

# Deforestation trend and future outlook of forest carbon stocks in tropical forests using ALOS-PALSAR mosaic data

Rajesh Bahadur THAPA, Takeshi MOTOHKA, Manabu WATANABE, Masanobu SHIMADA

Earth Observation Research Center  
Japan Aerospace Exploration Agency



# Contents

## 1. Introduction

Challenges, objective, study area

## 2. Method

Field data, modeling, forest carbon mapping, linking forest policy,

## 3. Results

Forest carbon map and future trends

## 4. Summary

# 1. Introduction (challenges)

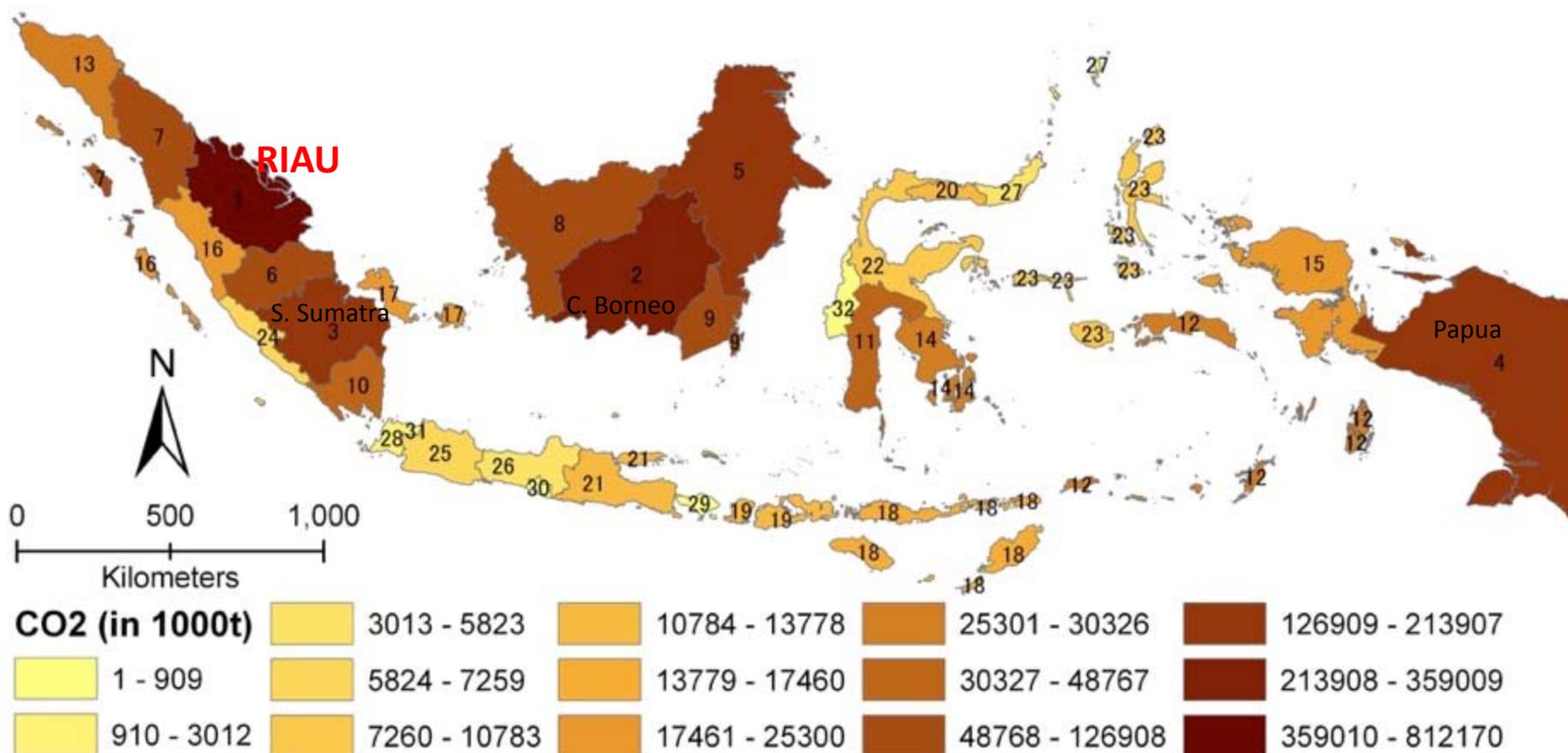
- Currently, **tropical deforestation** is considered as the second largest source of **GHG emissions** and likely continue for the next several years.
- In order to cope with the impact of GHG emissions on global climate change and reduce deforestation and forest degradation in tropical countries, **REDD+ projects** are recently being progressed in many developing countries.
- Regularly updated spatial information on **natural forest cover changes** and the development of **baseline scenario** for projecting the deforestation and associated emissions are prerequisite of the REDD+ projects.
- Therefore, quantification of accurate deforestation and associated carbon emission are in urgent need in the tropical region, where **integration of PALSAR data and spatial modeling techniques provide ultimate tool to derive geographic solutions even in atmospherically prone areas.**

# 1. Introduction (objective)

- In this research, we aim to monitor deforestation and aboveground forest carbon stocks (AFCS) using PALSAR mosaic data and estimate the future likelihood of AFCS patterns under different forest policies in Riau Province, Indonesia.

