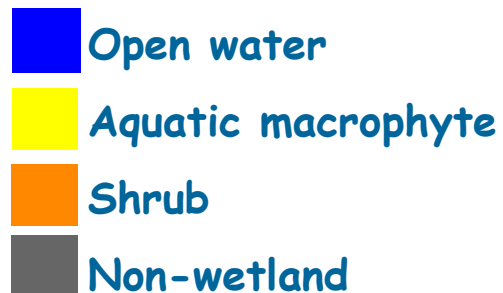
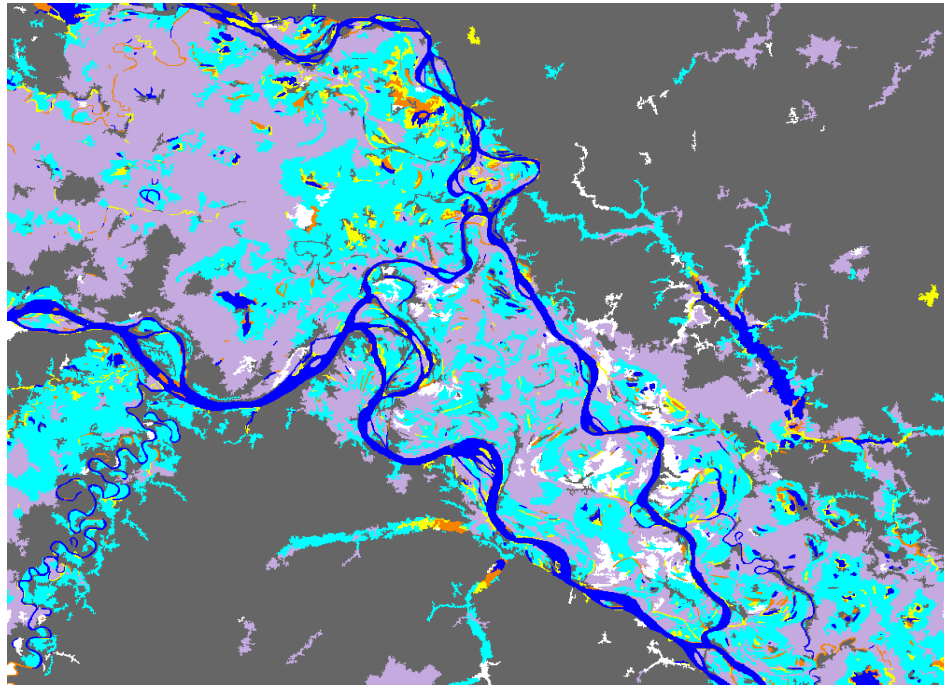


Inundated Vegetation → greater than -6 dB

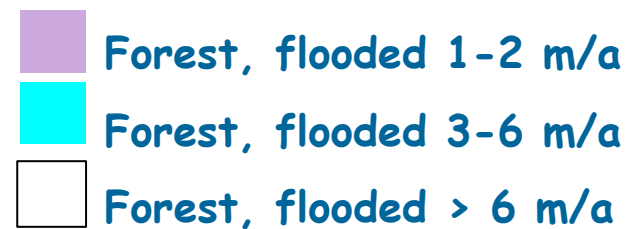
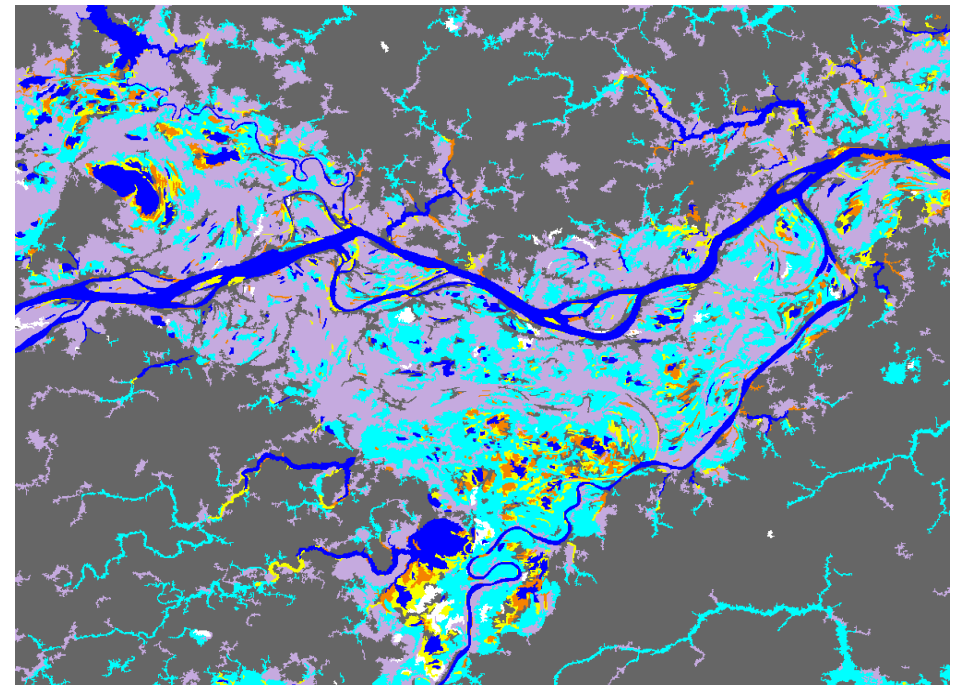