# 1. Introduction (study area: Riau, Indonesia)

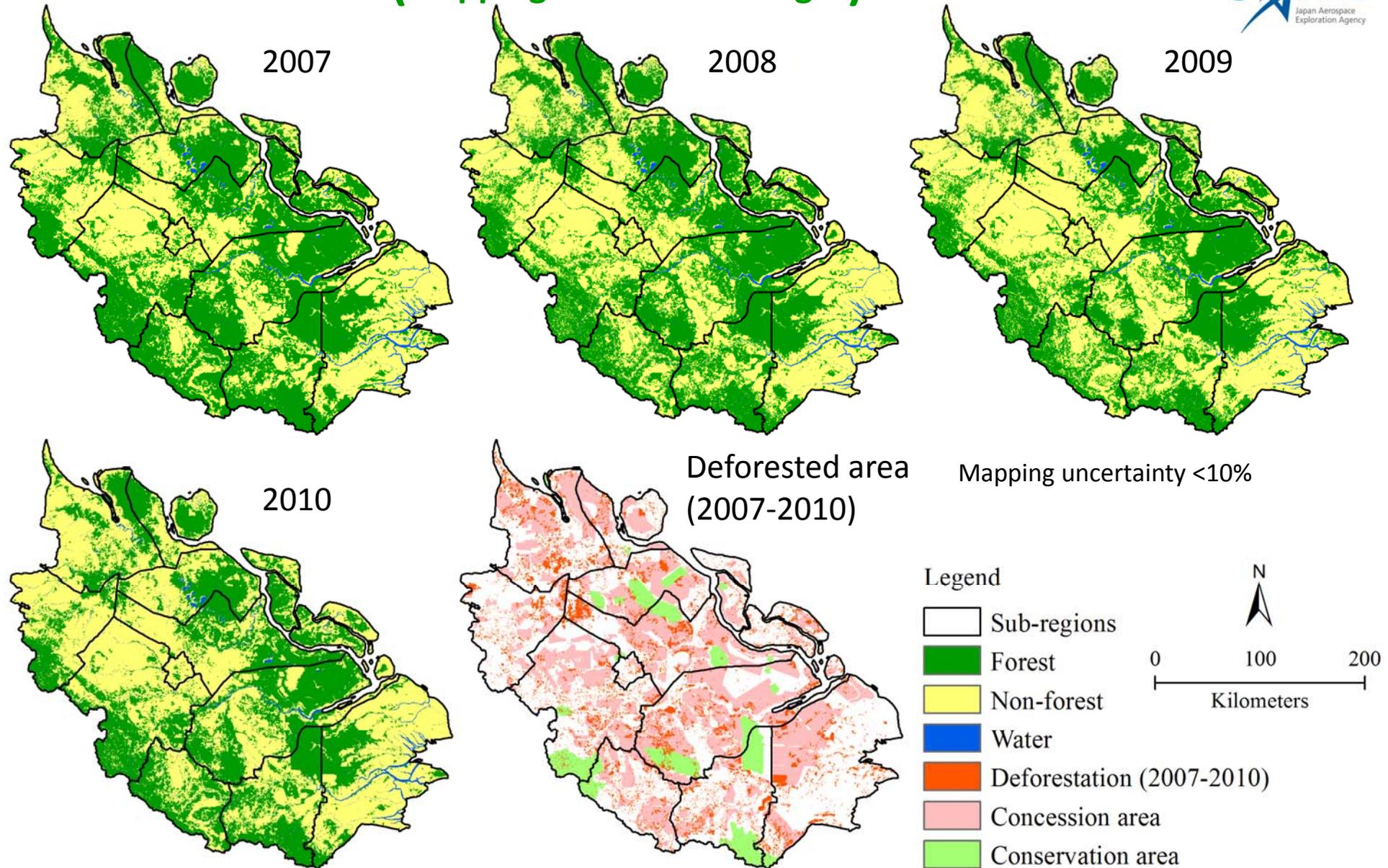
## CO<sub>2</sub> emission from deforestation in Indonesia (2000-2005)



Source: Compiled from Table 6. of IFCA Consolidation report (MoFor, 2008).



# 1. Introduction (mapping the forest changes)



Mapping process: Thapa et al. (2014) and Shimada et al. (2014), *Remote Sensing of Environment*, 155 (K&C Special Issue)

# 1. Introduction (Deforestation trend in Riau province)



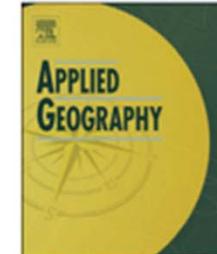
Applied Geography 41 (2013) 168–178



Contents lists available at SciVerse ScienceDirect

Applied Geography

journal homepage: [www.elsevier.com/locate/apgeog](http://www.elsevier.com/locate/apgeog)



## The tropical forest in south east Asia: Monitoring and scenario modeling using synthetic aperture radar data



Rajesh Bahadur Thapa\*, Masanobu Shimada, Manabu Watanabe, Takeshi Motohka, Tomohiro Shiraishi

Earth Observation Research Center, Japan Aerospace Exploration Agency (JAXA), 2-1-1 Sengen, Tsukuba City, Ibaraki 305-8505, Japan

### ABSTRACT

#### Keywords:

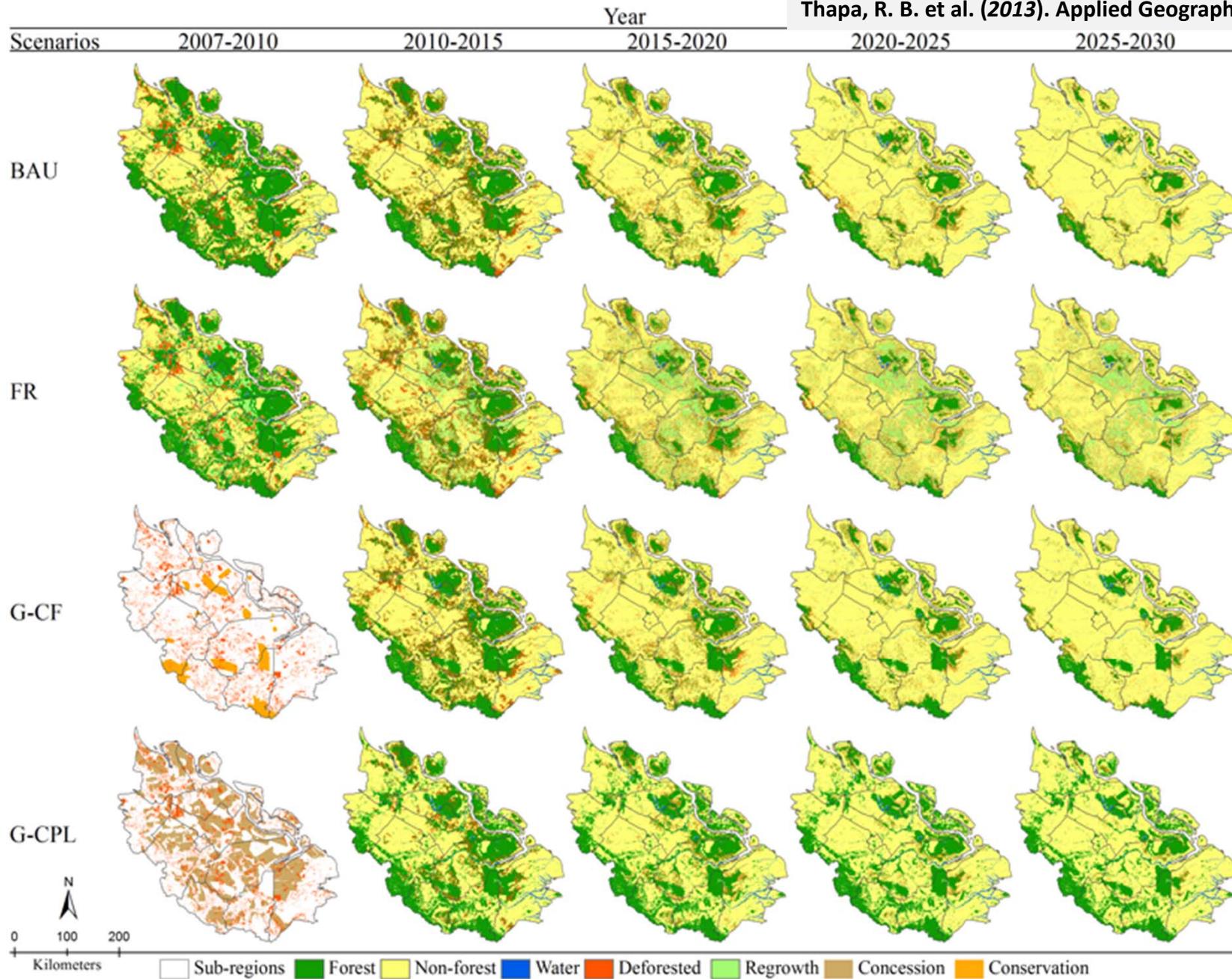
PALSAR  
Land cover change  
Deforestation  
Scenario analysis  
LULUCF  
Weights of evidence  
Sumatra  
REDD+