## Wetland Habitat Mapping for Várzea Sustainable Development Reserves

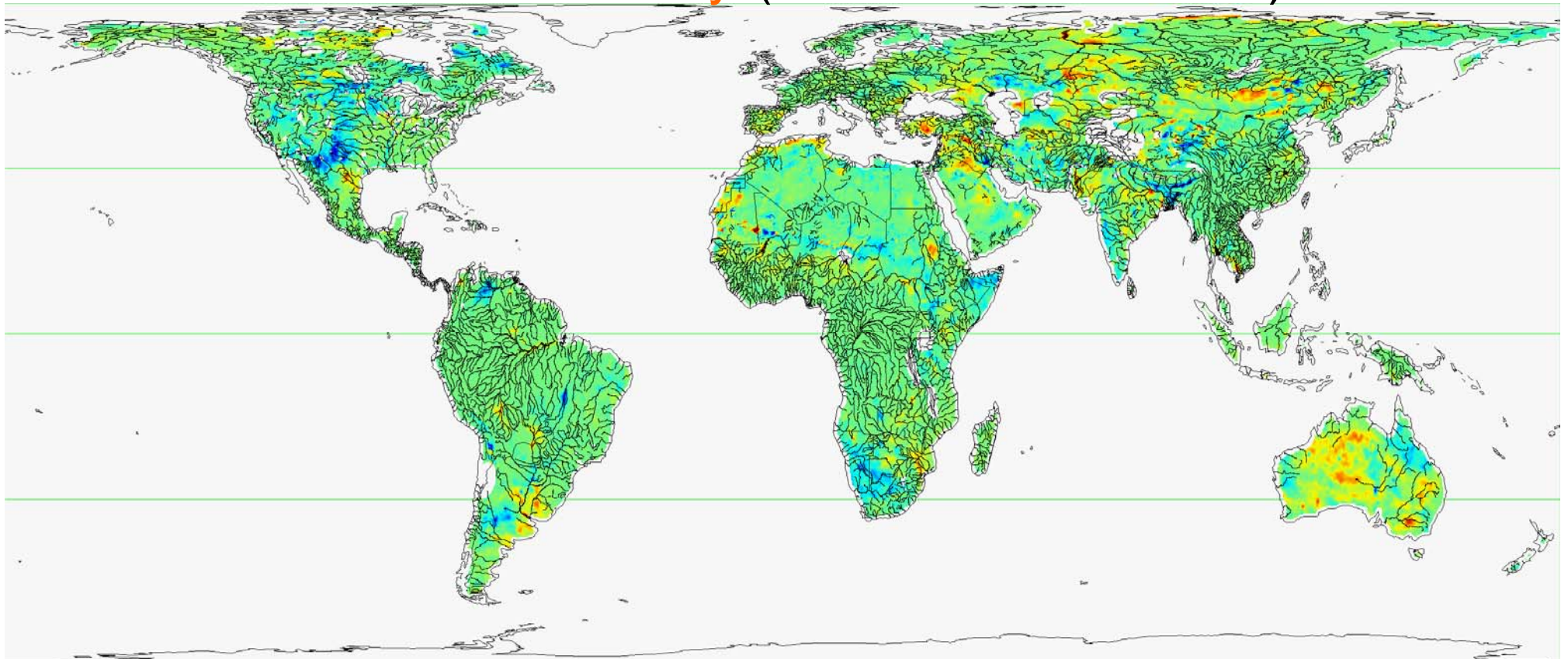
Mamirauá



Piagaçu-Purus



## Inundation **Anomaly** (AMSR-E & QSCAT) 2004



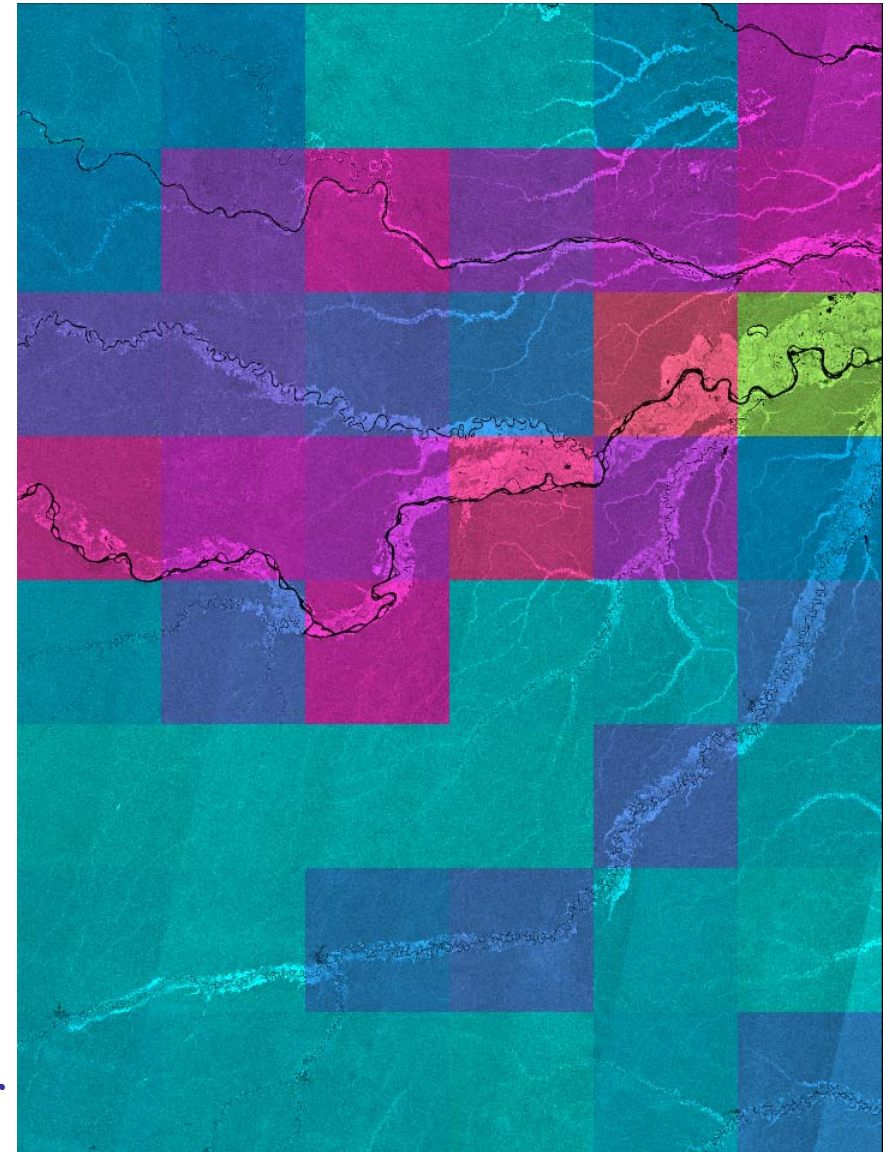
Percent inundated area by pixel





**Fraction for each 1deg cell  
that is open water**

Fraction open water