Tropical forests play a major role in storing large carbon stocks and regulating energy, and water fluxes, but such forest cover is decreasing rapidly in spite of the policy attention on reducing deforestation. High-resolution spatiotemporal maps are unavailable for the forests in majority of the tropical regions in Asia because of the persistent cloud cover and haze cover. Recent advances in radar remote sensing have provided weather-independent data of earth surface, thus offering an opportunity to monitor tropical forest change processes with relatively high spatiotemporal resolutions. In this research, we aim to examine the tropical deforestation process and develop a spatial model to simulate future forest patterns under various scenarios. Riau Province from central Sumatra of Indonesia is selected as the study area;

# 1. Introduction (Deforestation trend in Riau province)

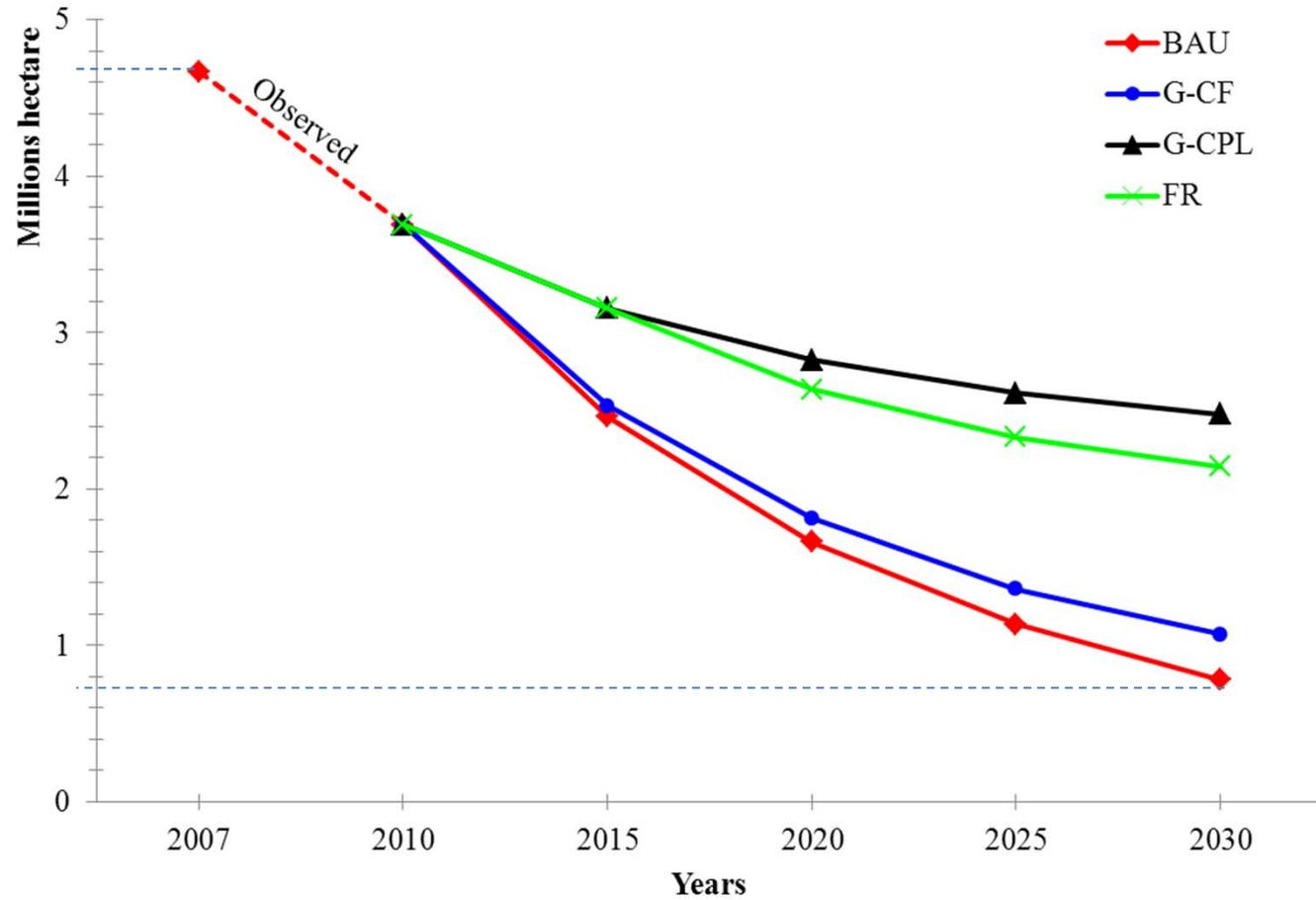


Thapa, R. B. et al. (2013). Applied Geography.