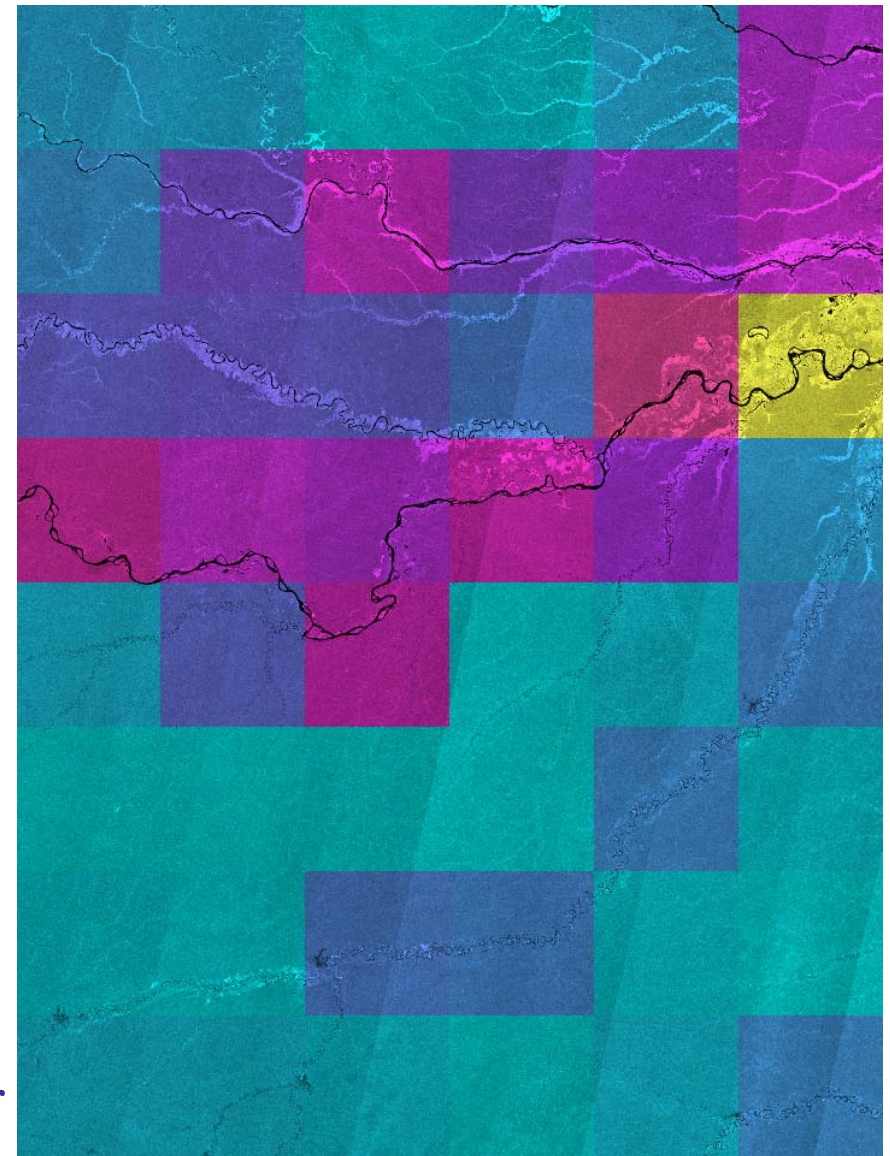


May-June 2007

19M

**Fraction for each 1deg cell  
that is open water**

Fraction open water



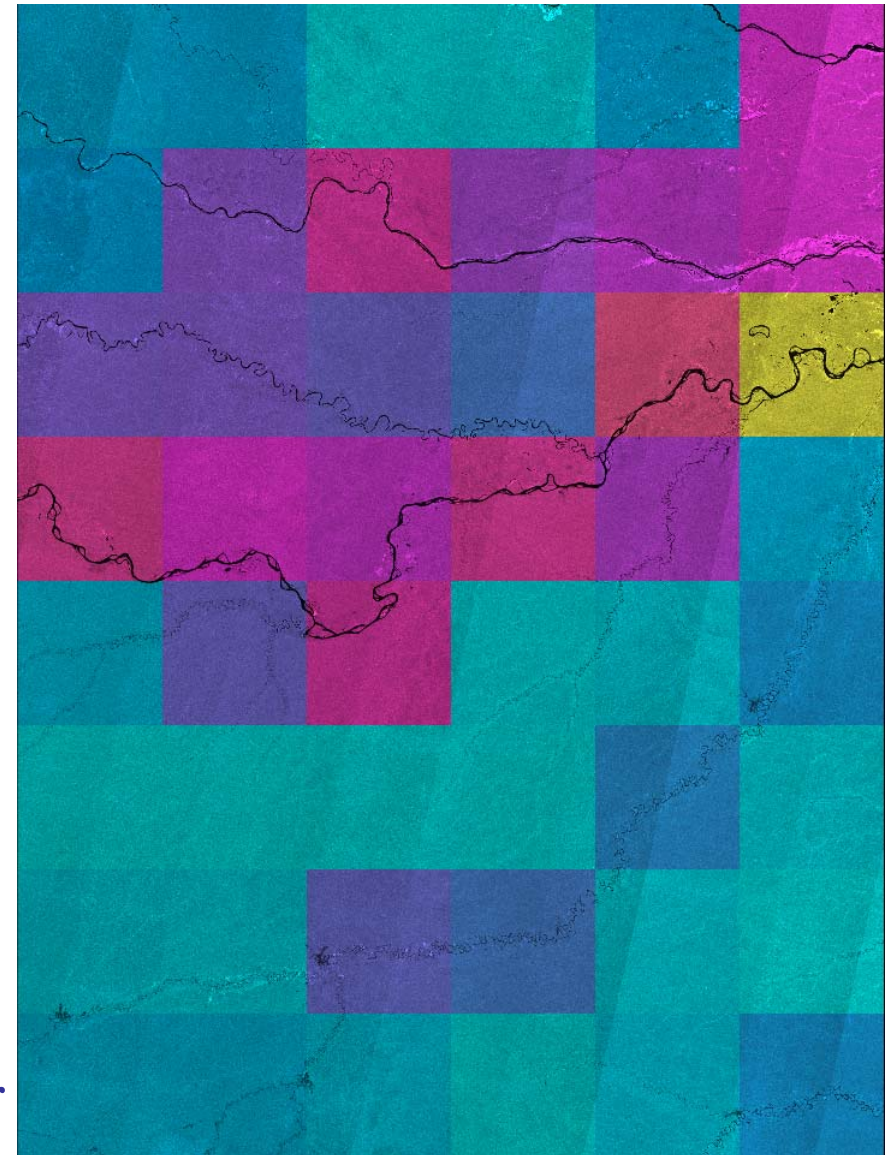
July 2007

19M



**Fraction for each 1deg cell  
that is open water**

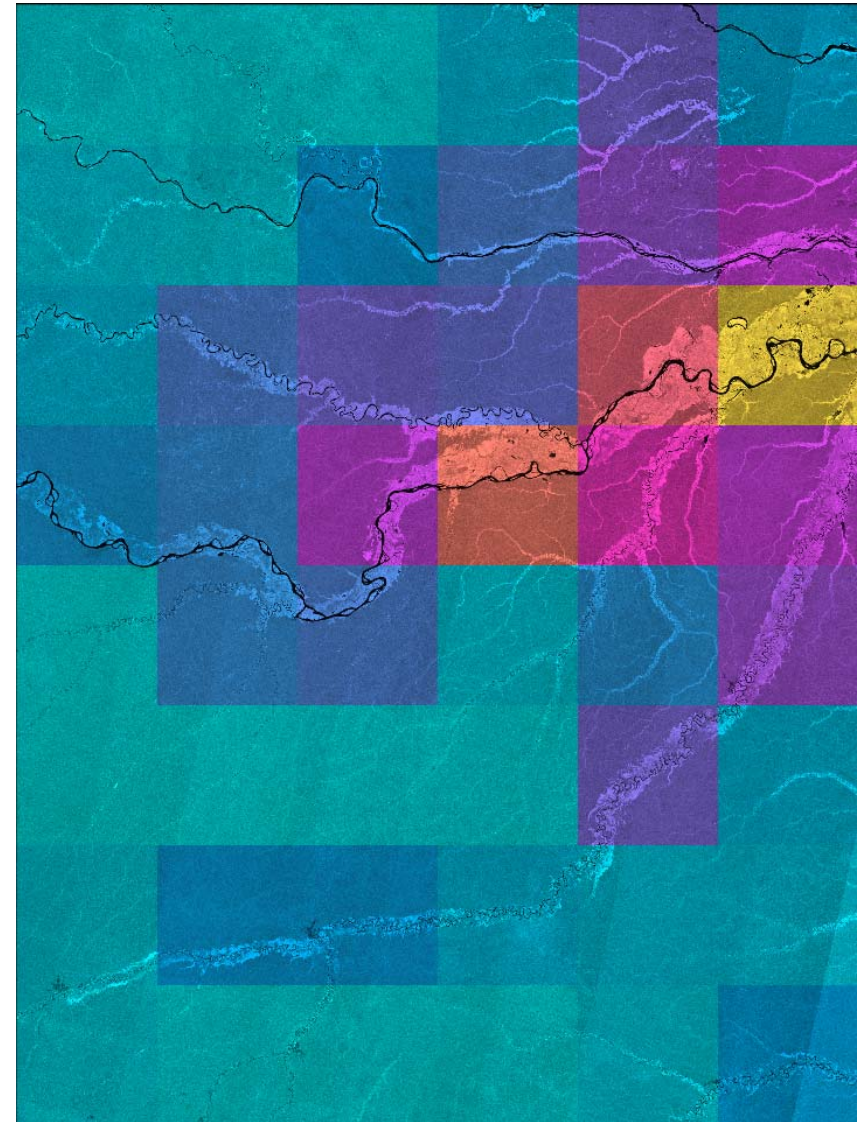
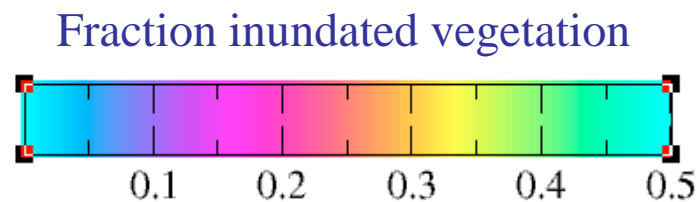
Fraction open water