# 1. Introduction (Deforestation trend in Riau province)

Thapa, R. B. et al. (2013). Applied Geography.



## 2. Method (Field campaign: lidar and field measurement)



Field plots: 87  
LiDAR paths: 8

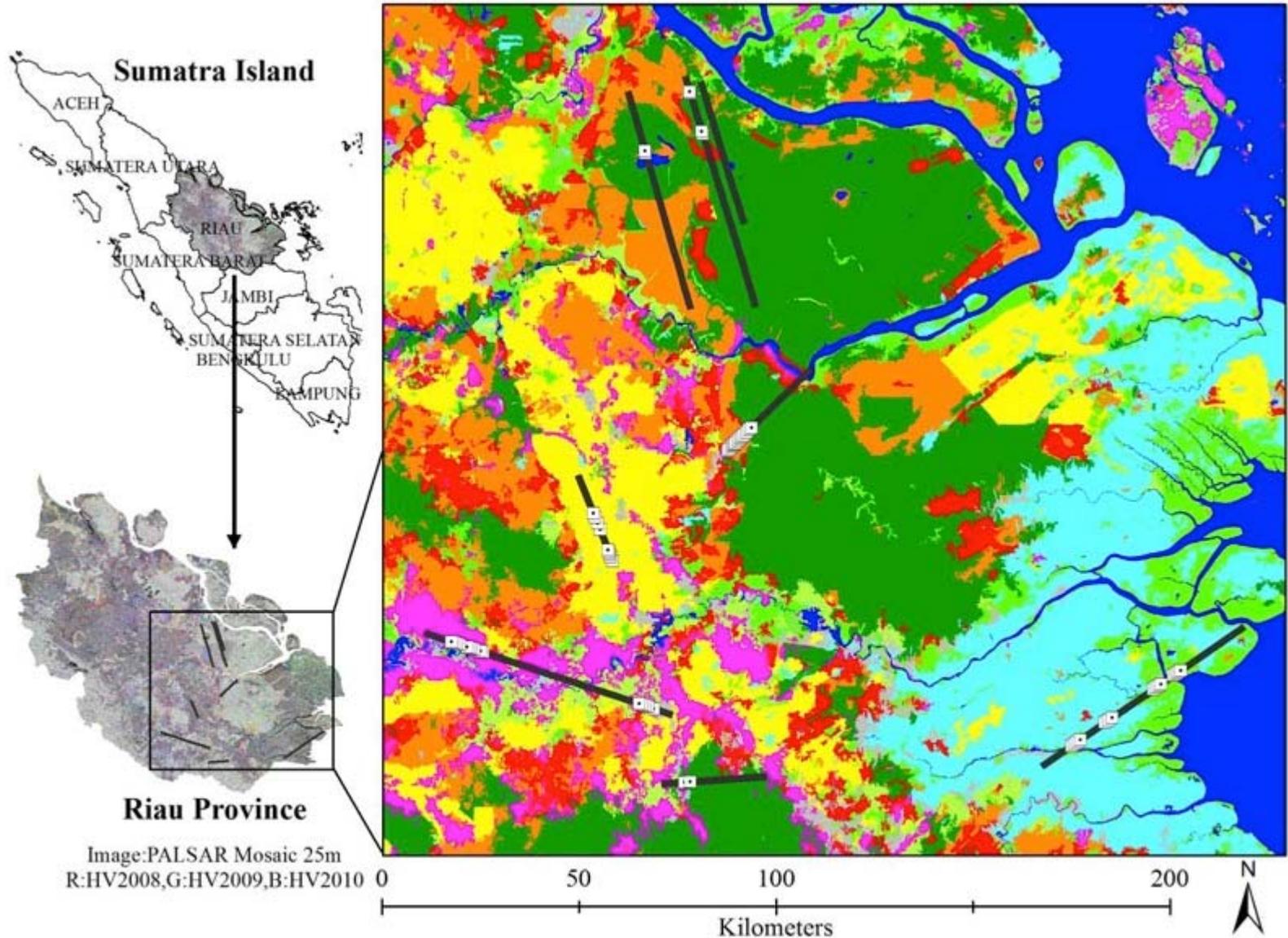


Image: PALSAR Mosaic 25m  
R:HV2008,G:HV2009,B:HV2010

- |             |                |          |         |           |       |
|-------------|----------------|----------|---------|-----------|-------|
| Field plots | Natural forest | Regrowth | Oilpalm | Coconut   | Other |
| Lidar paths | Mangrove       | Acacia   | Rubber  | Open area | Water |

## 2. Method (AFCS modeling)

### Field data AGB (AFCS = AGB\*0.47)

Land use	# Plots ha	%Plots	Mean AGB ton	AGB Range ton
Acacia plantation	9	10.34	68.92	44.77 – 98.68
Coconut plantation	7	8.05	34.04	14.61 – 58.72
Mangrove forest	8	9.20	56.50	19.20 – 91.47
Natural dry forest	9	10.34	421.48	227.00 – 710.84
Oil palm plantation	20	22.99	17.59	2.51 – 44.56
Peat swamp forest	19	21.84	242.69	148.29 – 369.40
Regrowth	5	5.75	266.28	179.96 – 349.97
Rubber	10	11.49	82.61	40.64 – 125.27
<b>Total</b>	<b>87</b>	<b>100.00</b>	<b>140.51</b>	<b>2.51 – 710.84</b>

*Thapa et al. (2014), IEEE J-STARS, and Thapa et al. (submitted), Remote Sensing of Environment*

## 2. Method (LiDAR data acquisition)



LiDAR System	Phase 1 Feb 2012	Phase 2 Nov-Dec, 2012
Laser sensor	LM-5600	Optec ALTM 3100EA
Orthophoto system	Hasselblad	Trimble 60M
Scan angle (degree)	20	37
PRF (kHz)	100	70
Platform height (m)	1000	600
Swath (m)	200	200
Data type	Discrete returns	Discrete returns and full waveform
Average point density (sq. m)	1.2	3.6
Vertical error (m)	0.15	0.12
Horizontal error (m)	0.55	0.2
Paths	3	5
Coverage (ha)	3600	4472

# Calibration of Aboveground Forest Carbon Stock Models for Major Tropical Forests in Central Sumatra Using Airborne LiDAR and Field Measurement Data

Rajesh Bahadur Thapa, Manabu Watanabe, *Member, IEEE*, Takeshi Motohka, Tomohiro Shiraishi, and Masanobu Shimada, *Fellow, IEEE*