Aug-Sept 2007

19M

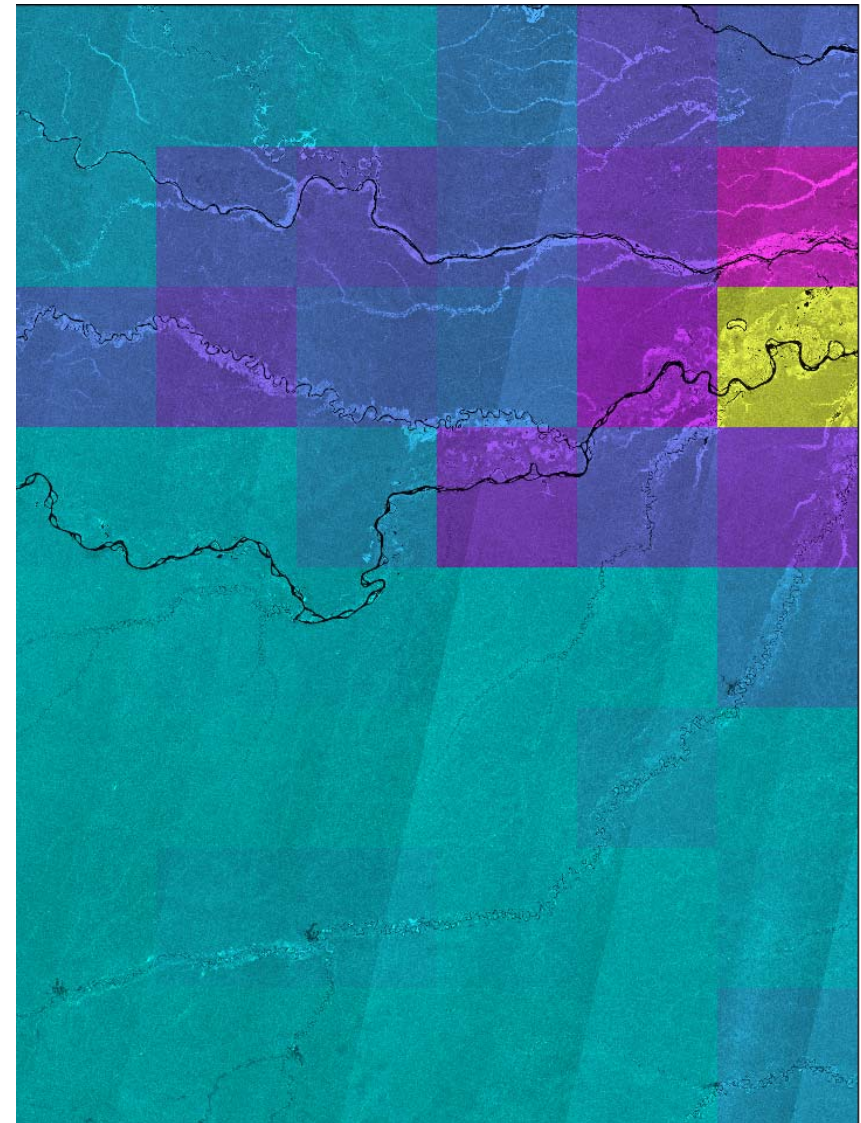
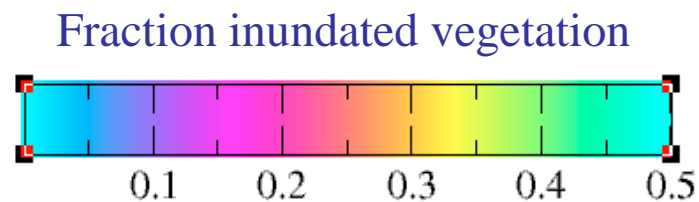
**Fraction for each 1deg cell  
that is inundated vegetation**