**Abstract**—Despite substantial policy attention, tropical forests in Southeast Asian region are releasing large amount of carbon to the atmosphere due to accelerating deforestation. Accurately determining forest statistics and characterizing aboveground forest carbon stocks (AFCSs) are always challenging in the region. In order to develop more accurate estimates of AFCS, the present study col-

and Forest Degradation in Developing Countries (REDD+), and the global forest assessment of Food and Agricultural Organization (FAO), are underway to explore the impact of GHG emissions on global climate change and to reduce deforestation and forest degradation in tropical countries [3]–[8].

$$\begin{aligned}
 \text{AFCS} = & 259.488 - (146.373 \times \text{MCH}) \\
 & + (4.738 \times \text{MCH}^2) - (4.881 \times \text{Cover}) \\
 & + (3.513 \times \text{MCH} \cdot \text{cover}) - (0.0954 \times \text{MCH}^2 \cdot \text{cover}) \\
 & - (1.583 \times \text{QMCH} \cdot \text{cover}) + (22.568 \times \text{P50}) \\
 & + (26.118 \times \text{P90}). \qquad \qquad \qquad (\text{Model-5})
 \end{aligned}$$

Thapa et al. 2014, IEEE J-STARS

## 2. Method (AFCS mapping...)

% AFCS plots (2803) for  
Calibration: 50, Validation: 50

PALSAR Data: 25m slope corrected mosaics  
HH&HV 2009, 2010

Predictive function: Maximum likelihood probability

$$g_i(x) = \ln p(\omega_i) - \frac{1}{2} \ln |\Sigma_i| - \frac{1}{2} (x - m_i)^t \Sigma_i^{-1} (x - m_i)$$

Where:

$i$  = AFCS;  $x$  = n-dimensional data (where  $n$  is the number of polarizations in PALSAR data);  $p(\omega_i)$  = probability that AFCS  $\omega_i$  occurs in the image and is assumed to be the same for all AFCS;  $|\Sigma_i|$  = determinant of the covariance matrix of the data in AFCS  $\omega_i$ ;  $t$  = transposition of matrix;  $\Sigma_i^{-1}$  = inverse matrix;  $m_i$  = mean vector

Source:

Richard and Jia (2006), RS Digital Image Analysis, Springer  
<http://www.exelisvis.com/docs/MaximumLikelihood.html>

Uncertainty and similarity measures

$$\text{RMSE} = \sqrt{\frac{\sum_{i=1}^n (P_i - O_i)^2}{n}}$$

$$\text{BIAS} = \frac{\sum_{i=1}^n (P_i - O_i)}{n}$$

$$\text{Adj. RMSE} = \sqrt{\frac{\sum_{i=1}^n (P_i - O_i - \text{Bias})^2}{n}}$$

$$d = 1 - \frac{\sum_{i=1}^n (P_i - O_i)^2}{\sum_{i=1}^n (|P_i - \bar{O}| + |O_i - \bar{O}|)^2}$$

**d: Index of Agreement**

Range: 0 – 1, Disagreement to Perfect Agreement  
between the Predicted and Observed AFCS values.

*Willmott et al. 1980, 2012*

## 2. Method (linking AFCS maps to forest policy)

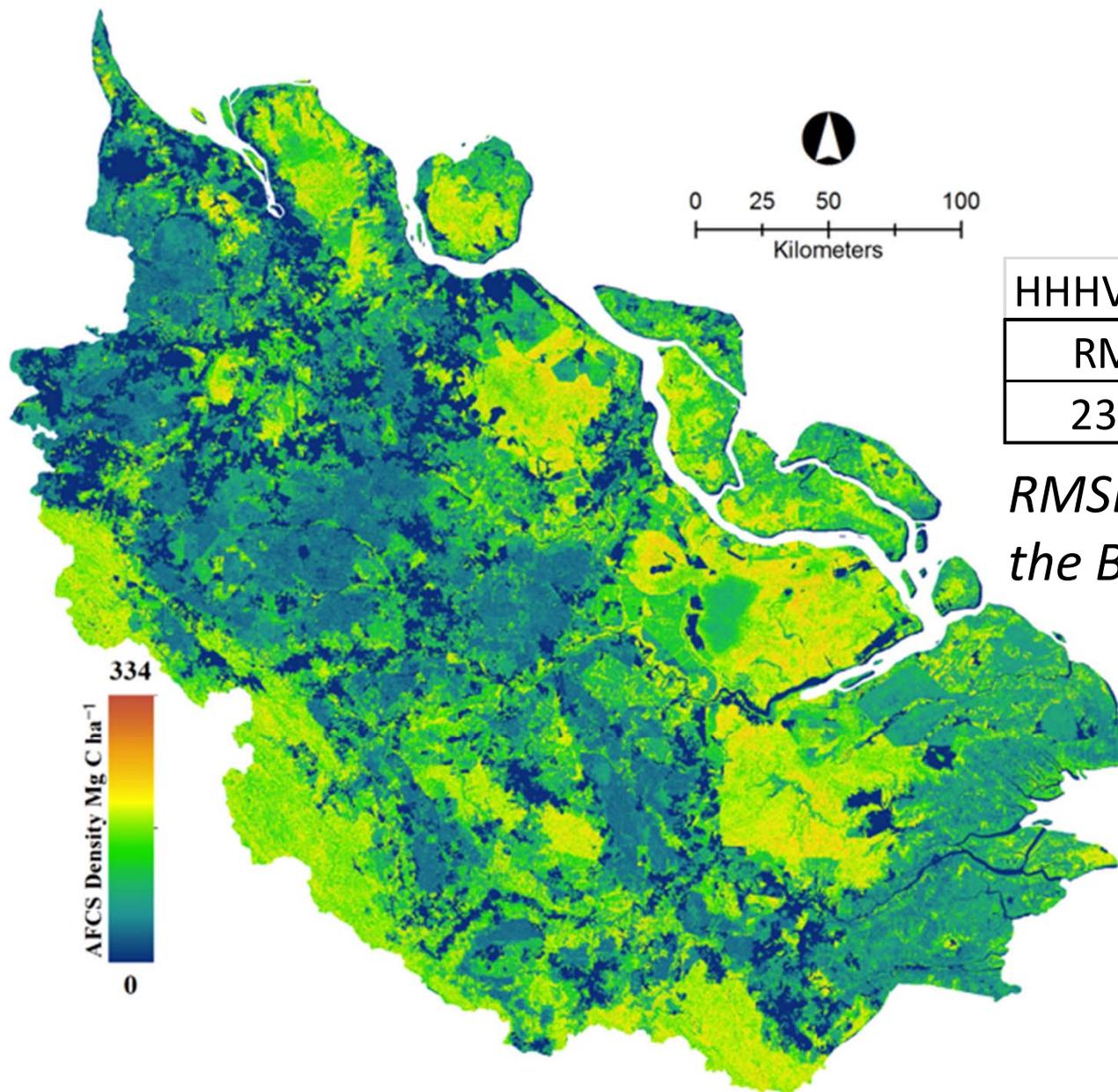
### Forest policies and assumptions:

**Business as usual (BAU)**: the deforestation process will continue the past trend everywhere in the province, so release the AFCS in deforested areas.

**Governance – forest conservation (G-FC)**: the deforestation process will discontinue the past trend and will only occur beyond the conservation area, so release AFCS outside the conservation areas.

**Governance – concession for industrial plantations and selective logging (G-CPL)**: the future deforestation process will discontinue the past trend and confine in the concession areas only, so AFCS untouched outside the areas.

### 3. Results (AFCS map...)

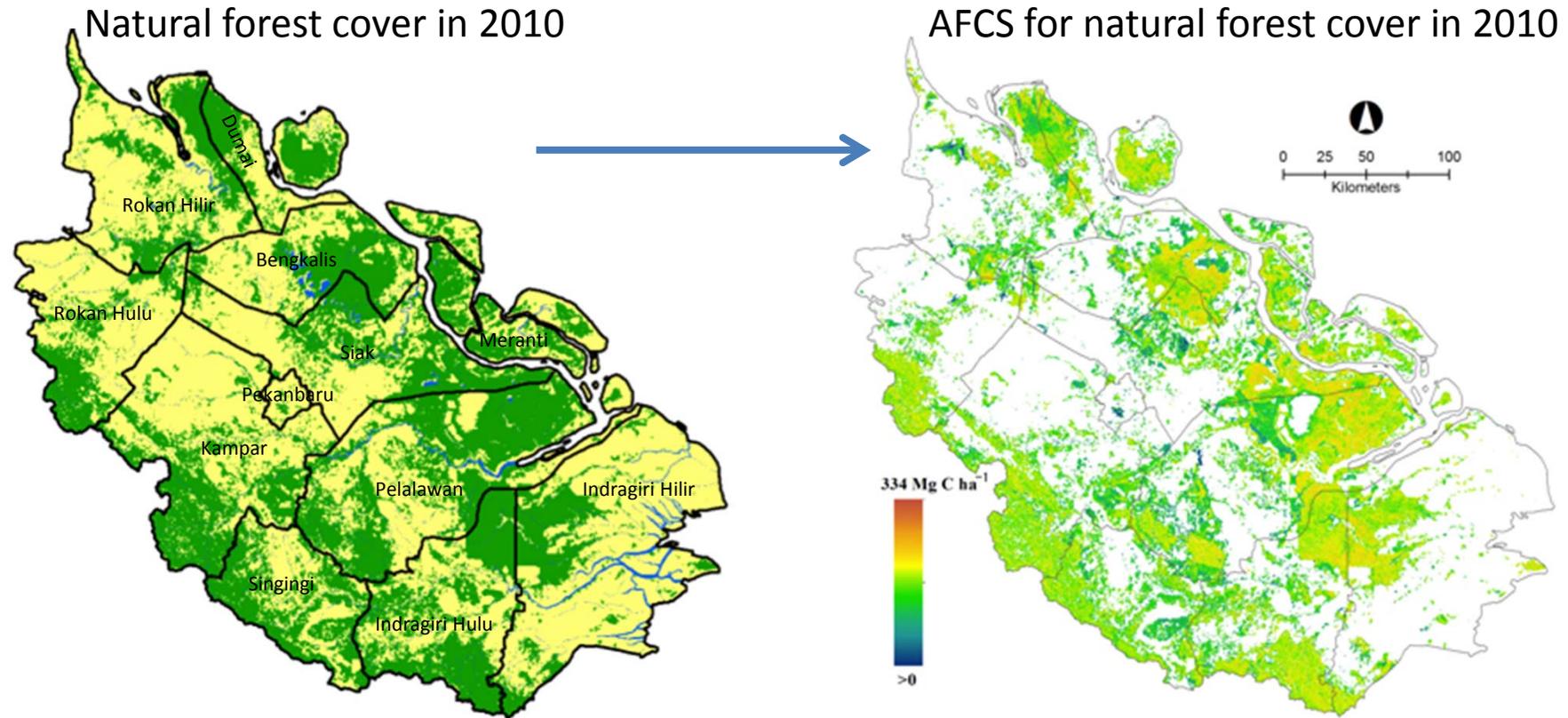


HHHV0910		
RMSE	Bias	d
23.49	1.13	0.843

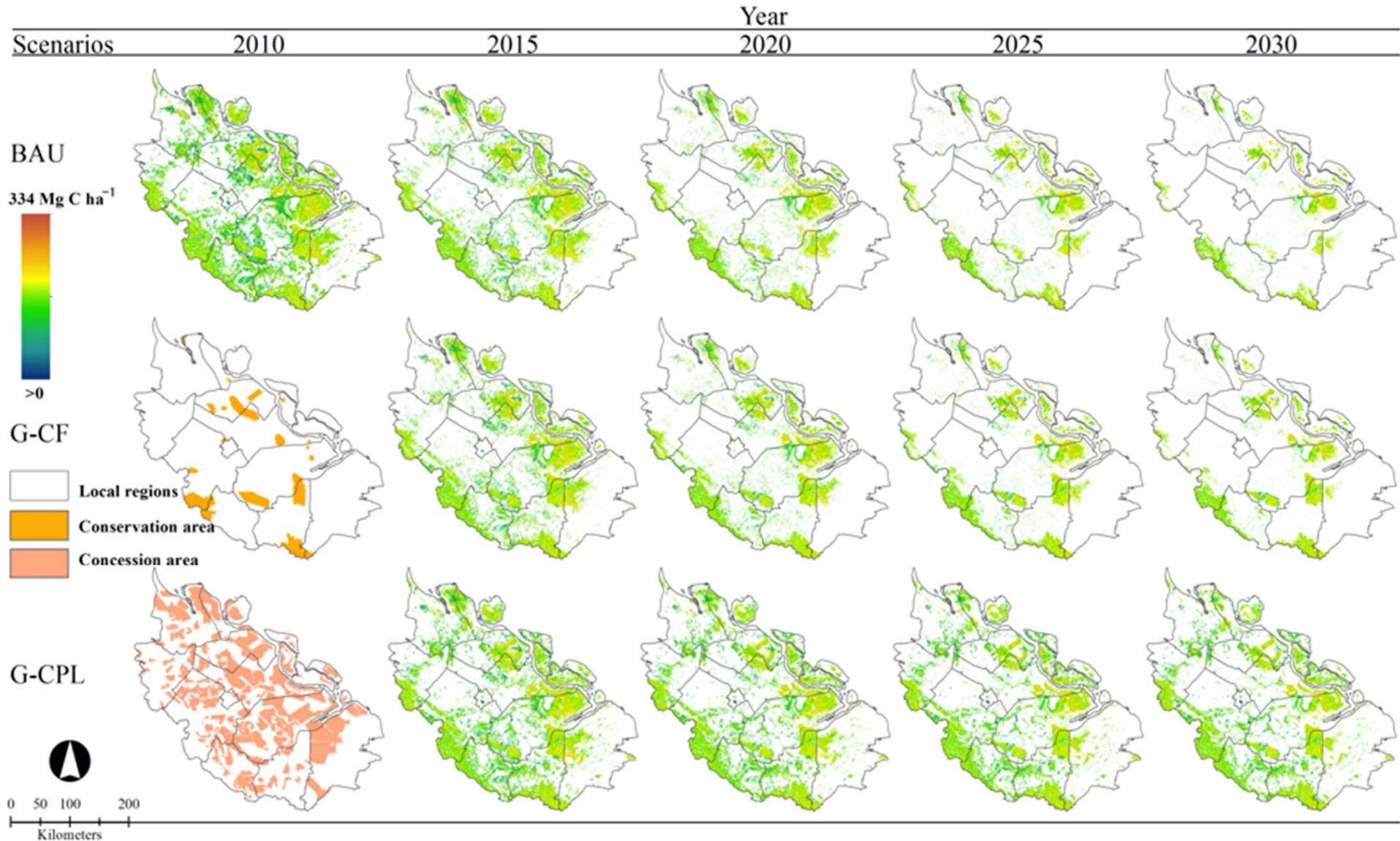
*RMSE after adjusting  
the Bias: 23.47 Mg C ha<sup>-1</sup>*

(Validation N=1397)

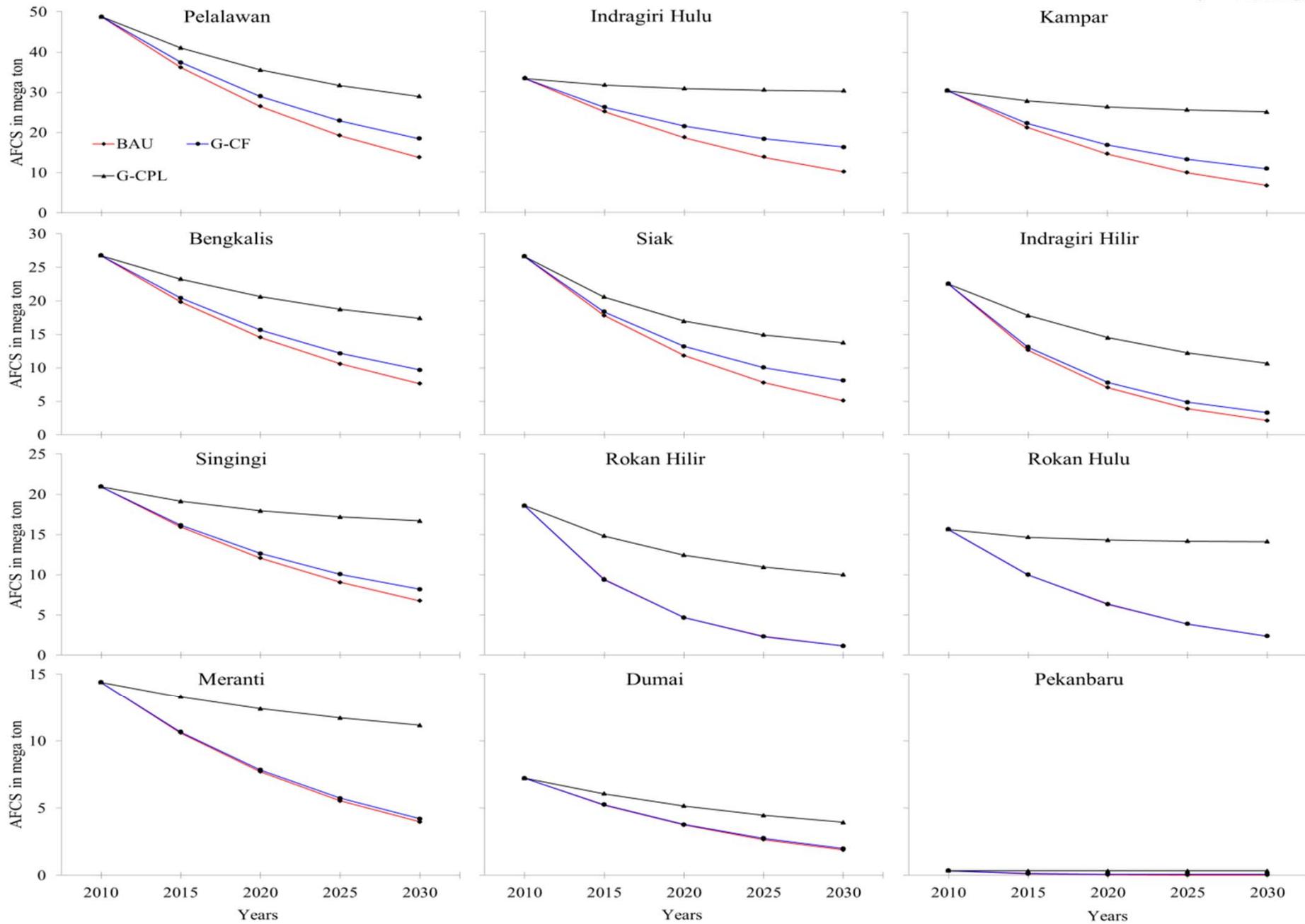
# 3. Results (AFCS map ...)