May-June 2007 19M

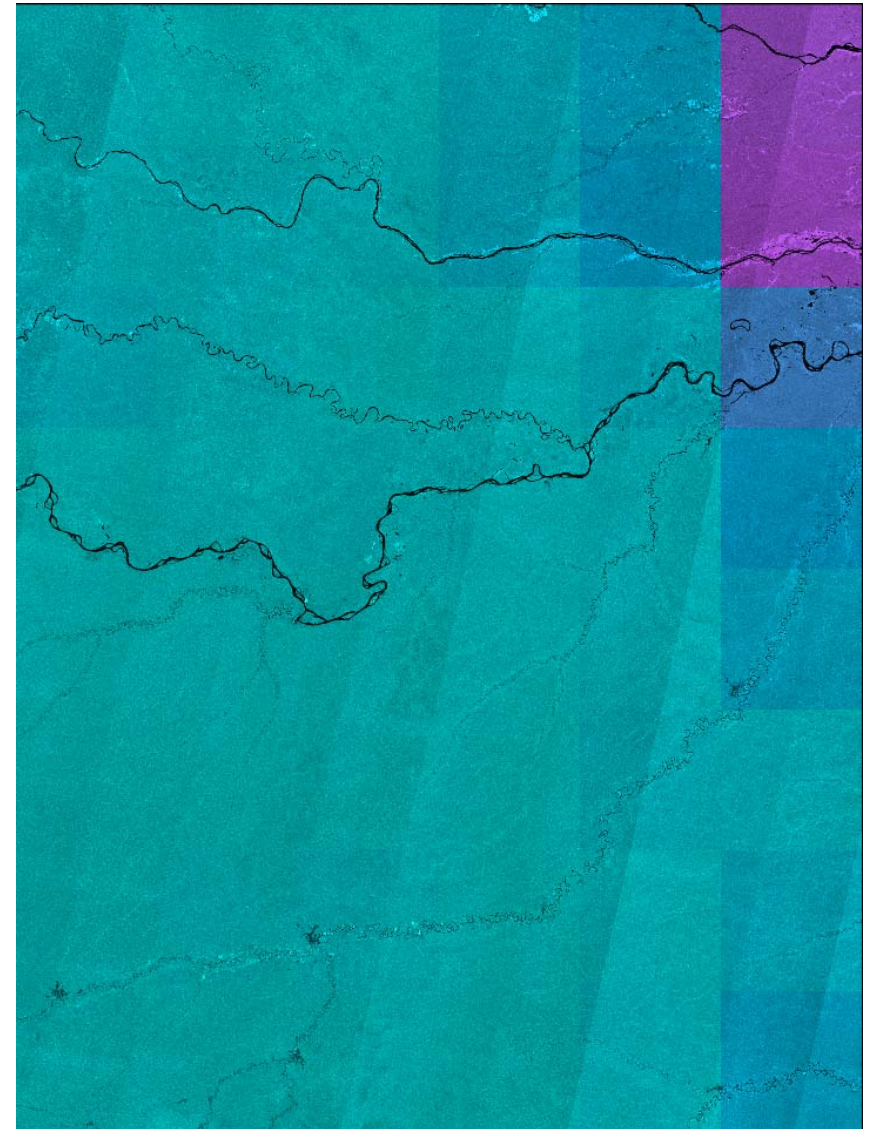
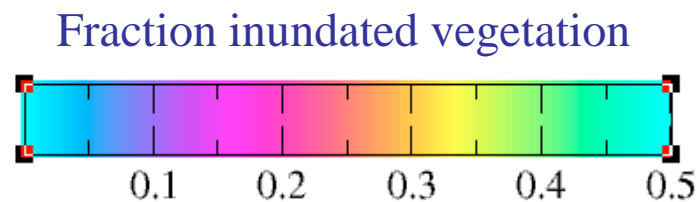


**Fraction for each 1deg cell  
that is inundated vegetation**



July 2007 19M

**Fraction for each 1deg cell  
that is inundated vegetation**

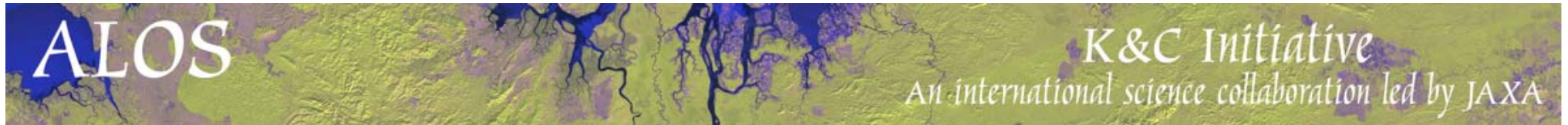


Aug-Sept 2007 19M



## Conclusions

- The uncorrected SCANSAR strip image data has, on average, a geolocation accuracy better than 1 pixel, but errors larger than this are common, and must be corrected.
- Relative and absolute calibration corrections are difficult due to varying terrain types, the dynamic nature of the landscape, and environmental effects such as changing moisture content, but can be done well enough to enable characterization of wetland dynamics.
- The backscatter signature from open water and inundated vegetation is distinct from other land cover types and conditions. Quantitative wetland products are now being developed.



**This research is undertaken within the framework of the ALOS Kyoto & Carbon Initiative. The ALOS data were provided by JAXA EORC.**

**This work was partially performed at the Jet Propulsion Laboratory, California Institute of Technology, under contract with the National Aeronautics and Space Administration.**