# 3. Results (expected AFCS trend by policy)



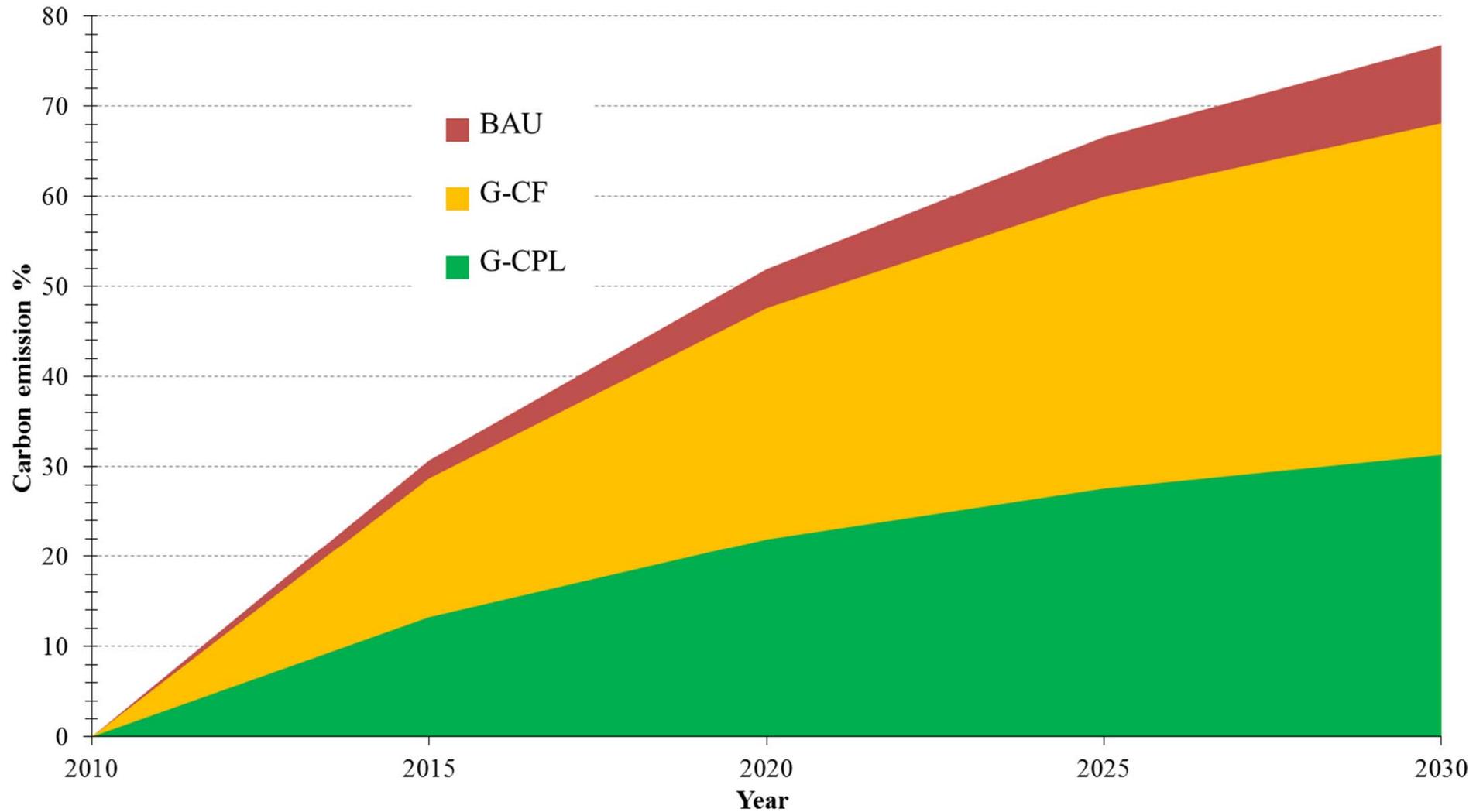
# 3. Results (sub-region wide expected AFCS by policies)



### 3. Results (expected AFCS emission by policy in the province)



Natural forests AFCS in 2010: 265.57 million tons



## 4. Summary

- High spatial resolution AFCS baseline map with an uncertainty of 23.47 Mg C ha<sup>-1</sup> for Riau Province
- Natural intact forest alone stores 265 million tons of AFCS.
- Extrapolation of future AFCS trends with three forest policies for the next two decades.
- Scenario-wide spatially explicit AFCS storylines at local and provincial level
- AFCS emission risk from land use activities in next two decades: 75%
- Conservation may lower the risk by 8.5%
- Concession likely save 44%.

## Questions/Comments?

# Thank you!

Contact:

Rajesh Bahadur Thapa

EORC/JAXA

Tel: 050-3362-7444

Email: [rajesh.thapa@jaxa.jp](mailto:rajesh.thapa@jaxa.jp)

# 1. Introduction (Deforestation trend in Riau province)



Remote Sensing of Environment 155 (2014) 13–31



ELSEVIER

Contents lists available at ScienceDirect

## Remote Sensing of Environment

journal homepage: [www.elsevier.com/locate/rse](http://www.elsevier.com/locate/rse)



## New global forest/non-forest maps from ALOS PALSAR data (2007–2010)



Masanobu Shimada <sup>a</sup>, Takuya Itoh <sup>b</sup>, Takeshi Motooka <sup>a</sup>, Manabu Watanabe <sup>a</sup>, Tomohiro Shiraishi <sup>a</sup>, Rajesh Thapa <sup>a</sup>, Richard Lucas <sup>c</sup>

Remote Sensing of Environment 155 (2014) 32–41



ELSEVIER

Contents lists available at ScienceDirect

## Remote Sensing of Environment

journal homepage: [www.elsevier.com/locate/rse](http://www.elsevier.com/locate/rse)



## Evaluation of ALOS PALSAR sensitivity for characterizing natural forest cover in wider tropical areas



Rajesh Bahadur Thapa <sup>a,\*</sup>, Takuya Itoh <sup>b</sup>, Masanobu Shimada <sup>a</sup>, Manabu Watanabe <sup>a</sup>, Motohka Takeshi <sup>a</sup>, Tomohiro Shiraishi <sup>a</sup>